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Active and passive meta-surfaces and their interaction with terahertz waves

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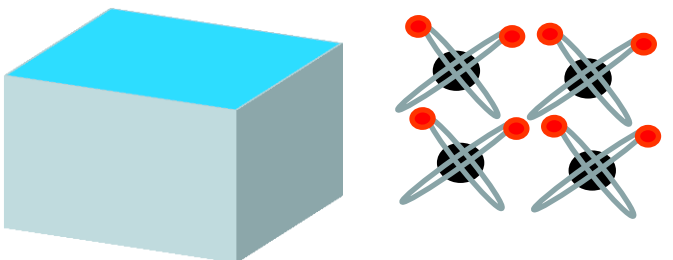
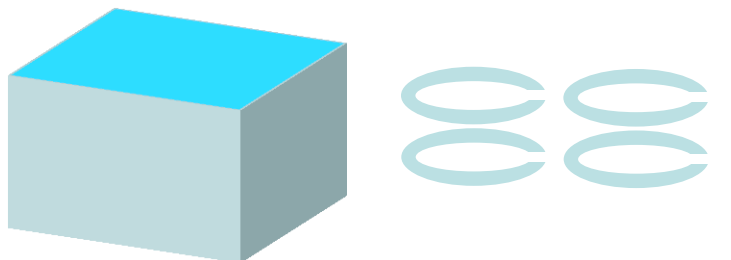


Outline

- **Introduction of metamaterials**
- **Why terahertz frequency?**
- **Passive THz metamaterial**
 - ❑ First realization of terahertz metamaterials
 - ❑ Understanding the inter-elemental coupling
- **Active terahertz metamaterial**
 - ❑ Optically tunable modulator
 - ❑ Electrically reconfigurable metamaterial
- **Ultrathin Terahertz polarization converter**
- **Future direction**

Metamaterials:

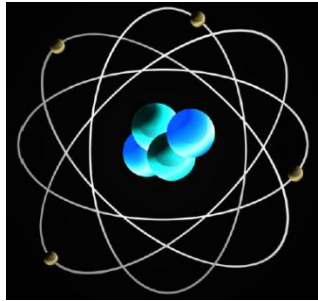
- Metamaterials represents the artificial or engineered materials that have properties beyond the natural materials

Natural Materials	Metamaterials
 <ul style="list-style-type: none"> ➤ Electromagnetic interaction depends on chemical composition of the materials 	 <ul style="list-style-type: none"> ➤ Electromagnetic interaction depends on artificial resonators ➤ Provide unique capability to design material properties ➤ Scalable through wide range of EM spectrum

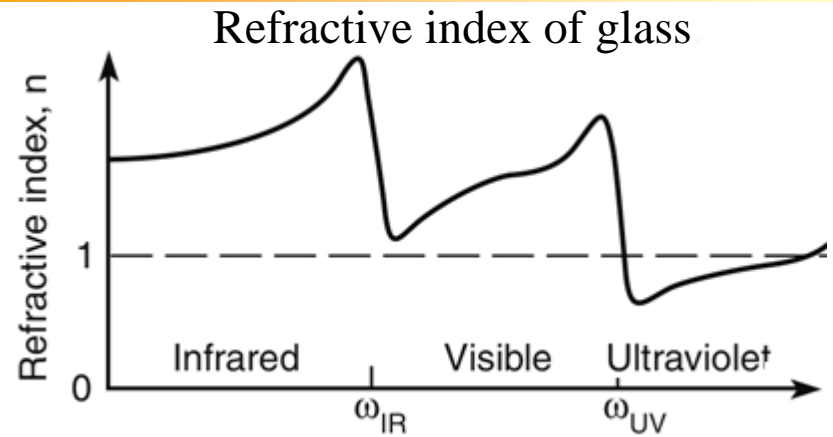
Pendry et al., Phys. Rev. Lett. 76, 4773 (1996), Pendry et al., IEEE Trans. Microwave Tech. 47, 2075 (1999)



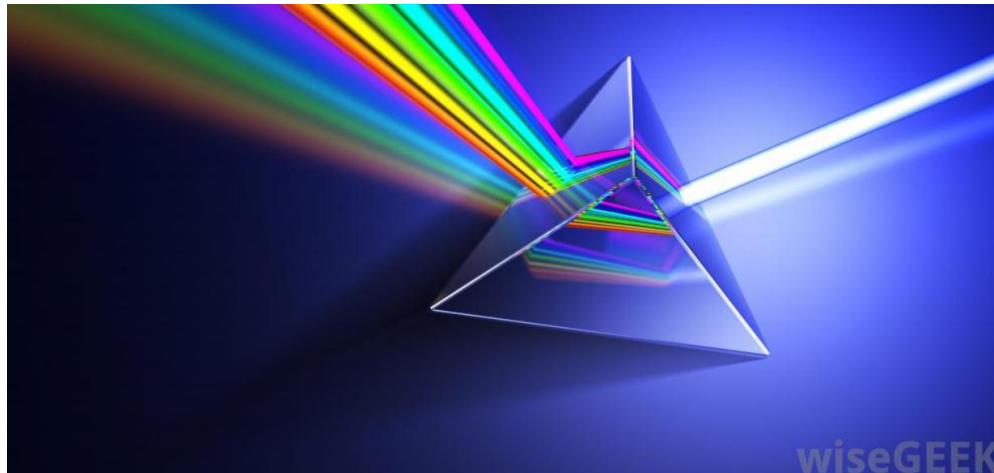
Interaction of light with natural material



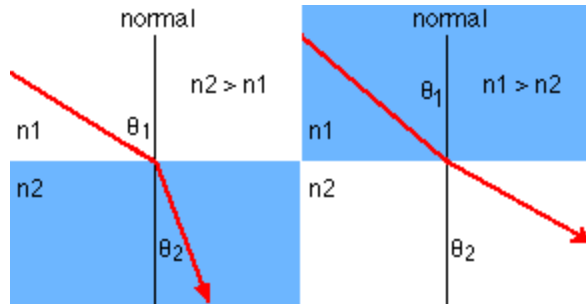
- Electromagnetic interaction depends on chemical composition of the materials



- Resonance at UV – electronic transition
- Resonance at IR – Si-O-Si bonds



Refraction of light

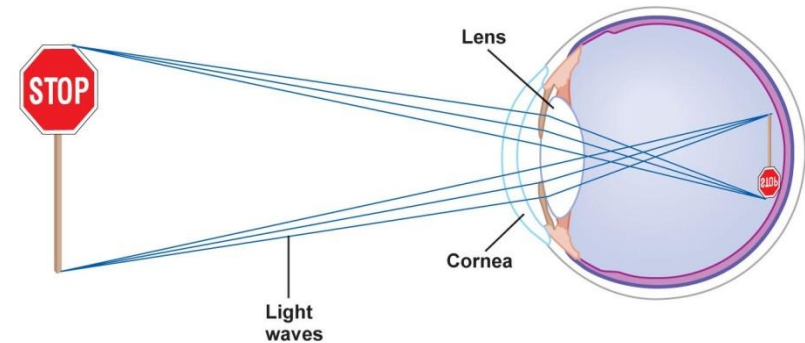
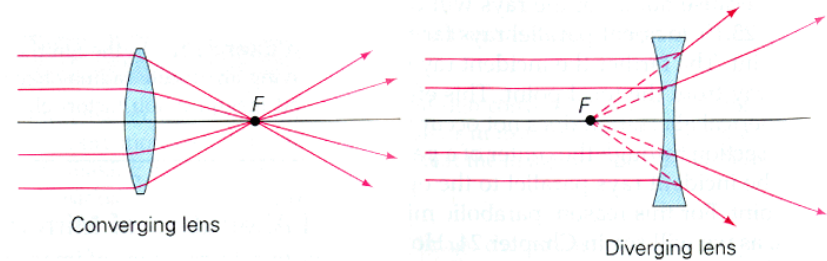


Snell's Law:

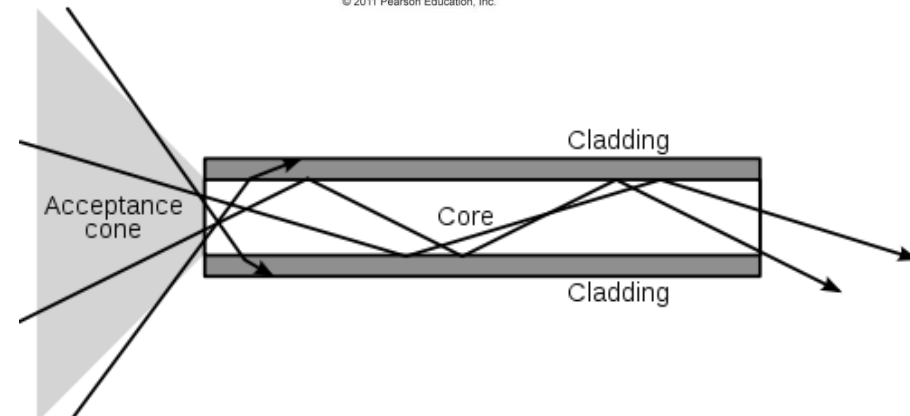
$$n_1 \sin \theta_1 = n_2 \sin \theta_2$$



Willebrord Snell
(1580-1626)



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Negative Index Materials

SOVIET PHYSICS USPEKHI

VOLUME 10, NUMBER 4

JANUARY-FEBRUARY 1968

538.30

THE ELECTRODYNAMICS OF SUBSTANCES WITH SIMULTANEOUSLY NEGATIVE VALUES OF ϵ AND μ

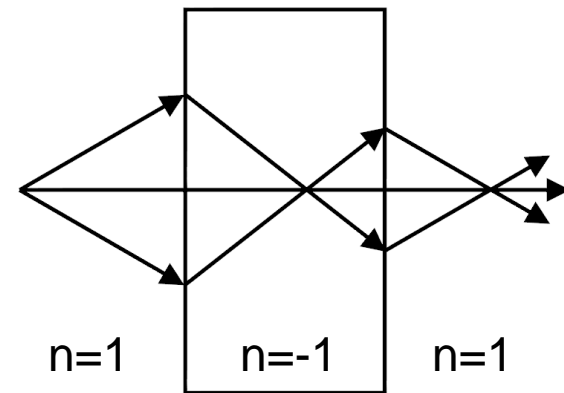
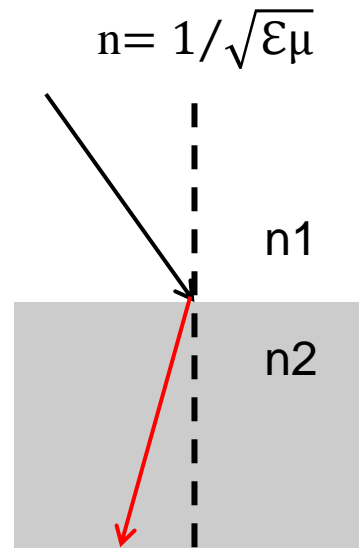
V. G. VESELAGO

P. N. Lebedev Physics Institute, Academy of Sciences, U.S.S.R.

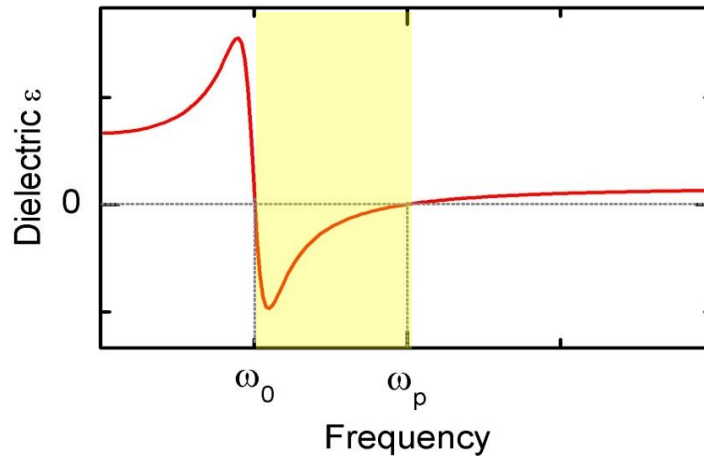
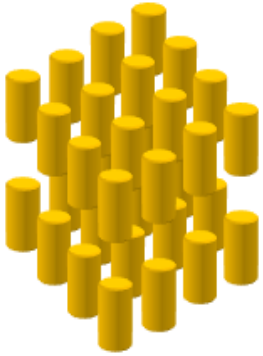
Usp. Fiz. Nauk 92, 517–526 (July, 1964)

- Light bends to the “wrong” direction, i.e. negative index of refraction
- Left-handed materials with opposite phase and group velocities
- Focusing with a flat slab, convex and concave lenses change places

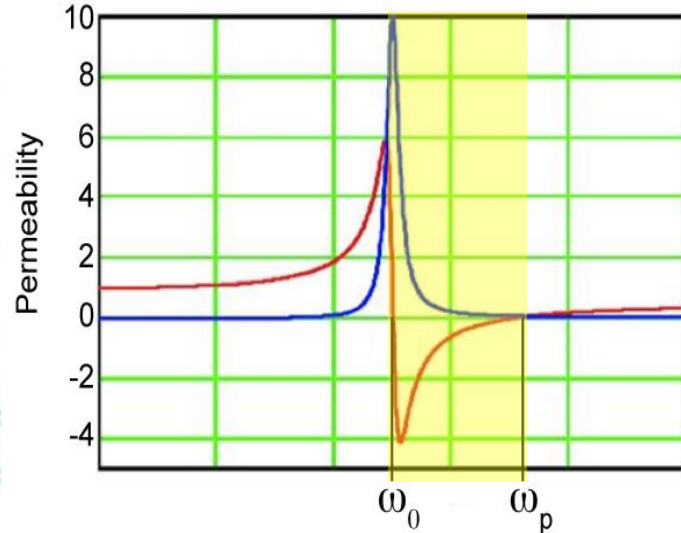
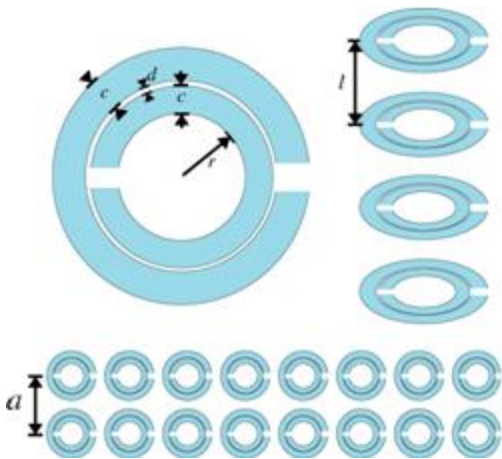
No natural material has been found to exhibit such properties !!



Controlling the Effective Electric and Magnetic Response



$$\epsilon(\omega) = 1 - \frac{\omega_p^2 - \omega_0^2}{\omega^2 - \omega_0^2 + i\omega\Gamma}$$



$$\mu_{eff} = 1 - \frac{\frac{\pi r^2}{a^2}}{1 + \frac{2\sigma i}{\omega r \mu_0} - \frac{3}{\pi^2 \mu_0 \omega^2 C r^3}}$$

Pendry et al., Phys. Rev. Lett. 76, 4773 (1996), Pendry et al., IEEE Trans. Microwave Tech. 47, 2075 (1999)



Simultaneously Negative ϵ and μ

VOLUME 84, NUMBER 18

PHYSICAL REVIEW LETTERS

1 MAY 2000

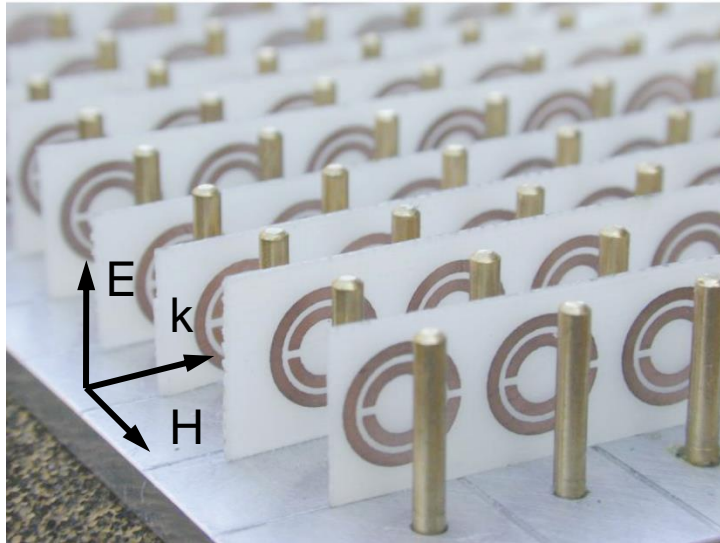
Composite Medium with Simultaneously Negative Permeability and Permittivity

D.R. Smith,* Willie J. Padilla, D.C. Vier, S.C. Nemat-Nasser, and S. Schultz

Department of Physics, University of California, San Diego, 9500 Gilman Drive, La Jolla, California 92093-0319

(Received 2 December 1999)

We demonstrate a composite medium, based on a periodic array of interspaced conducting nonmagnetic split ring resonators and continuous wires, that exhibits a frequency region in the microwave regime with simultaneously negative values of effective permeability $\mu_{\text{eff}}(\omega)$ and permittivity $\epsilon_{\text{eff}}(\omega)$. This structure

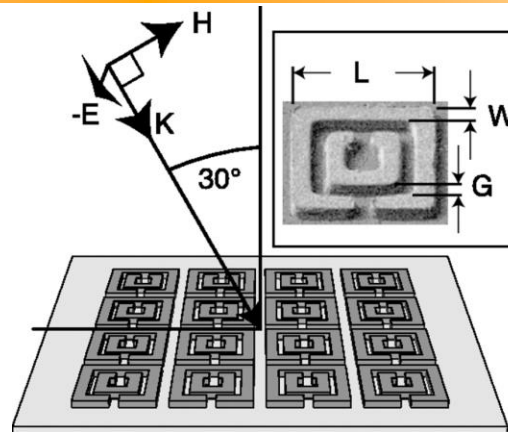


- Negative permittivity $\epsilon < 0$ by metal rods array
- Negative permeability $\mu < 0$ by split-ring resonators array
- At certain frequency range with simultaneously negative $\epsilon < 0$ and $\mu < 0$, microwave can propagate

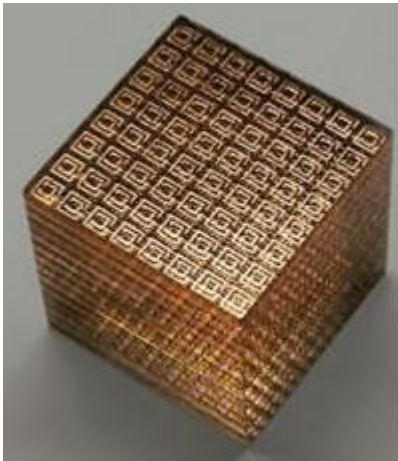
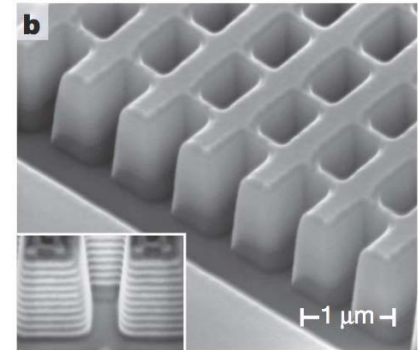
Electromagnetic Metamaterials



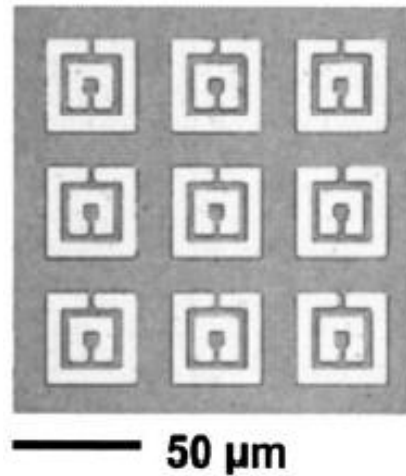
Smith et al., PRL 84 (1999).



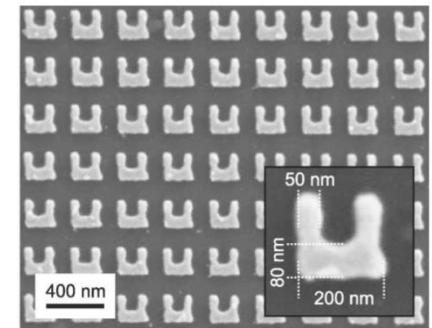
Yen et al., Science 303, 1494 (2004).



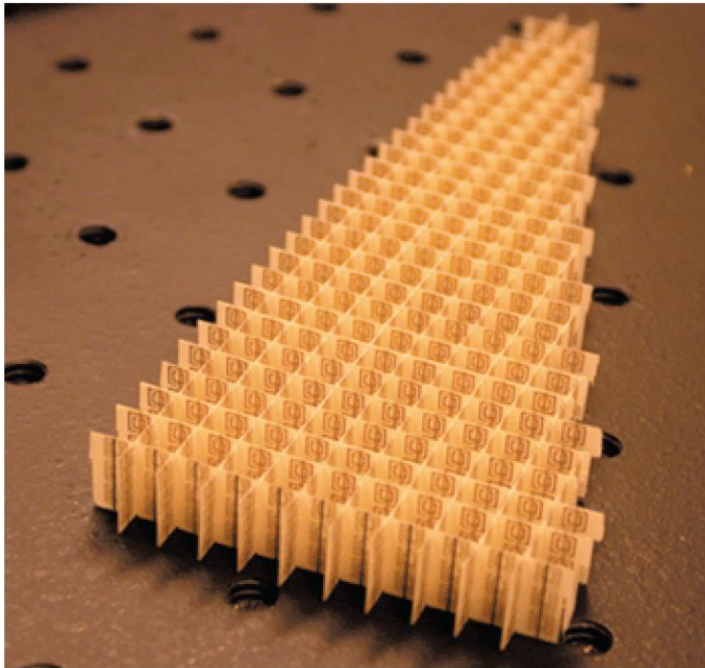
3D metamaterial



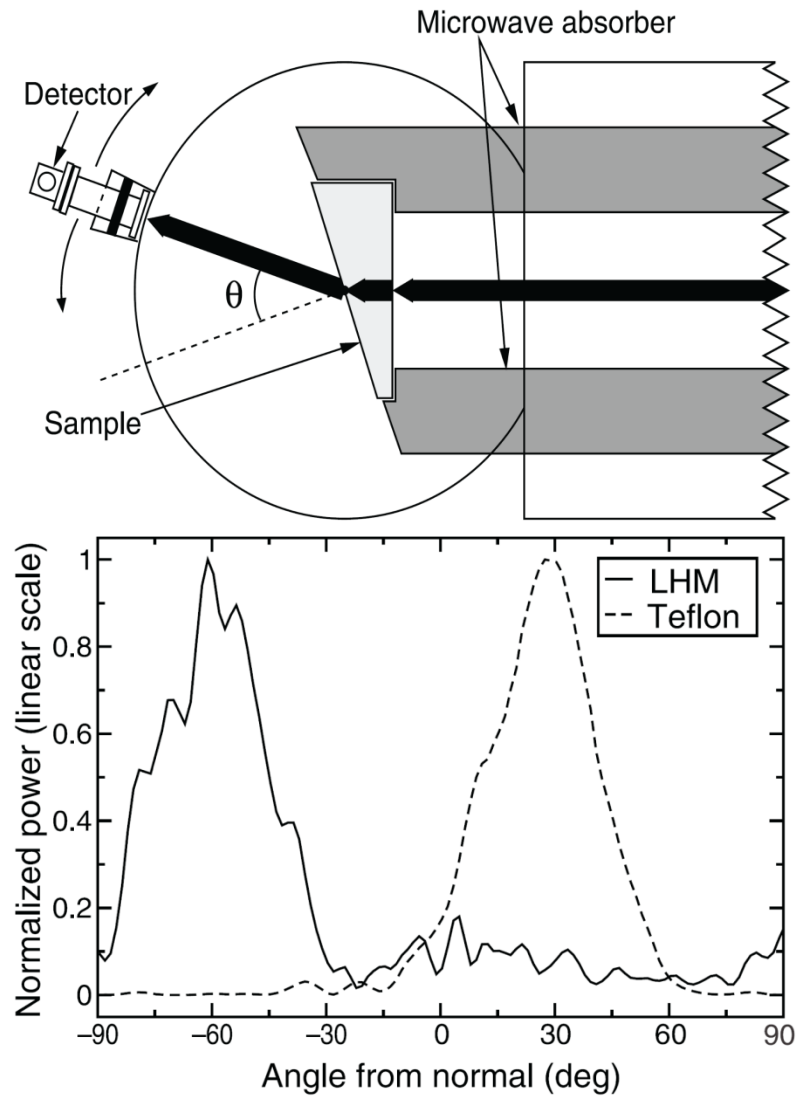
Azad et al., Opt. Lett. 31, 634 (2006)



Negative Refraction

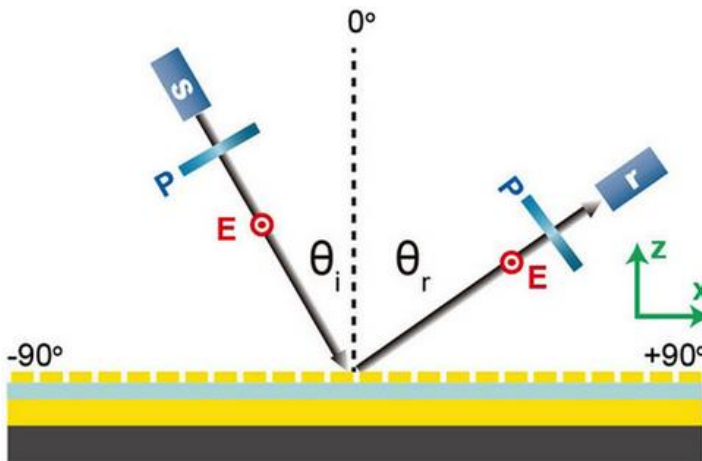
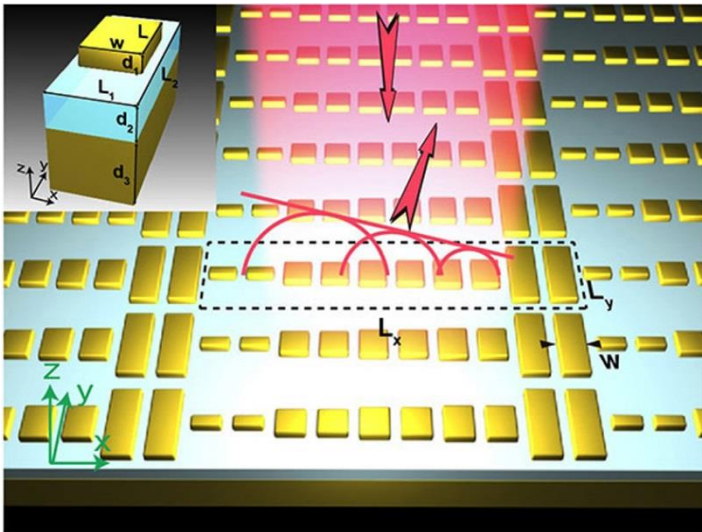


*Shelby et al., Science **292**, 77 (2001).*

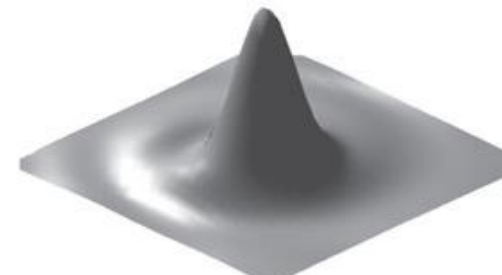
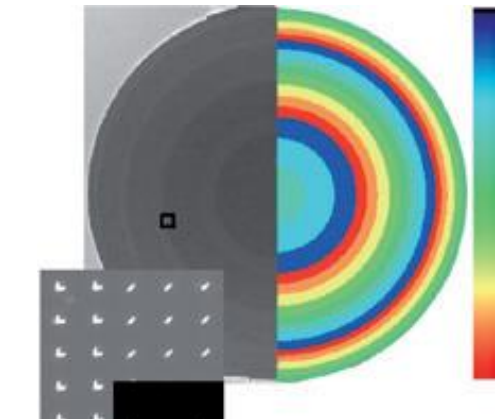


Meta-surface is future for flat integrated optics

Anomalous light refraction



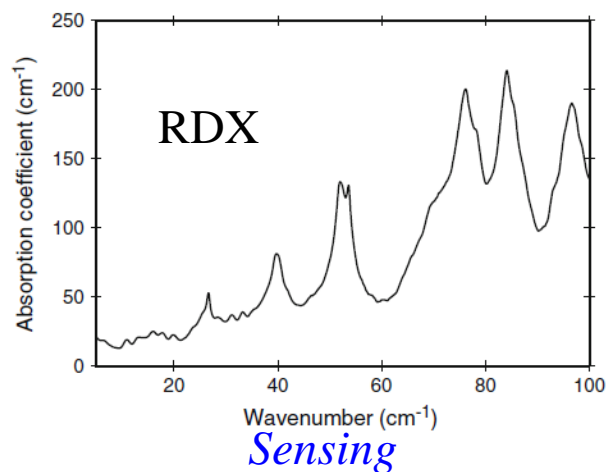
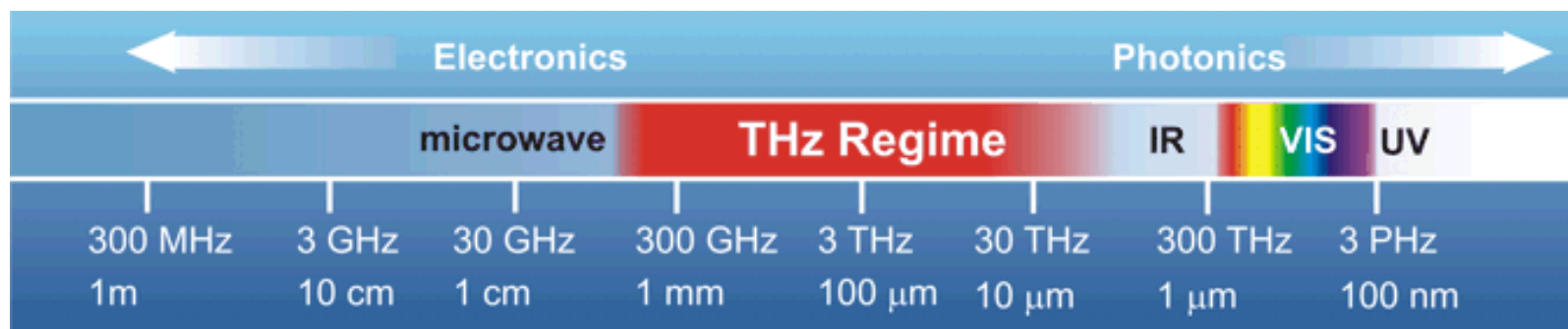
Focusing with a flat lens



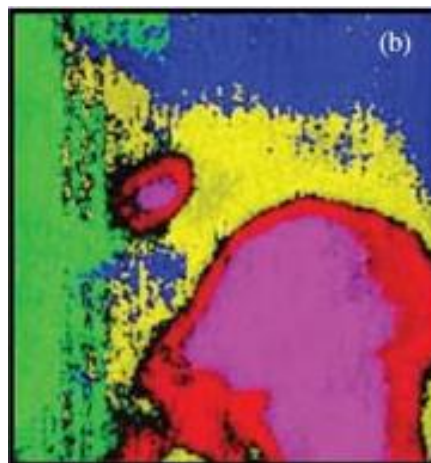
N. Yu, NATURE MATERIALS, 13, 144 (2014)



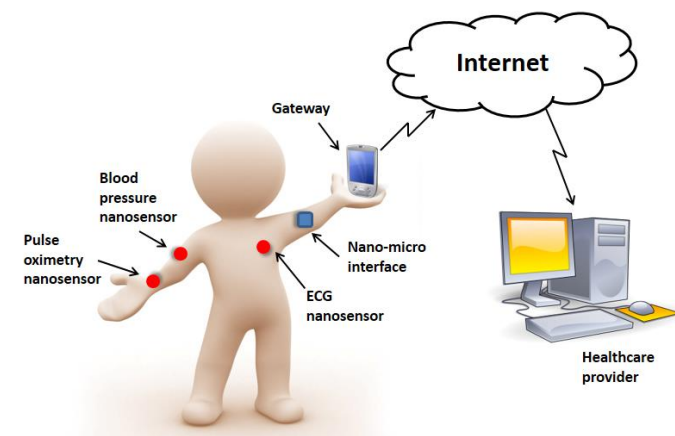
Why Terahertz?



V. Whitley, *Anal. Bioanal Chem*, 395, 315 (2009)



Phys. Med. Biol. 55 4615 (2010)

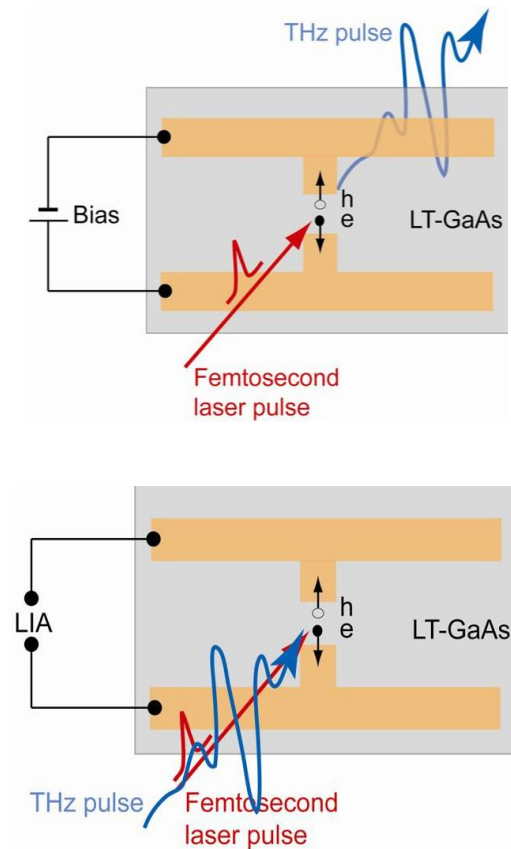
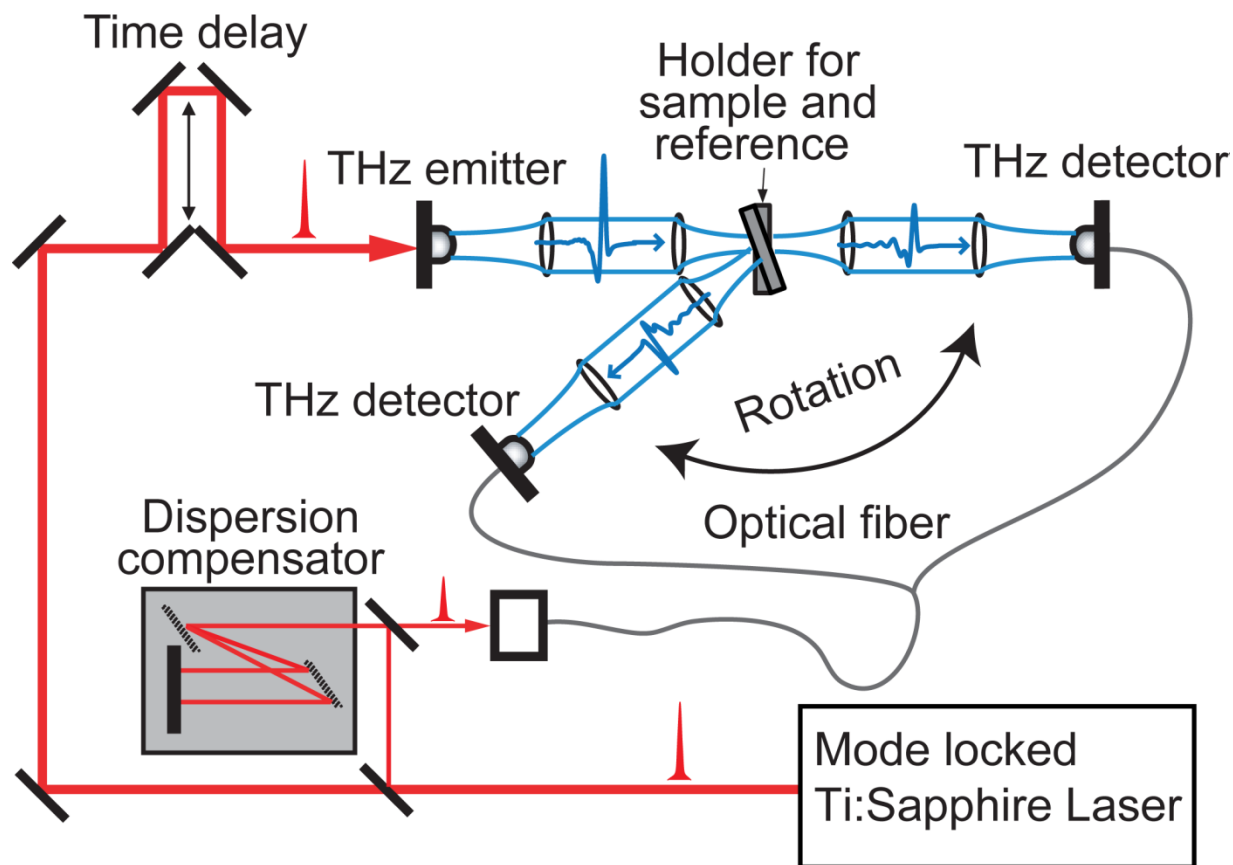


➤ Extremely difficult generation/detection ➤ No practical terahertz devices ➤ THz Gap

Metamaterials are optimistic solutions !!

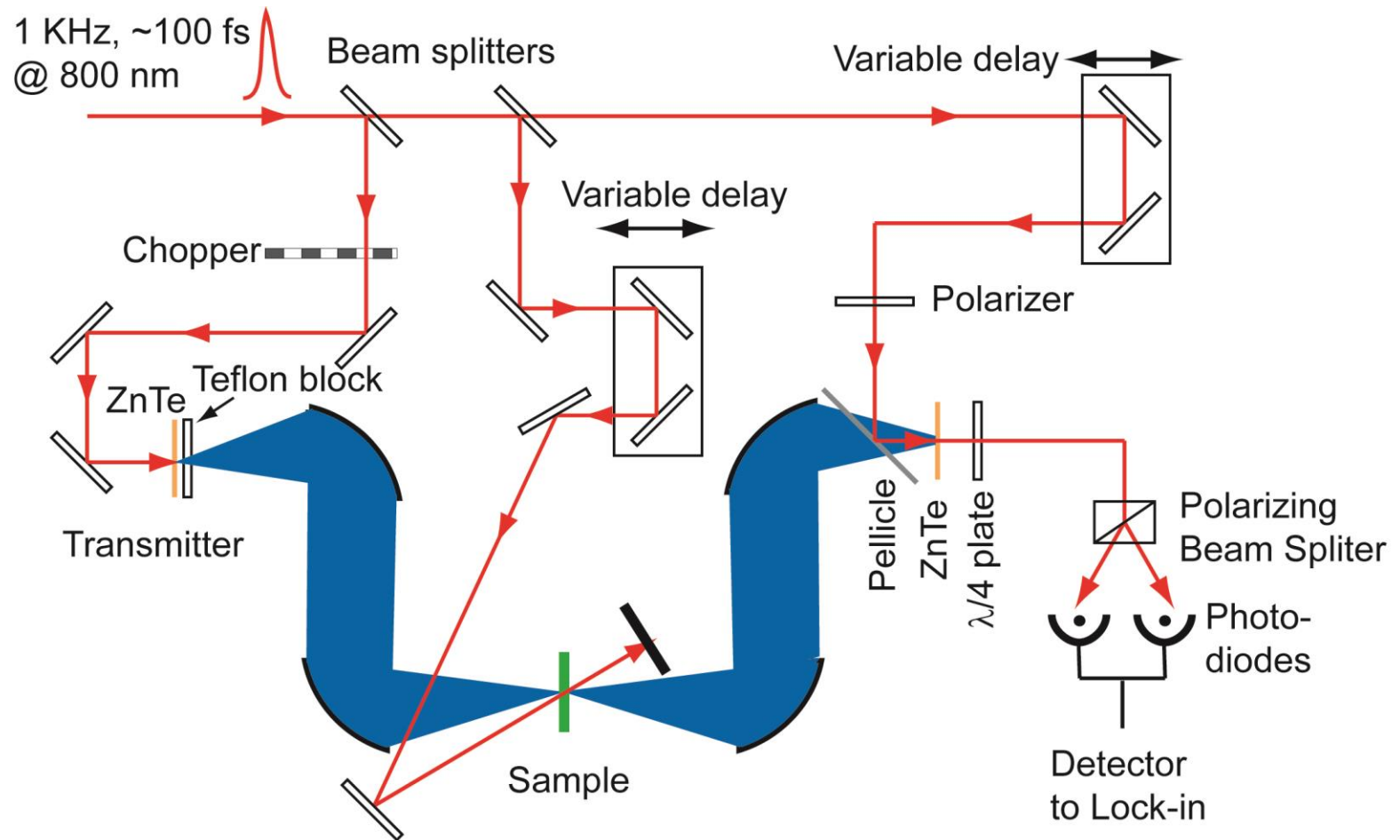


Optoelectronic (PC) Terahertz Spectrometer



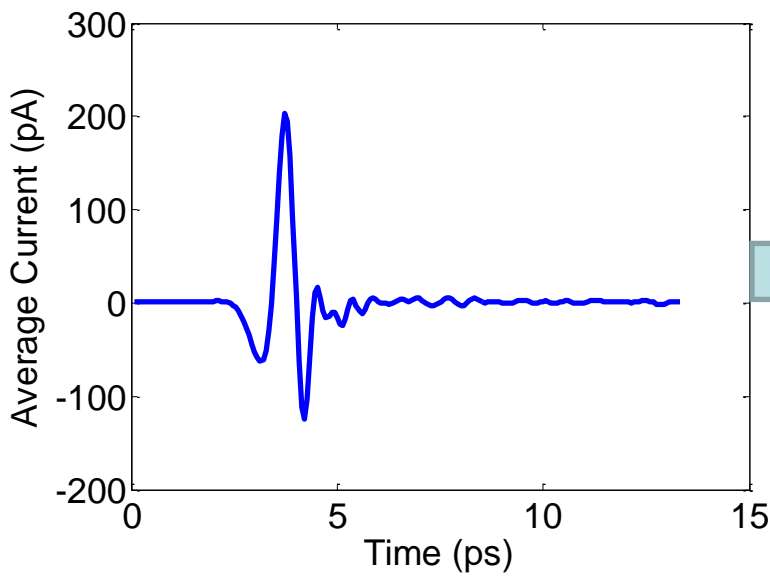


Optical THz Switch: Optical-Pump Terahertz-Probe

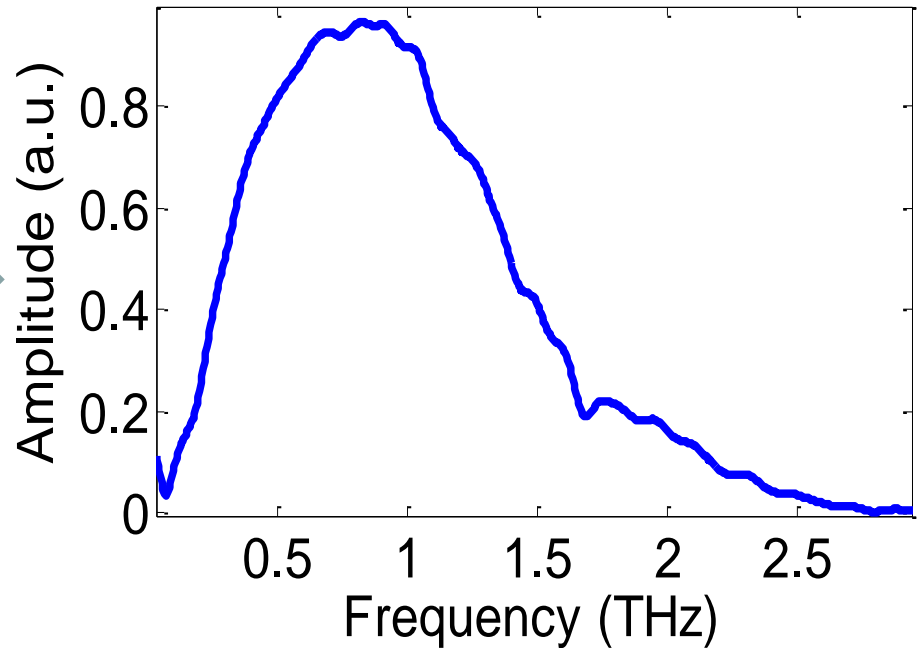




THz pulse to THz spectrum



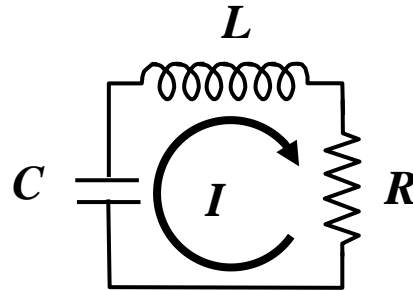
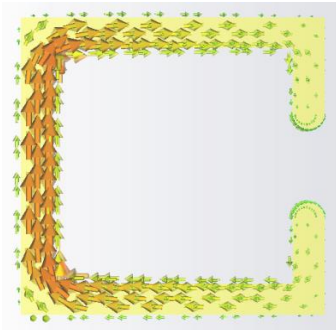
FFT



$$|\tilde{t}| = |E_S(\omega)/E_R(\omega)|$$

Metamaterial unit cell (SRR)

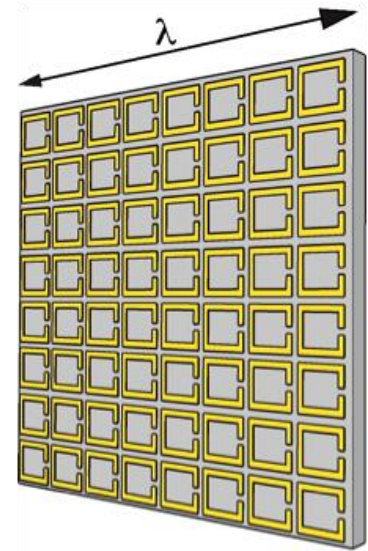
- size $\sim \lambda/10$
- Collectively acts like an effective medium



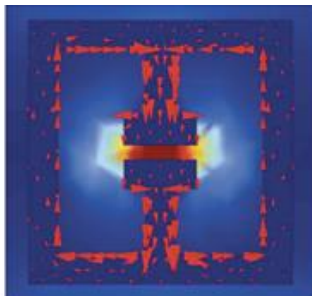
Equivalent circuit

$$\omega = \frac{1}{\sqrt{LC}}$$

Resonance frequency



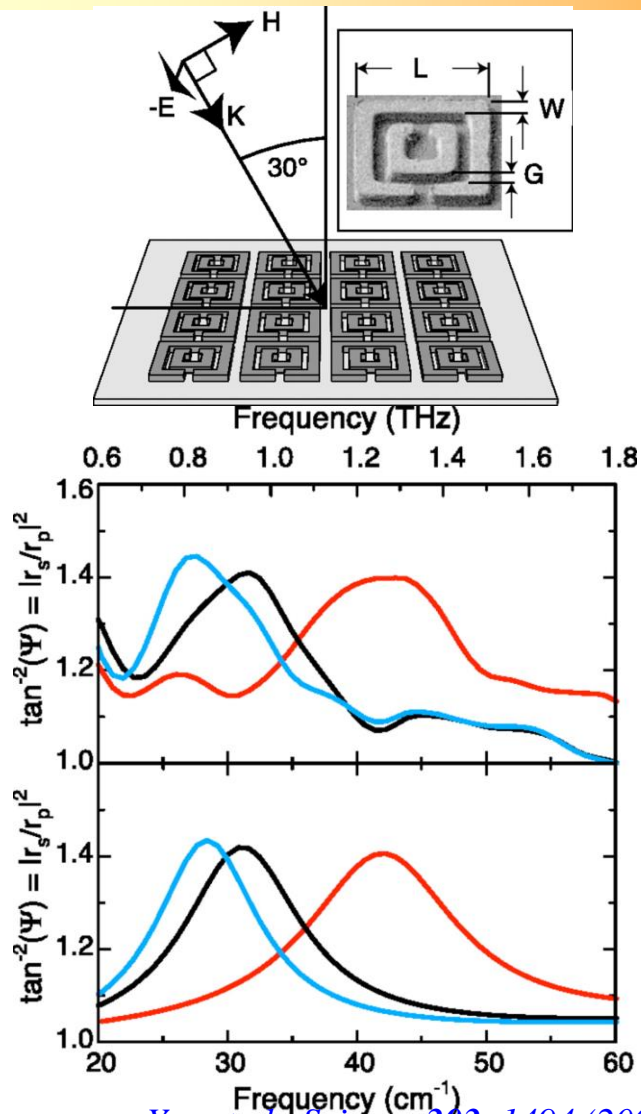
Array of SRR



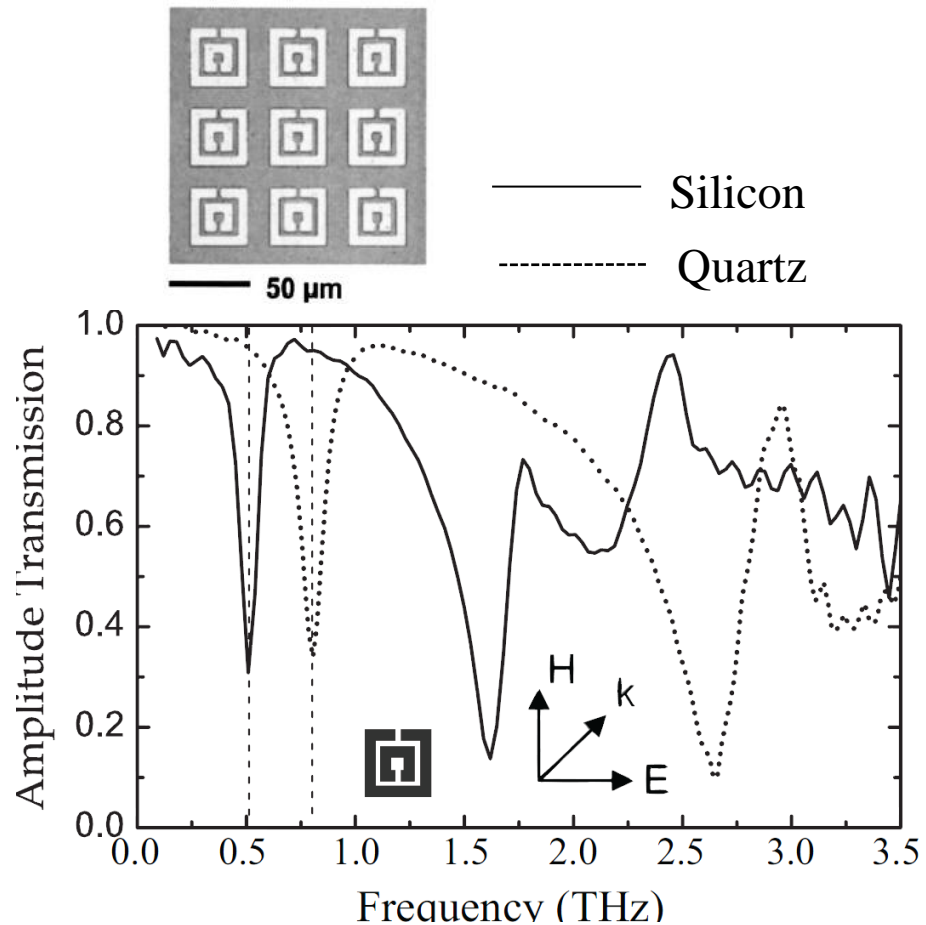
Low High

- Well disperse effective behavior dominated by individual resonance
- Effective behavior can be altered significantly from individual SRR by inter-elemental interaction

Realization of terahertz metamaterials



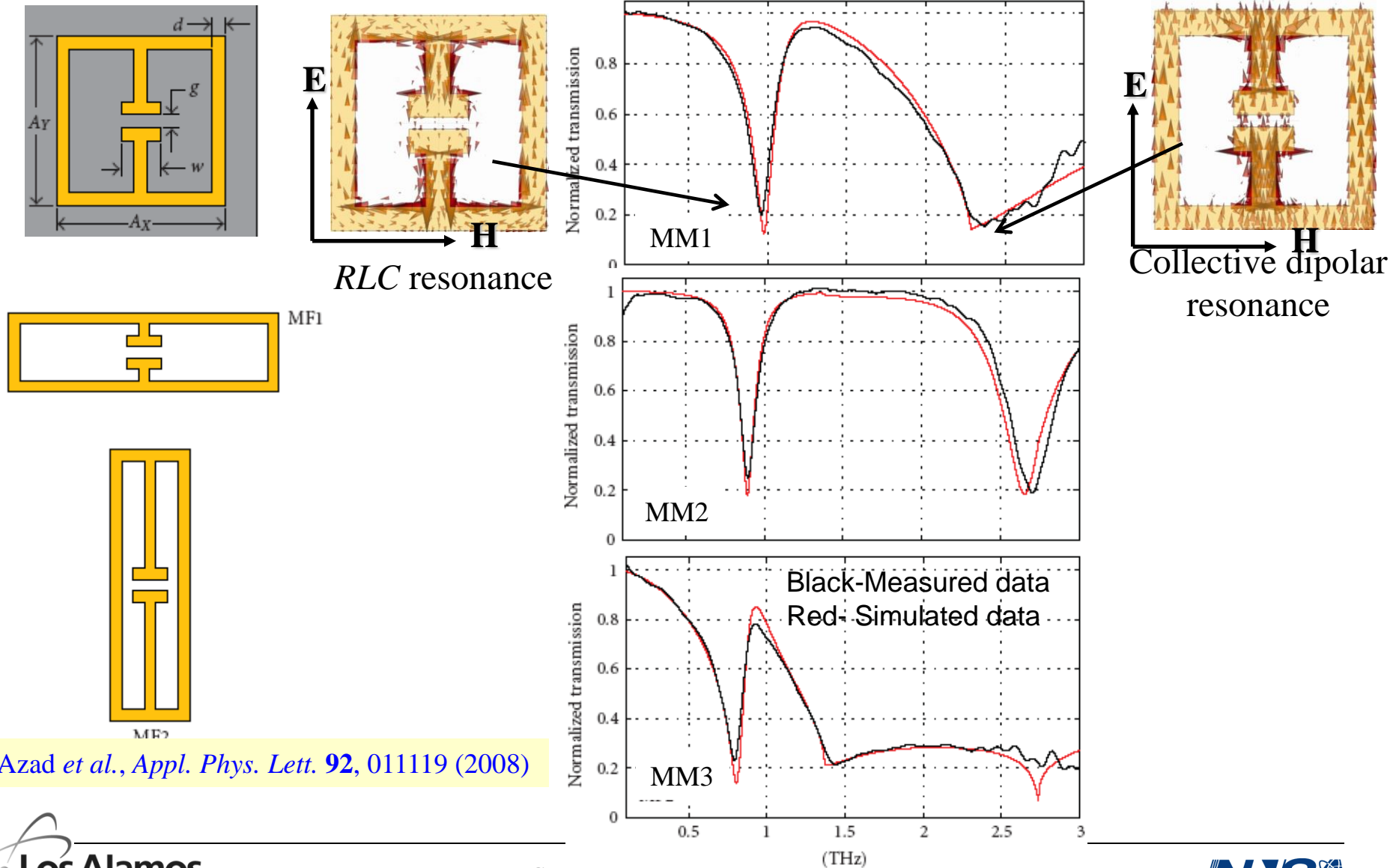
Yen et al., Science 303, 1494 (2004).



$$\omega \propto \frac{1}{\sqrt{C}} \quad \text{or} \quad \omega \propto \frac{1}{\epsilon}$$

Azad et al., Opt. Lett. 31, 634 (2006)

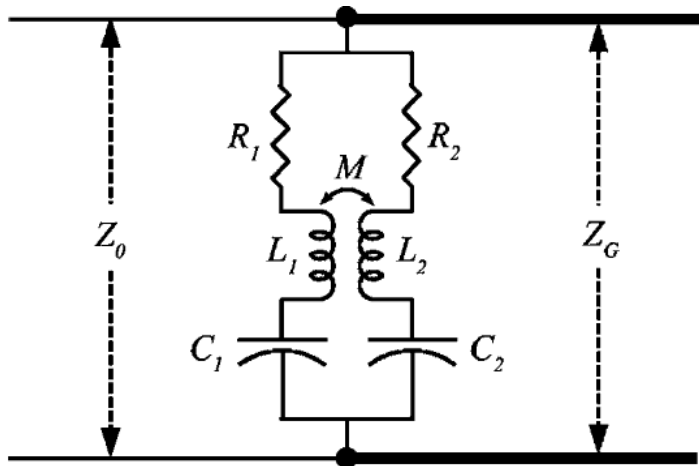
Resonances modes and intermodal interactions



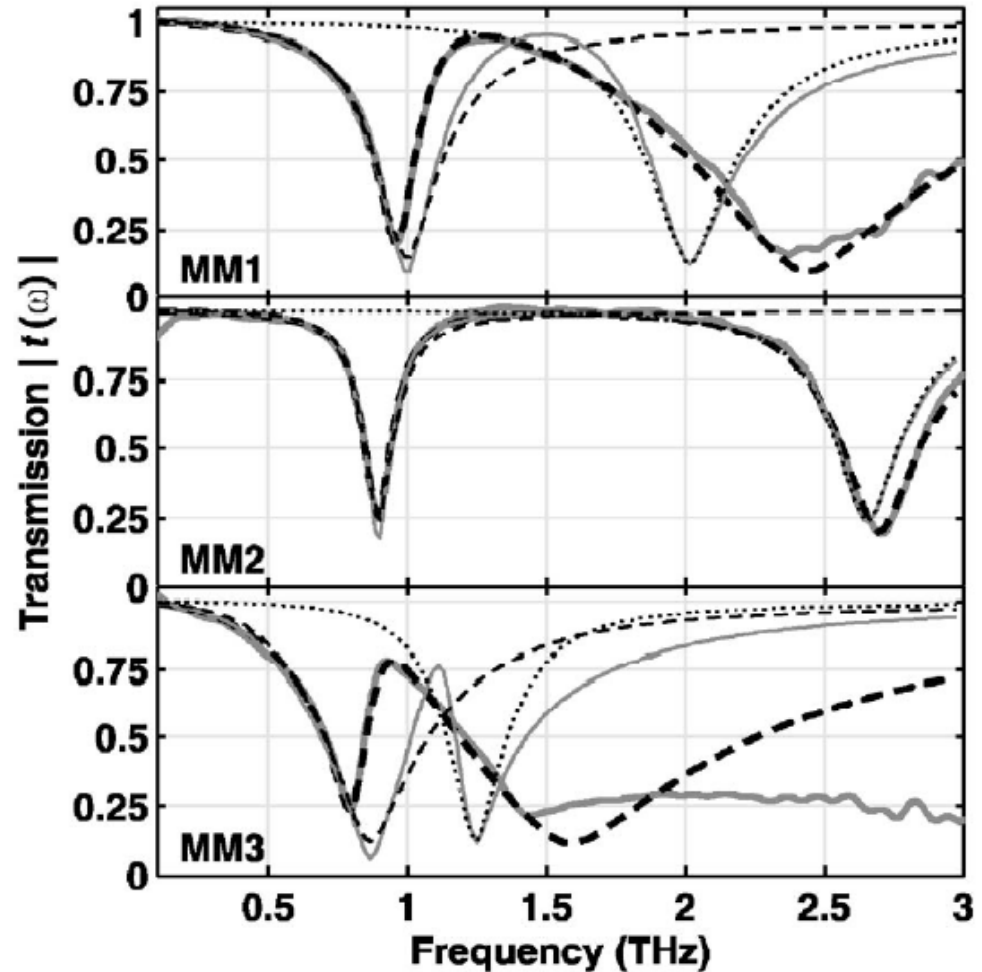
Azad et al., Appl. Phys. Lett. **92**, 011119 (2008)

Coupling between eigen states

Transmission Line Model

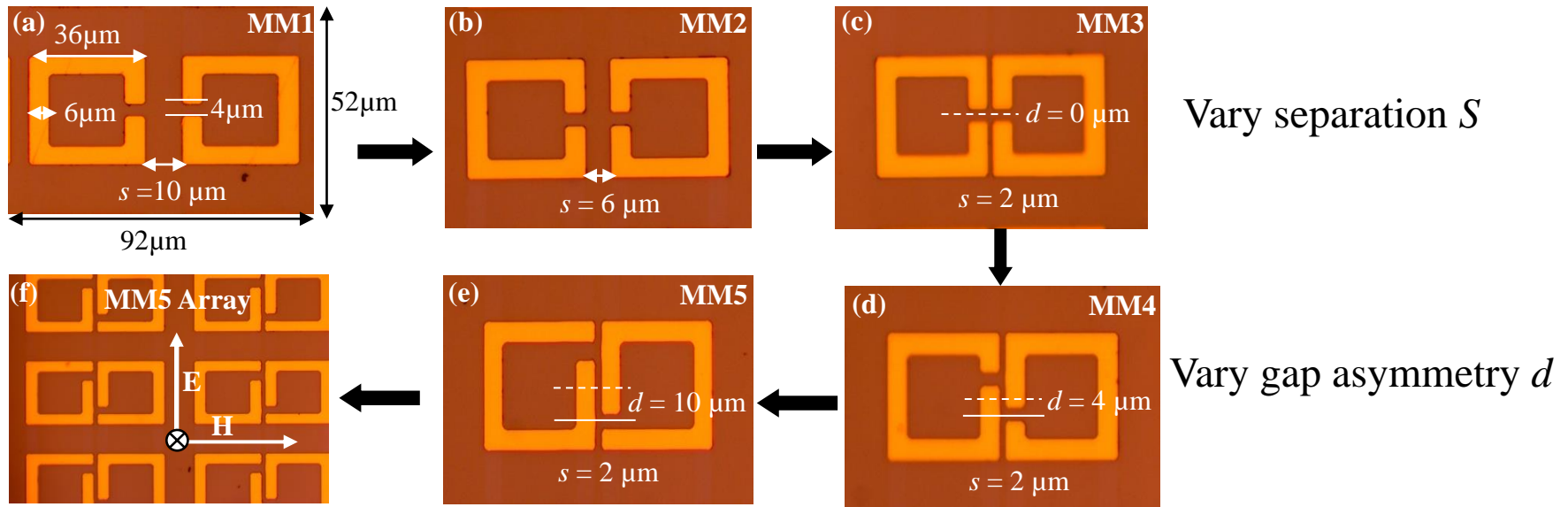


- LC resonance interacts with dipolar resonance
- L and C also varies with shape due to fringing fields



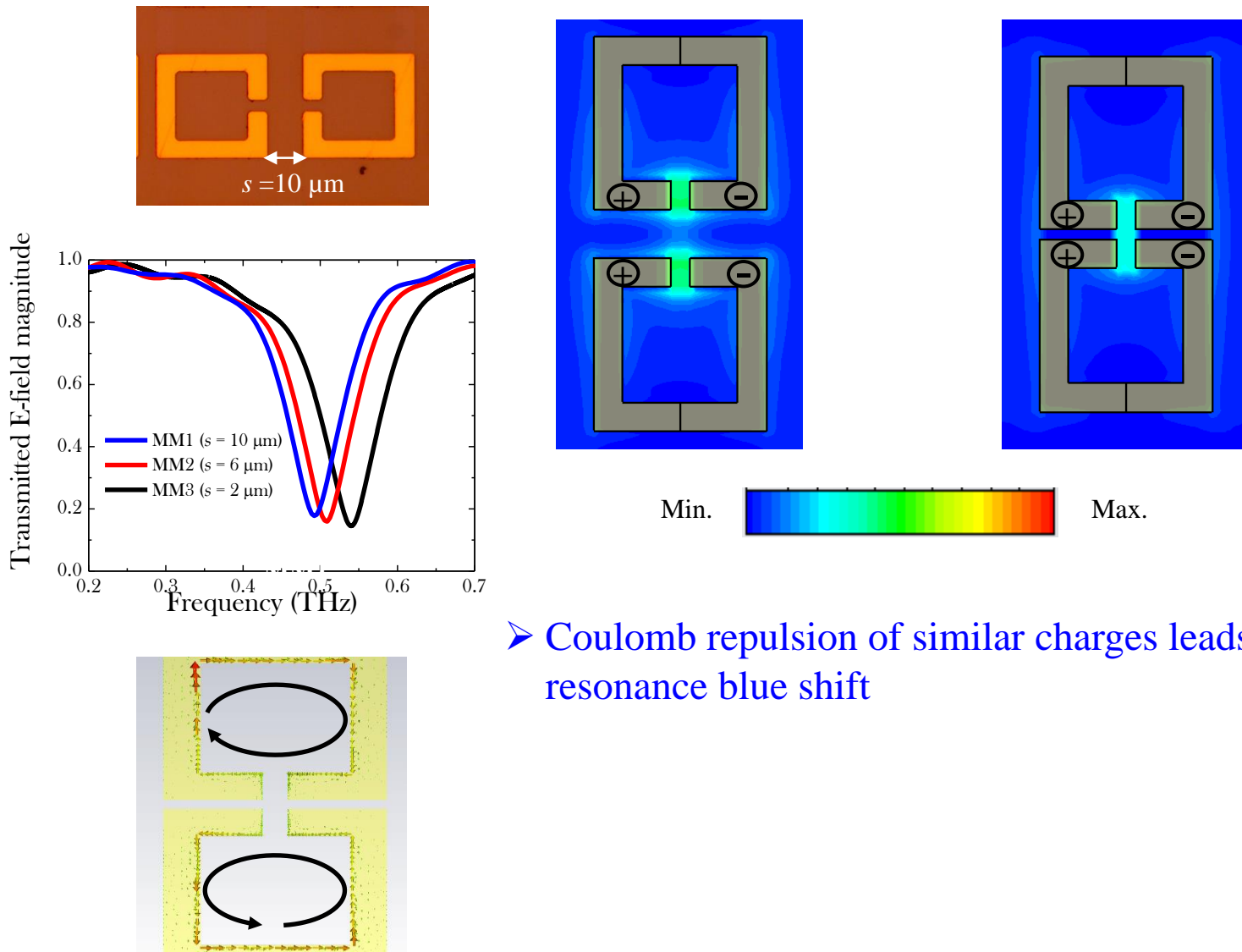
Azad *et al.*, *Appl. Phys. Lett.* **92**, 011119 (2008)

Effect of Coulomb interaction in coupled MM



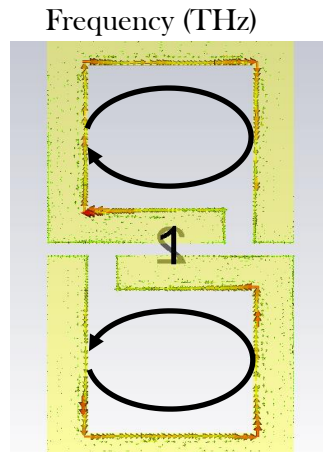
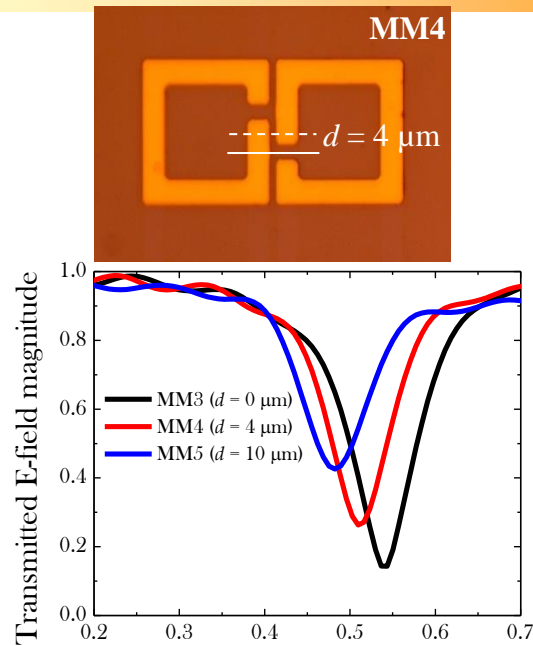
D. Roy Chowdhury et al. Opt. Exp. 19, 10679 (2011)

Effect of Coulomb interaction in coupled MM

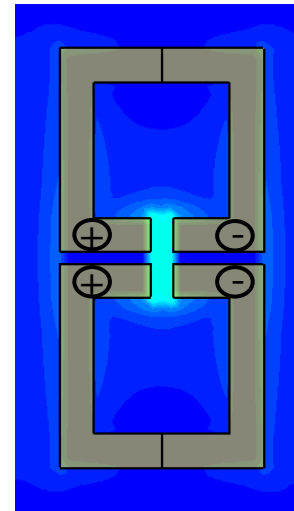


➤ Coulomb repulsion of similar charges leads to resonance blue shift

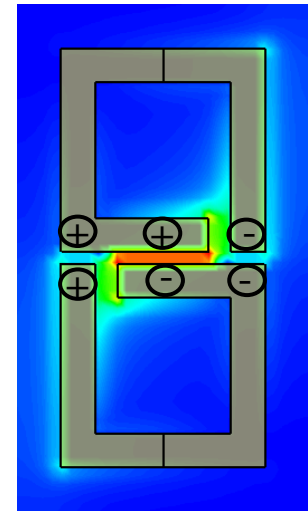
Effect of Coulomb interaction in coupled MM



MM3



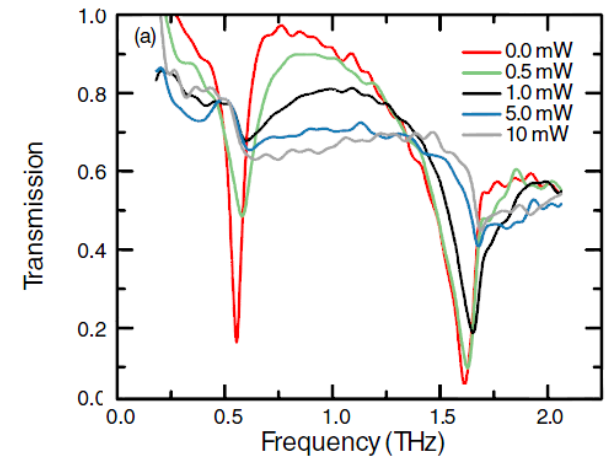
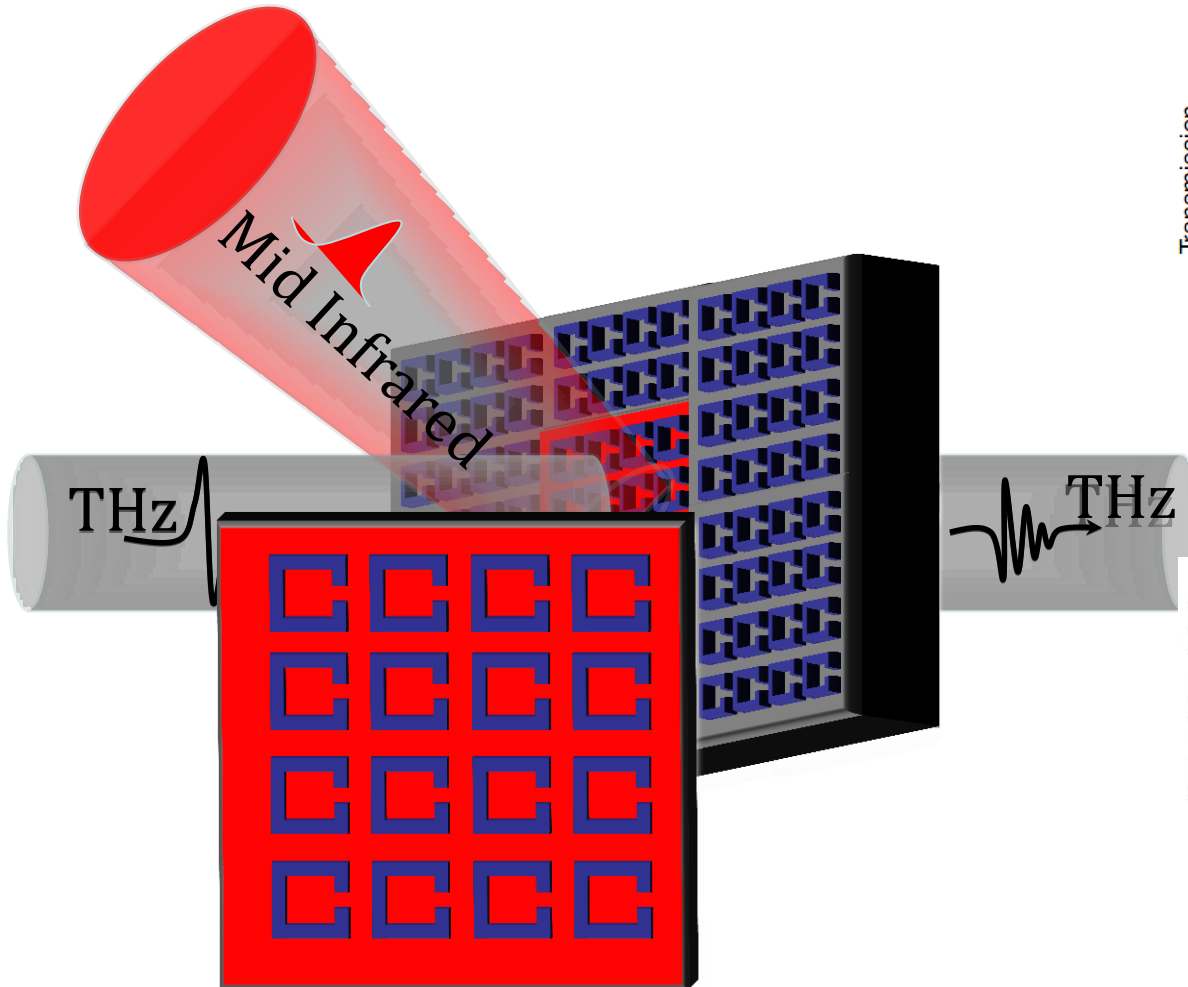
MM5



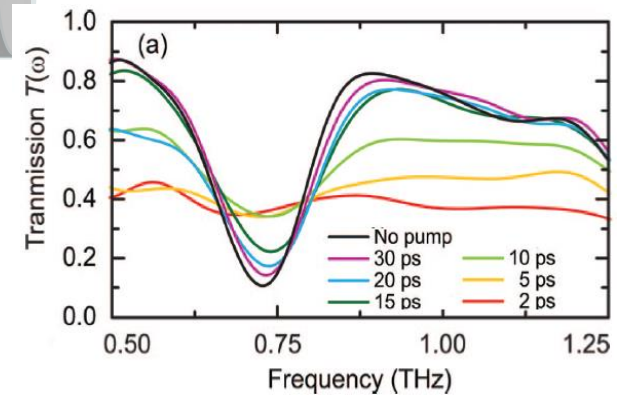
Min. Max.

- Coulomb attraction of opposite charges leads to resonance red shift
- Electric field enhancement in between the SRRs

Optical switching using bulk semiconductor substrates



W. Padilla, PRL 96, 107401 (2006)

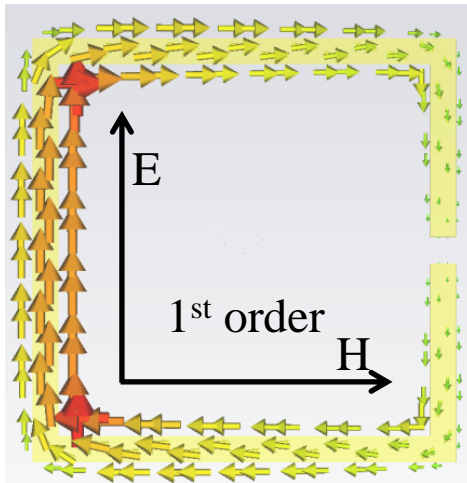


H. Chen, OPTICS LETTERS 32, 1620 (2007)

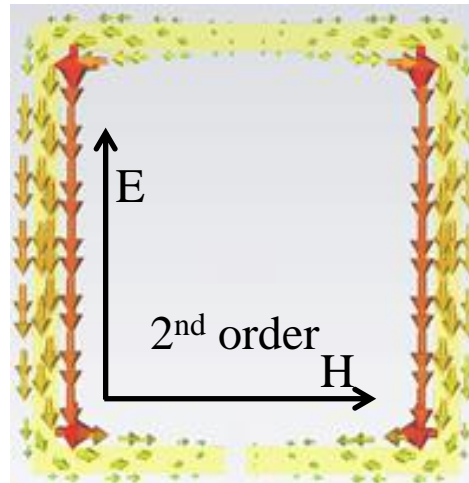


Excitation of odd or even resonances in SRR

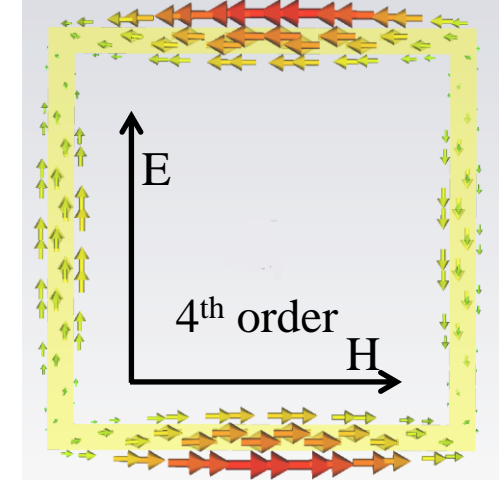
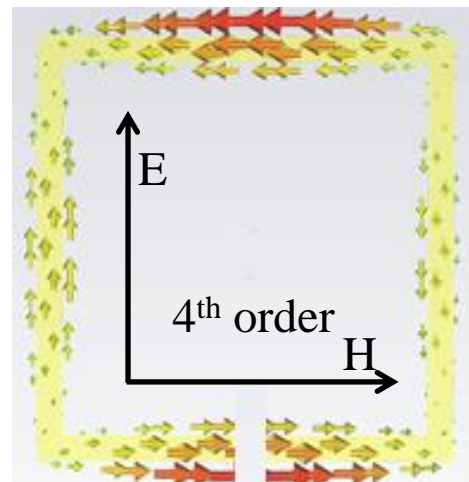
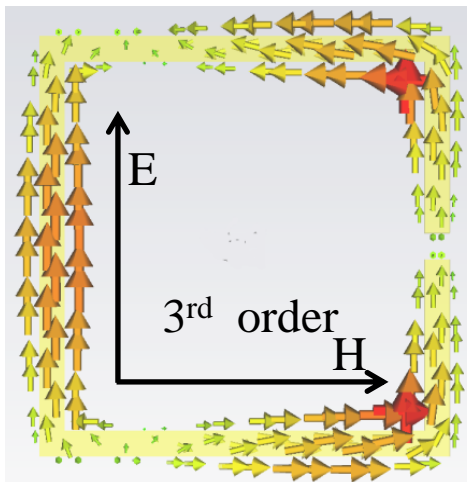
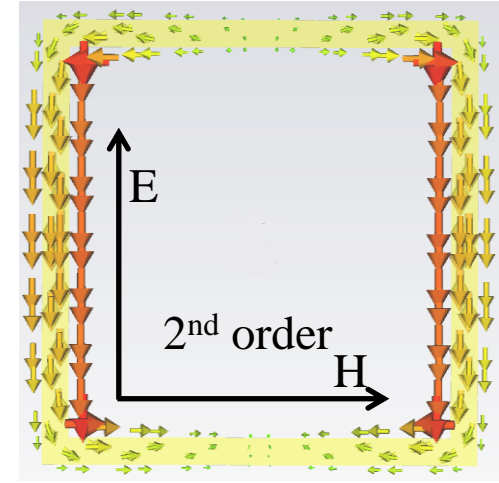
SRR odd mode



SRR even mode

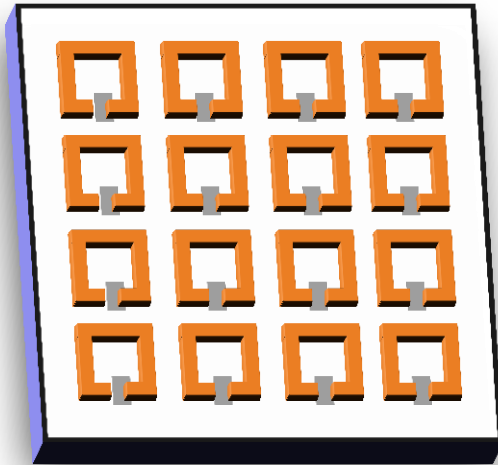


CSR even mode

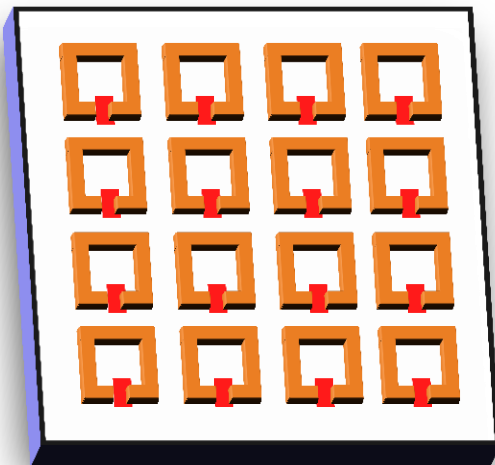


Optically reconfigurable metasurface

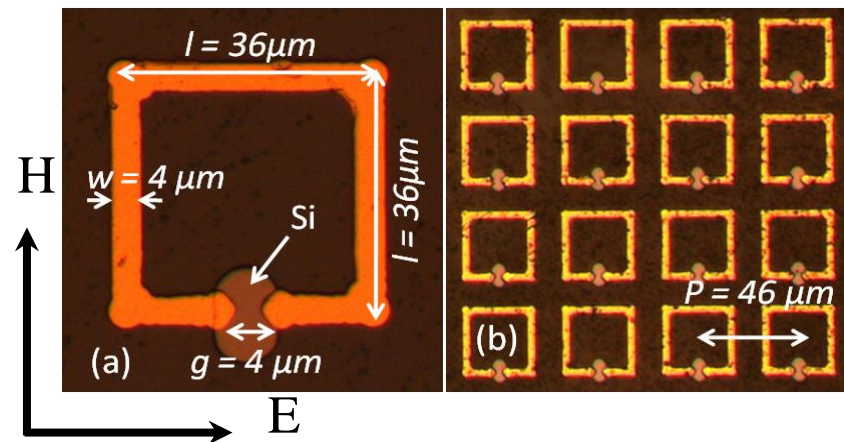
Sample before photoexcitation



Si island becomes conductive



- Substrate is 0.5 micron thick silicon film on sapphire substrate (SOS)
- Silicon islands are small and have unnoticeable effect on THz transmission while not integrated with SRR.
- Photo excitation transforms the SRR to a CRR, therefore, change the resonant conditions.
- Excites only the even mode resonances

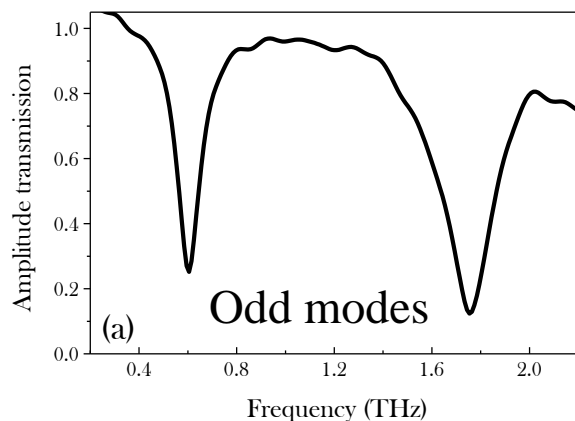


D. Chowdhury, et. al. Appl. Phys. Lett. 99, 231101 (2011)

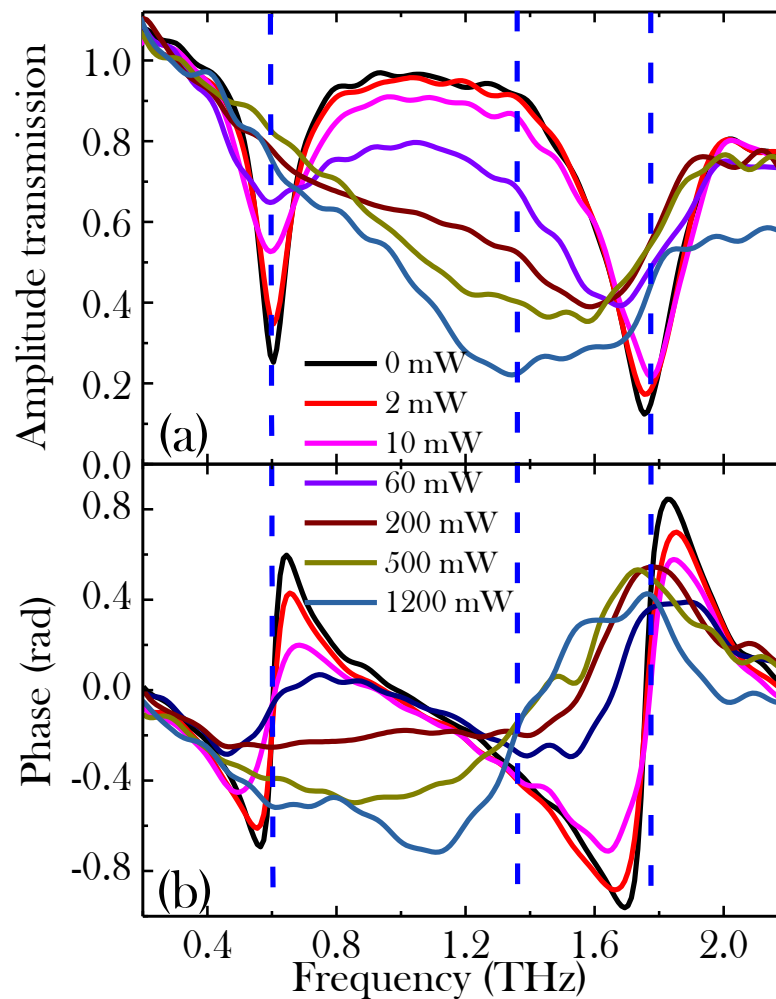
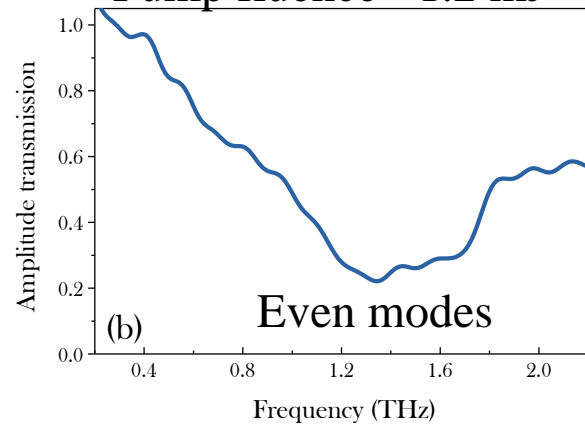


Amplitude and phase modulation with optical excitation

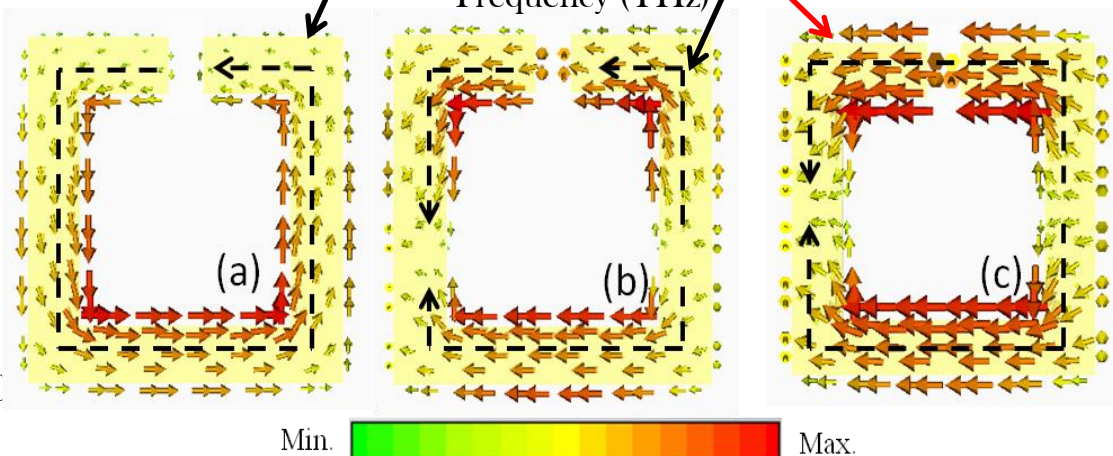
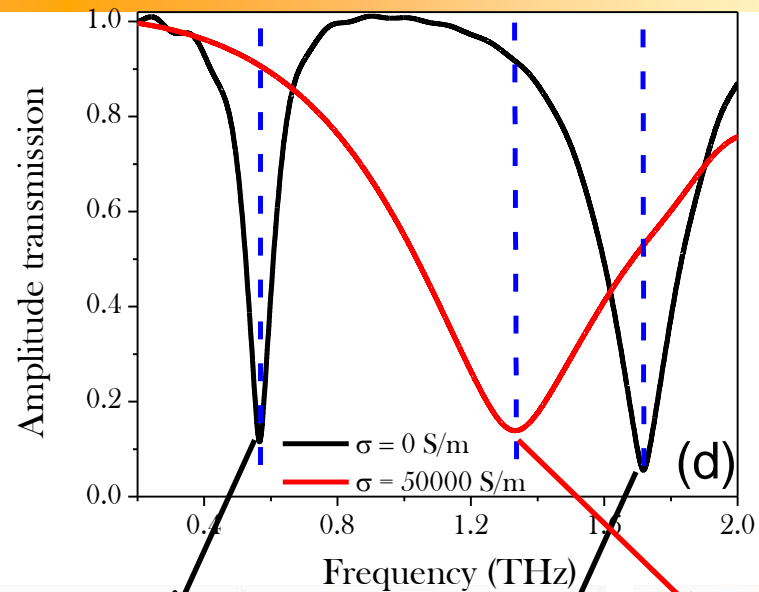
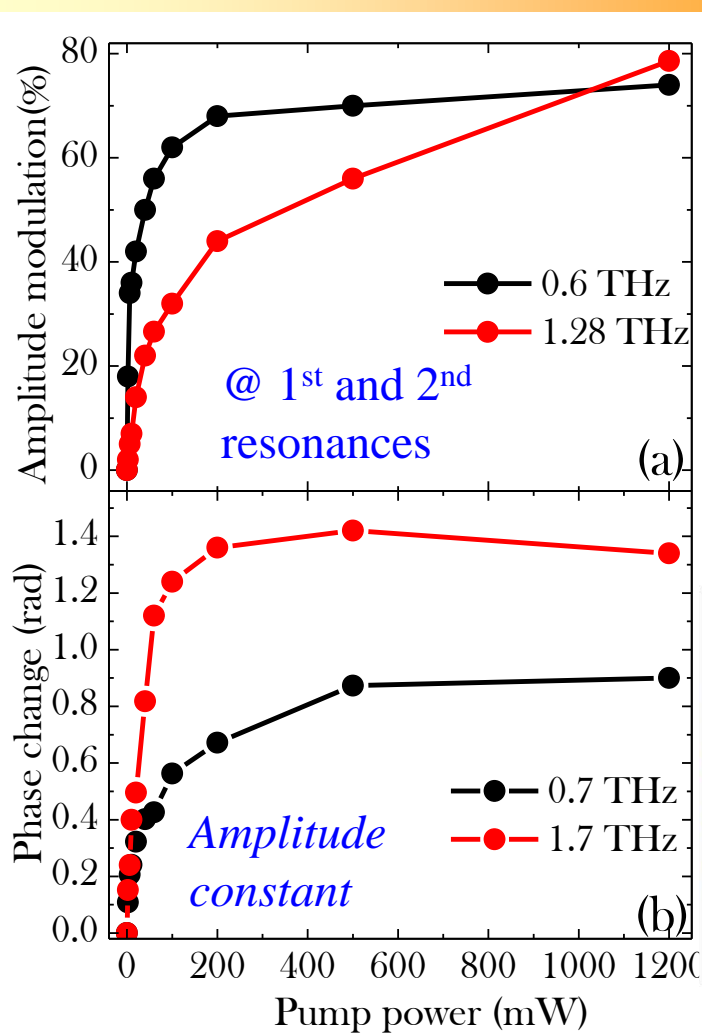
w/o optical excitation



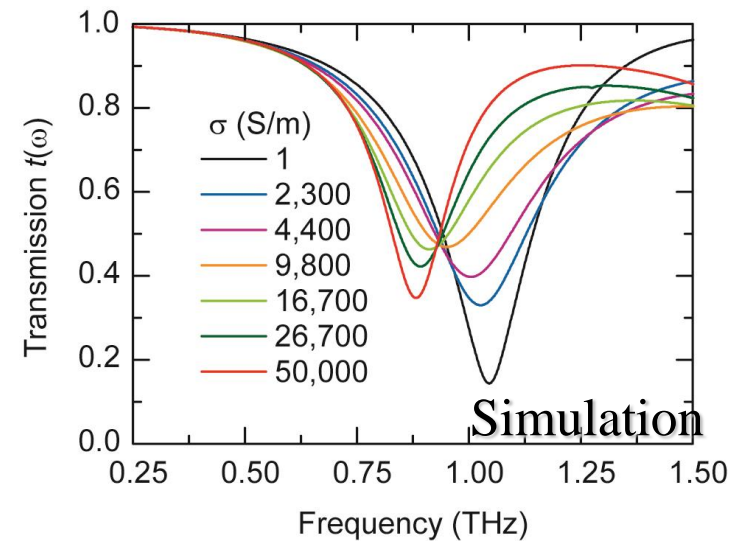
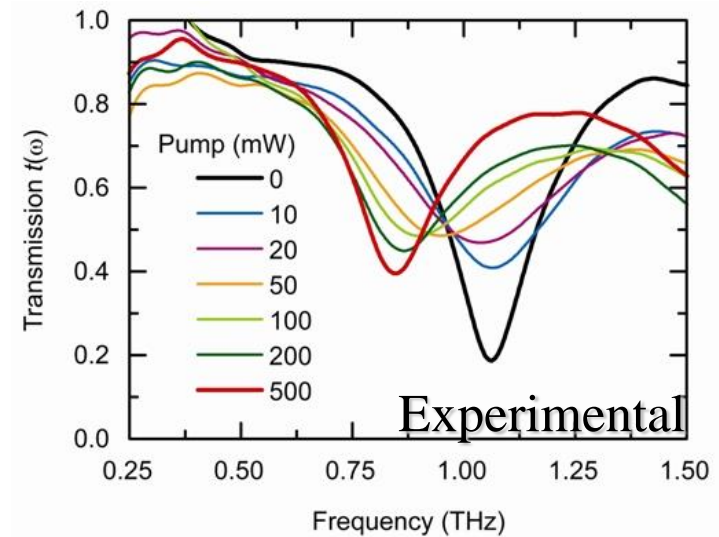
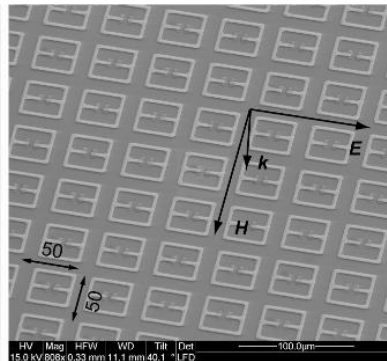
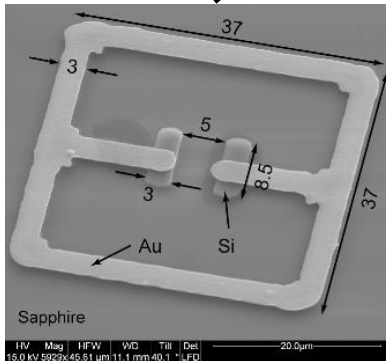
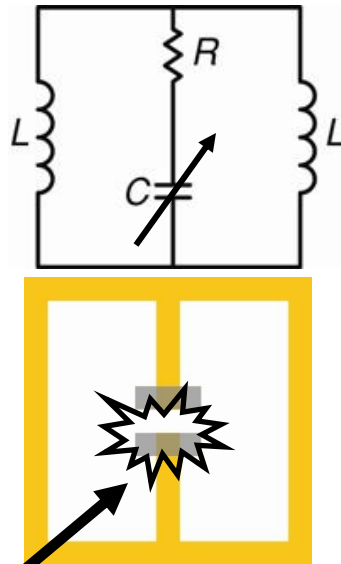
With optical excitation
Pump fluence = 1.2 mJ



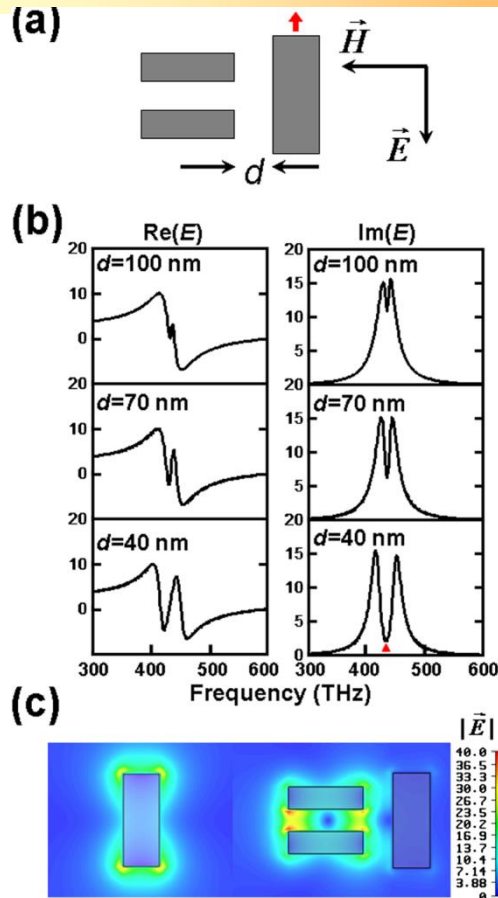
Amplitude and phase modulations due to optical excitation



Optically controlled frequency modulation



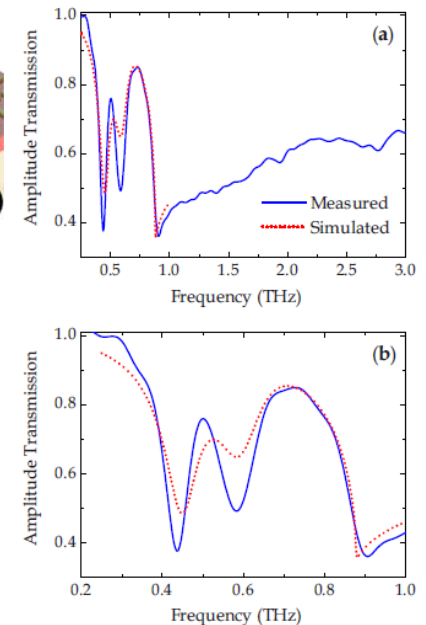
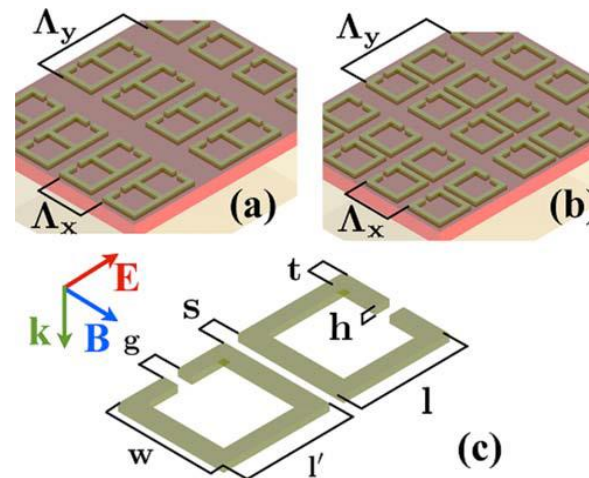
Static manipulation of coupling between resonators



S Zhang et al.

Phys. Rev. Lett., 101, 047401 (2008)

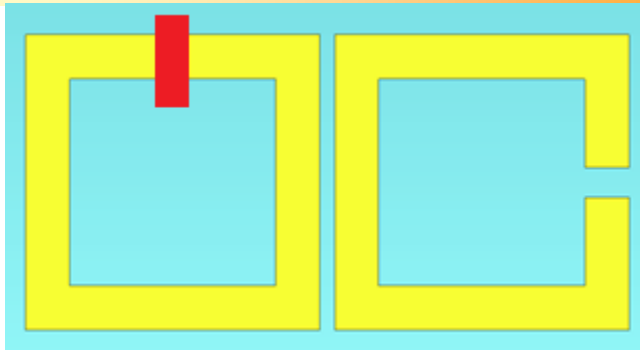
- Plasmonic coupling between bright and dark resonance modes



R. Singh et al., Phys. Rev. B 79, 085111 (2009)

- Electromagnetic coupling in Metamaterials
- Analogue of electromagnetically induced transparency
- Slow light

Design of the unit metamolecule



Dark

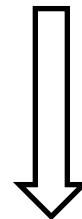
Bright

Conditions for strong coupling:

- $\omega_{0(\text{Bright})} \approx \omega_{0(\text{Dark})}$
- Separation between Bright and Dark elements must be small

Ultrafast manipulation: dynamically modify $\omega_{0(\text{Dark})}$

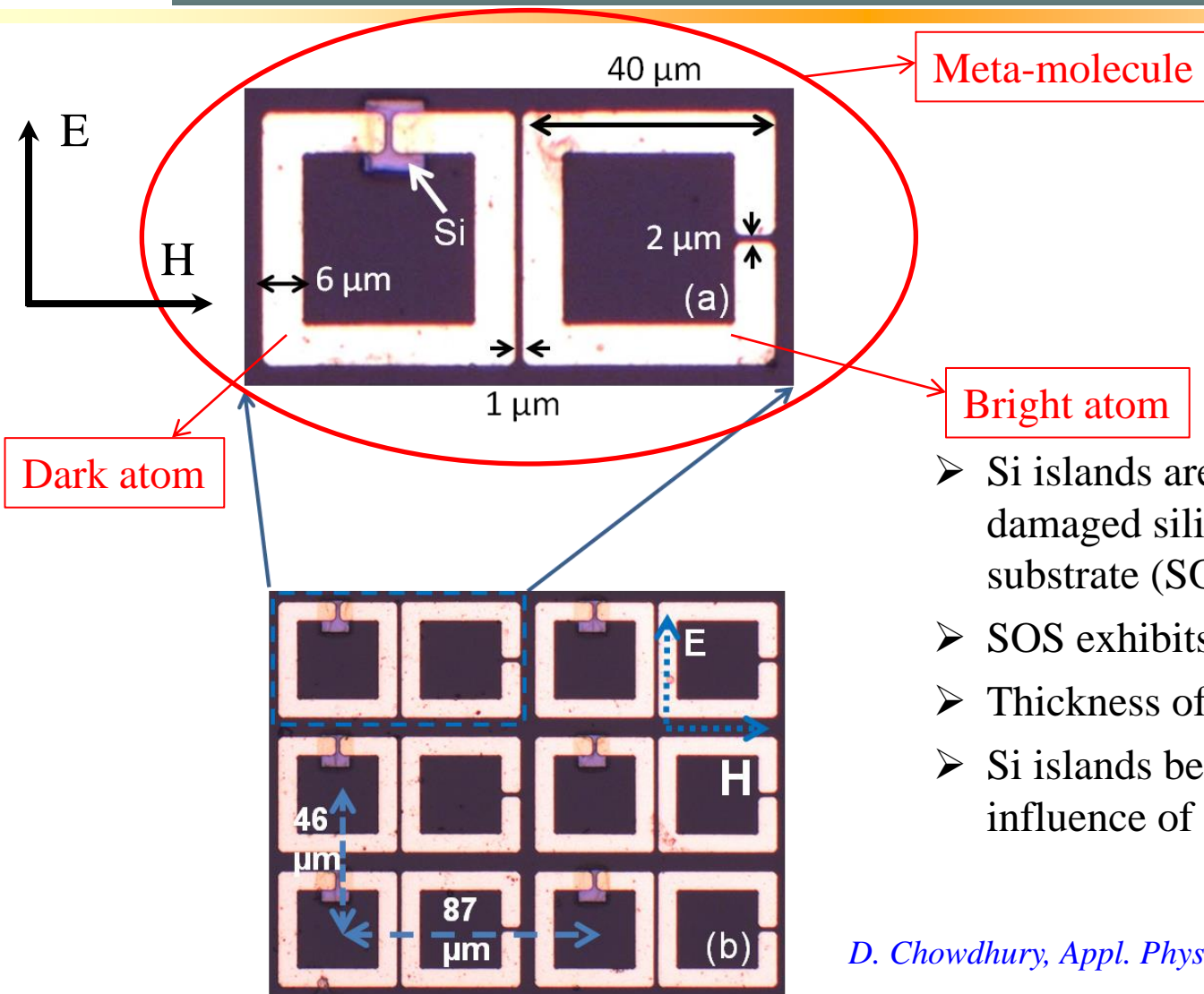
- Optical excitation by 800 nm pump
- Ultrafast electron lifetime in Radiation Damaged Silicon (RAD-Si)
- Simultaneous THz transmission spectroscopy
- At different time delay (ultrafast time scale)



consequence

With different time delay split gap conductivity of dark resonator changes, hence inter resonator magnetic coupling is modified

Fabricated sample

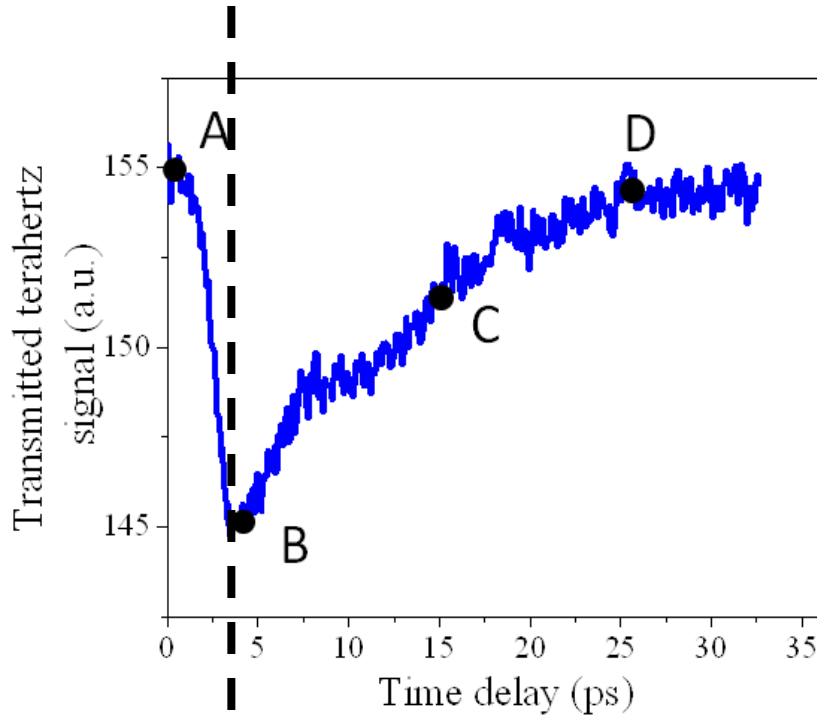


- Si islands are made from radiation damaged silicon film on sapphire substrate (SOS)
- SOS exhibits ultrafast carrier dynamics
- Thickness of silicon is 0.5 μm
- Si islands become conductive under the influence of optical excitation

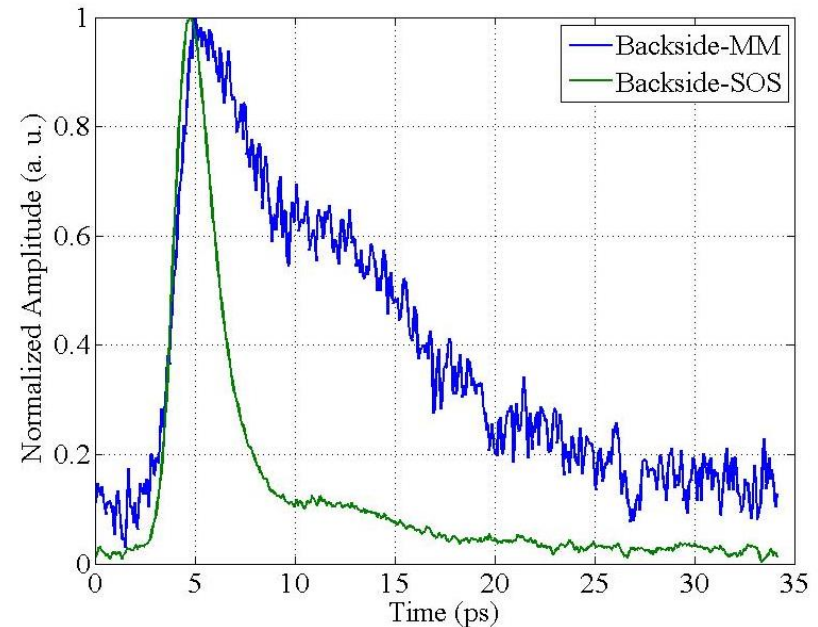
D. Chowdhury, Appl. Phys. Lett. 102, 011122 (2013)



Ultrafast carrier dynamics of RD-SOS



- Conductivity of Si is maximum @ B
- Majority of the carriers recombine @ C
- Si becomes nonconductive again @ D

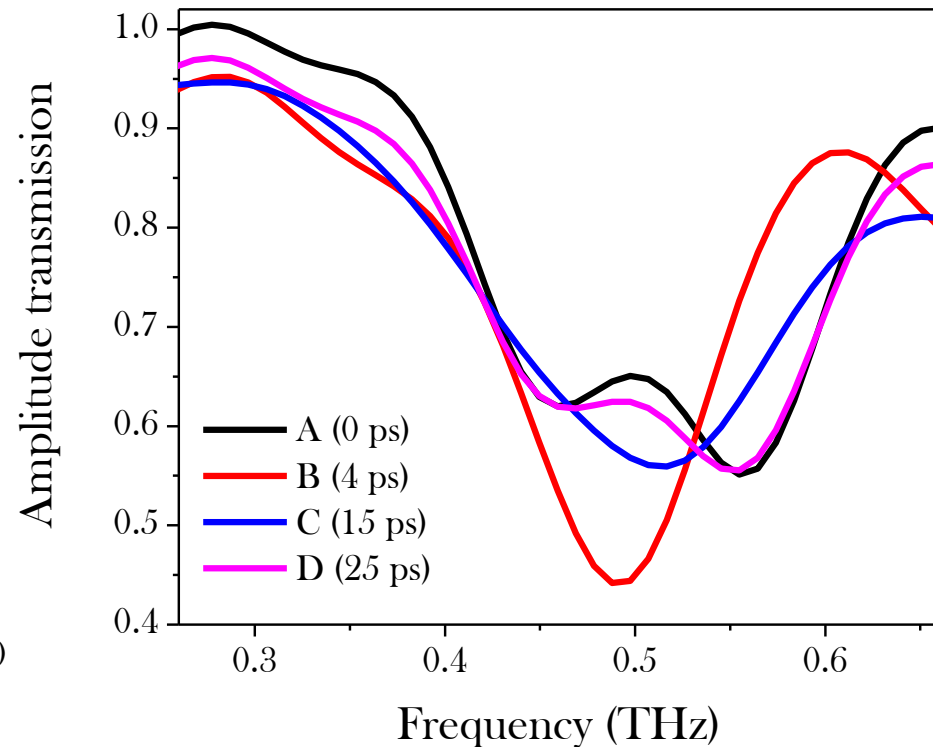
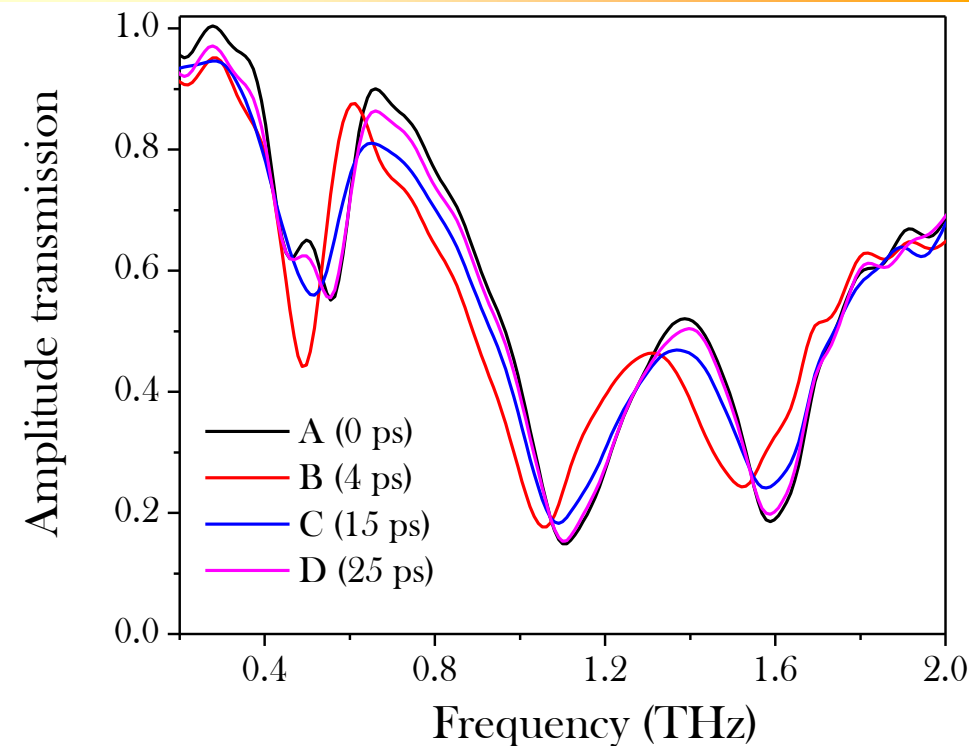


Carrier life times exhibit different dynamics with and without SRR structures

D. Chowdhury, Appl. Phys. Lett. 102, 011122 (2013)



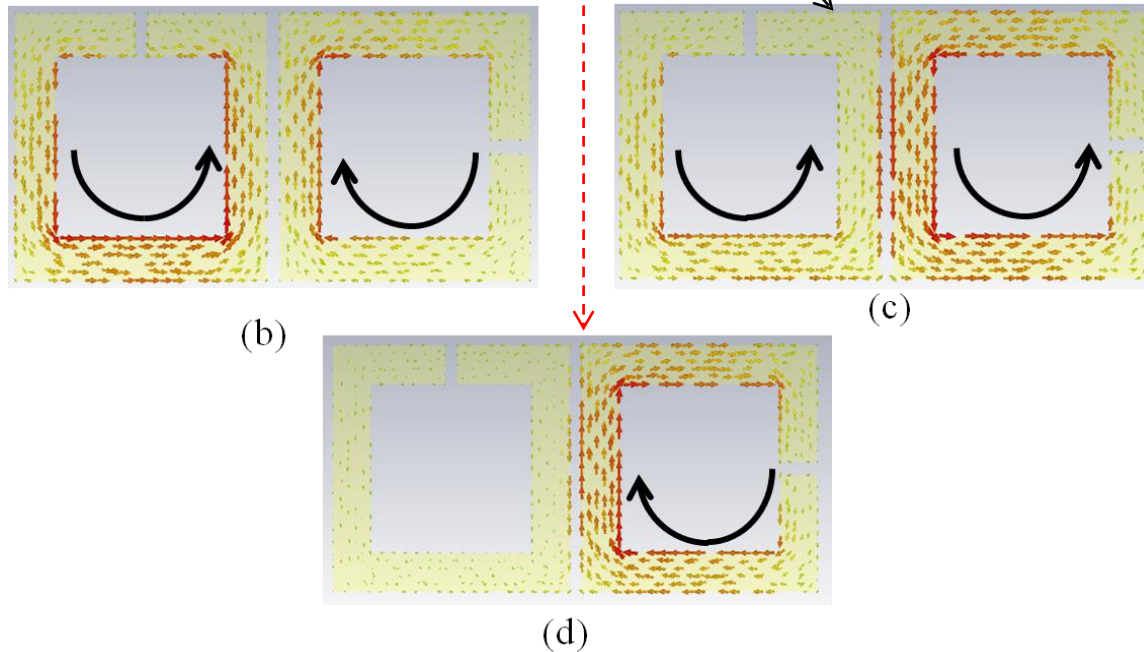
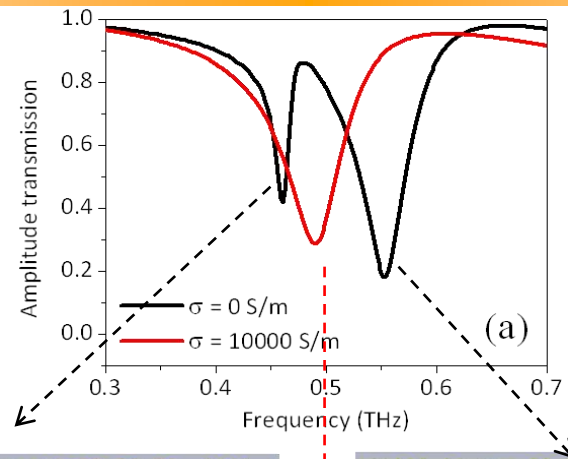
Amplitude transmission at various time delay



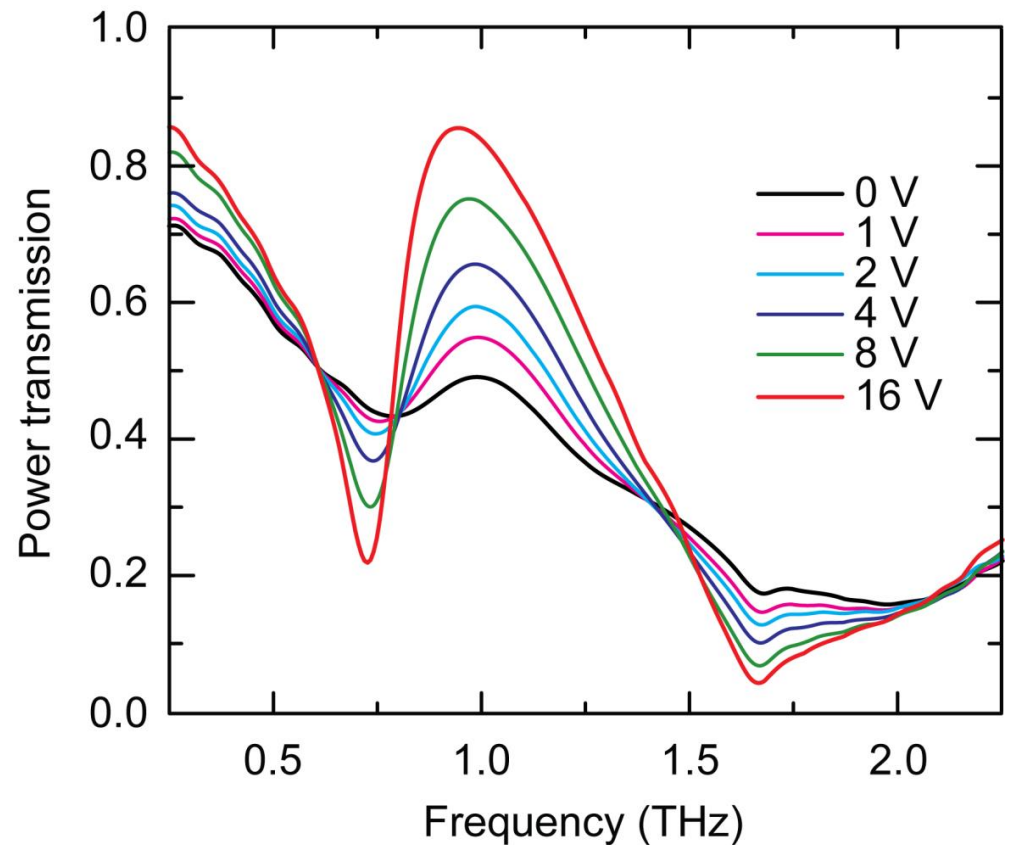
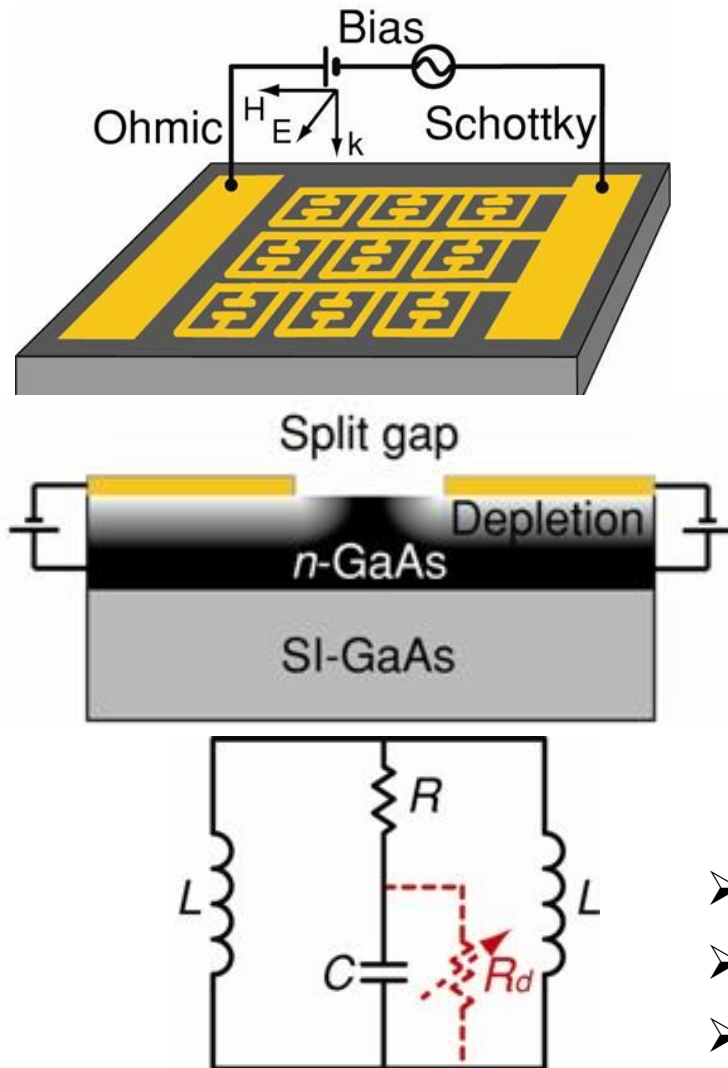
- Before optical pump pulse arrive we observe strong coupling
- At point B Si becomes conductive, dark SRRs act like CRR, and the system becomes an uncoupled system – manifested by a single resonance peak
- With time Si becomes nonconductive and the system restores the coupling nature
- Complete recovery takes ~ 25 ps

D. Chowdhury, Appl. Phys. Lett. 102, 011122 (2013)

CST Simulation with different conductivities of silicon



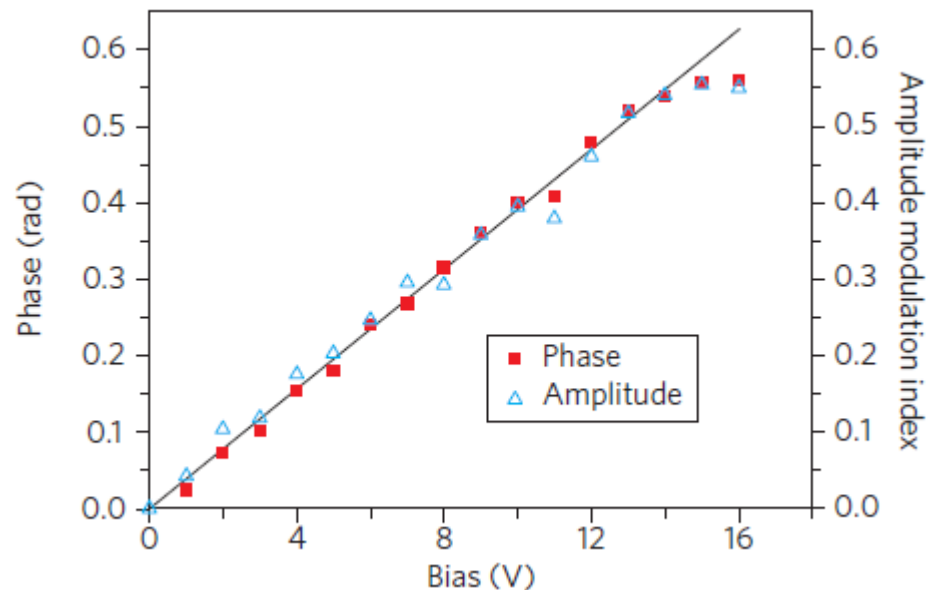
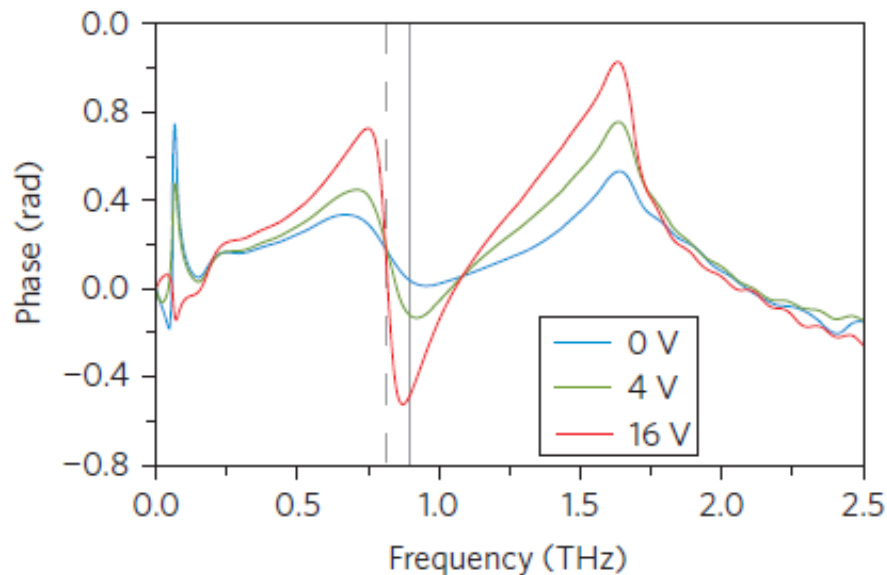
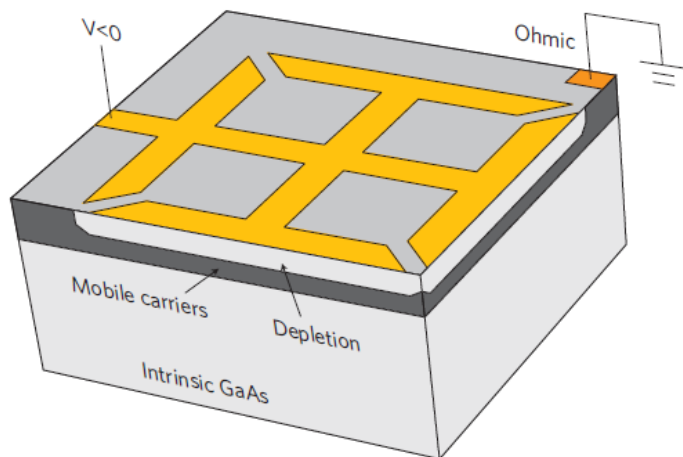
Electrically Controlled Modulation



- Power modulation depth: $\Delta T/T = T_{0V} - T_{16V} / T_{0V} = 5$
- Modulation up to 2 MHz demonstrated
- Room Temperature Operation

Chen, Nature 444, 597 (2006)

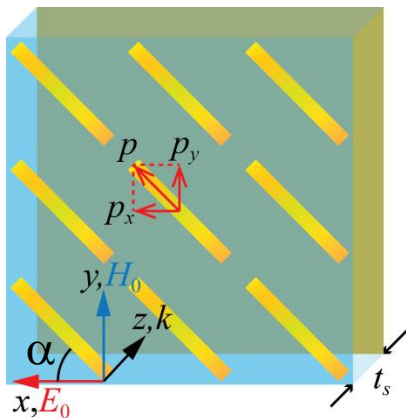
Solid-state terahertz modulator



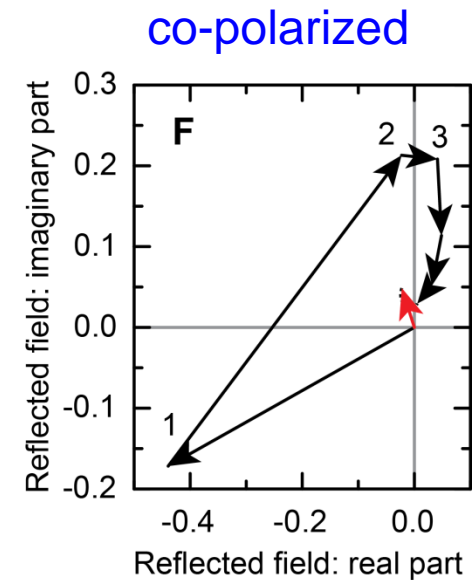
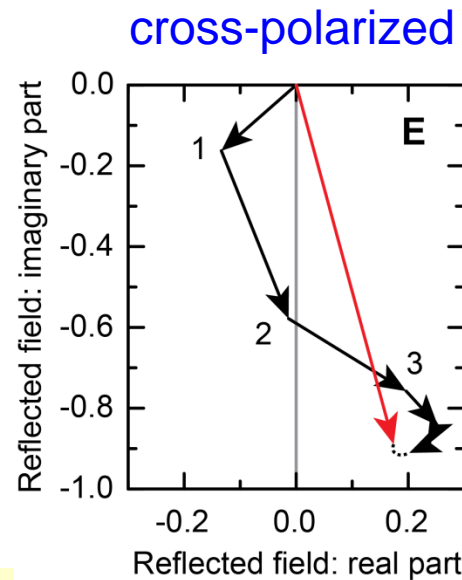
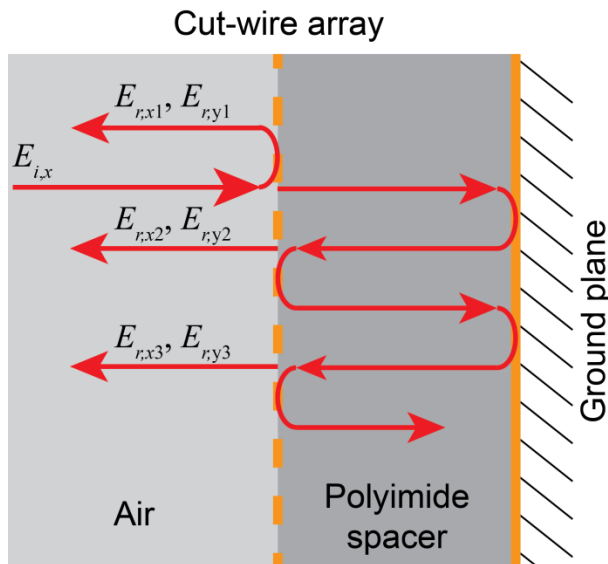
- Amplitude at 0.75 THz change linearly with bias
- Phase at 0.85 THz changes linearly
- First THz solid state phase modulator

H. Chen, Nat. Photon. 3, 148 (2009)

Polarization Converter: Reflection



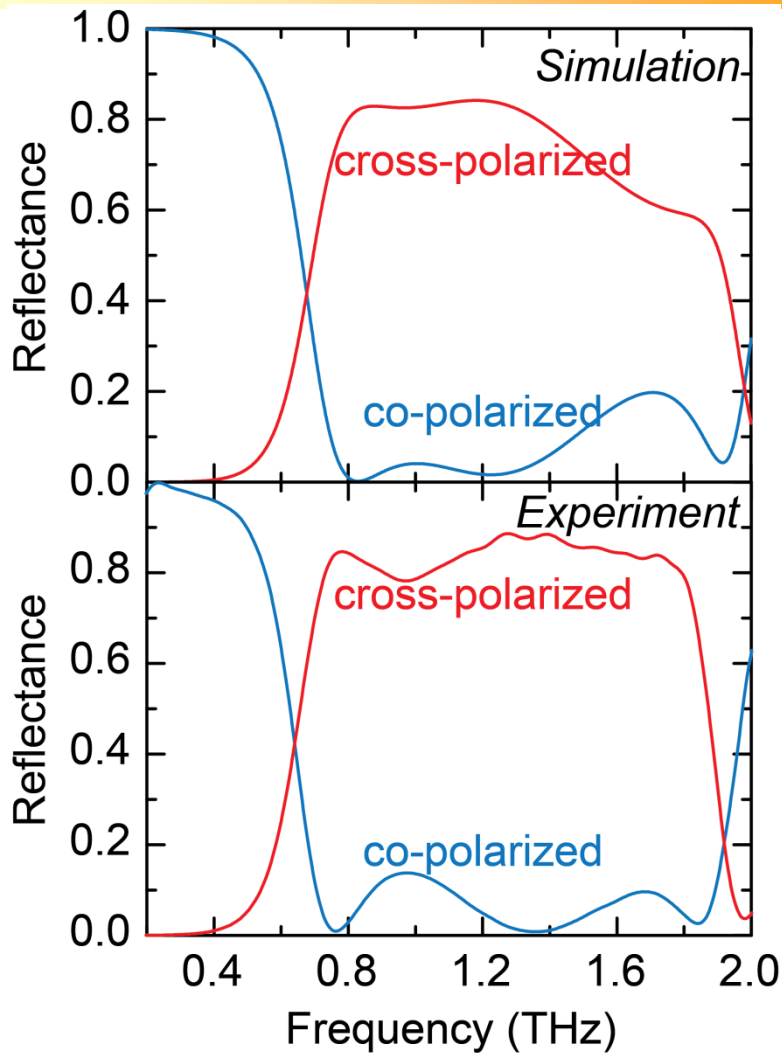
- ❑ Backed with a metal ground plane with appropriate thick dielectric spacer
- ❑ Multiple reflections contain both x and y components
- ❑ The co-polarized multiple reflections interfere destructively, leading to minimal co-polarized reflection
- ❑ The cross-polarized multiple reflections interfere constructively, leading to high cross-polarized reflection



Grady et al., Science 340, 1304 (2013).



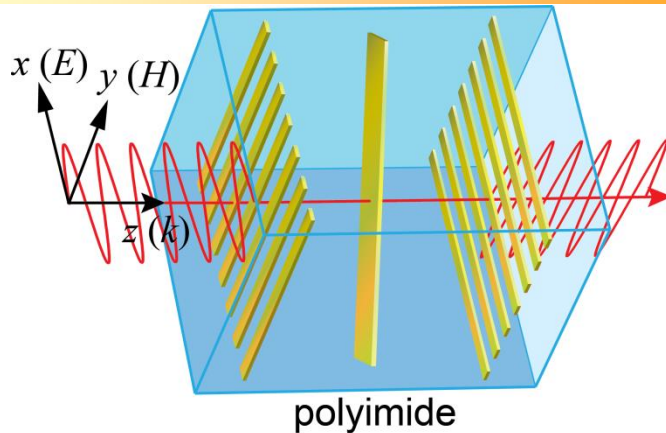
Metamaterial Polarization Converter: Reflection



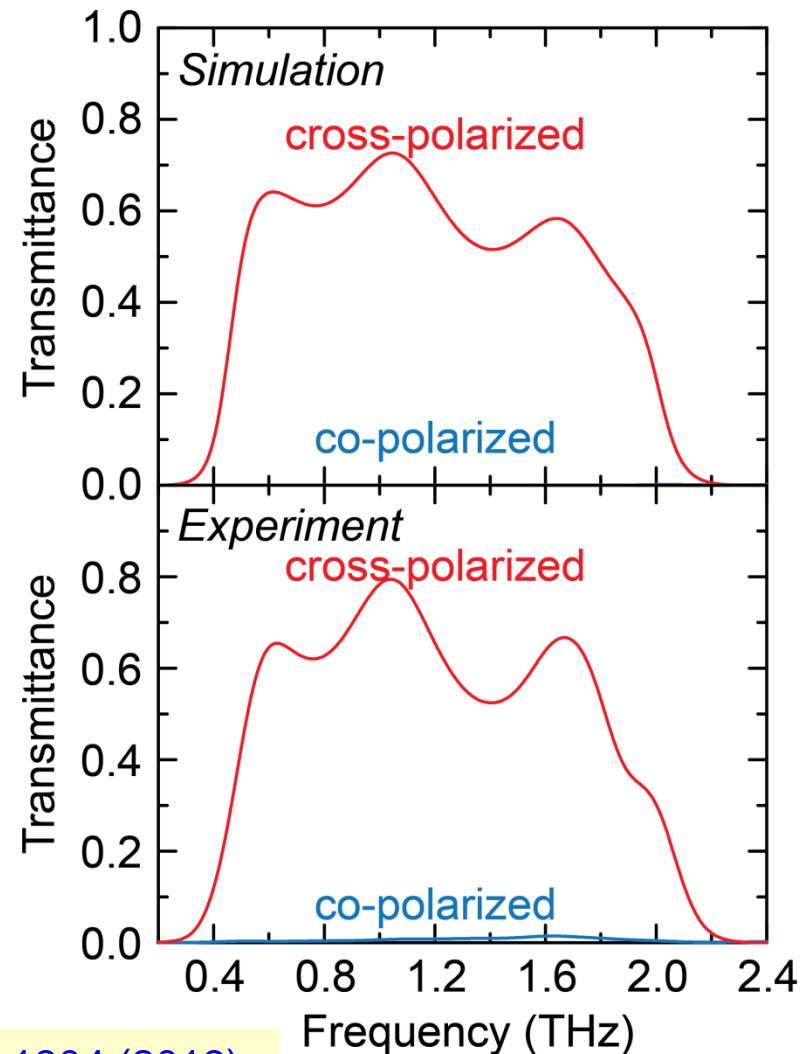
- ❑ Demonstrated in both simulations and experiments
- ❑ Device thickness 33 μm
- ❑ Co-polarized reflection is minimal
- ❑ Cross-polarized reflectance is more than 80%
- ❑ Broadband: destructive interference at multiple frequencies

Grady et al., *Science* **340**, 1304 (2013).

Polarization Converter: Transmission

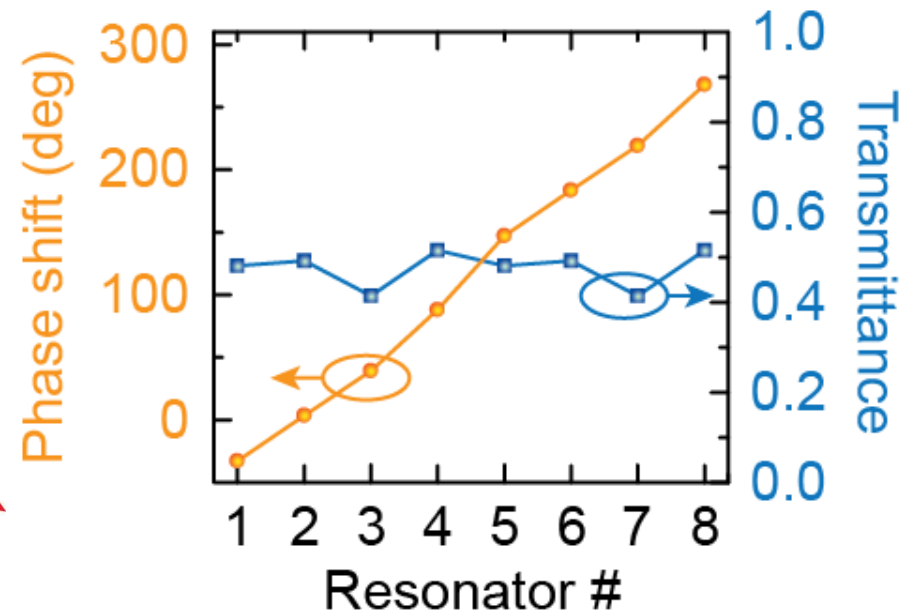
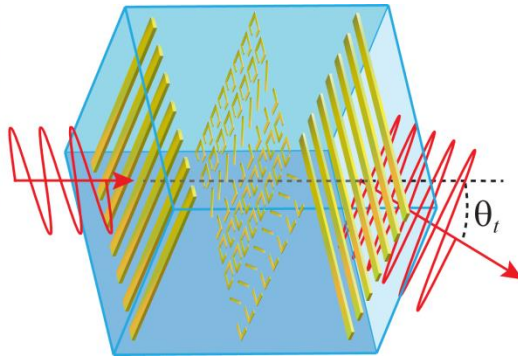
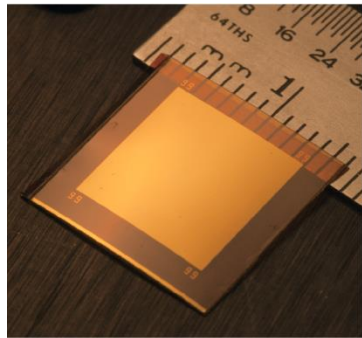


- ❑ The gratings are equivalent to ground plane for electric field polarized along the grating lines.
- ❑ The back grating prevents co-polarized light from transmitting through
- ❑ The front grating prevents the converted cross-polarized light from reflecting back



Grady et al., *Science* **340**, 1304 (2013).

Anomalous Refraction: Generalized Snell's Law

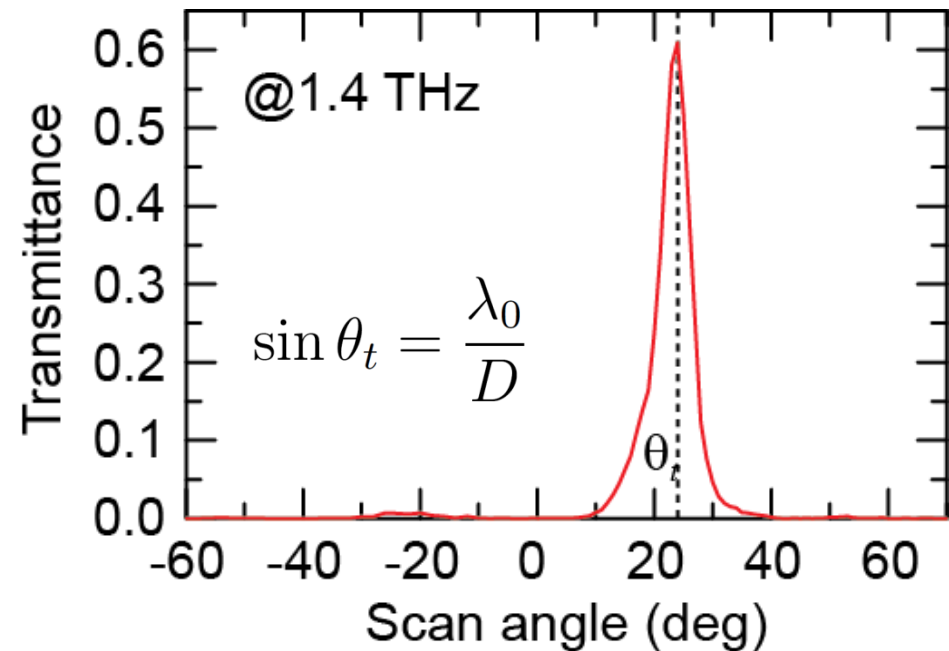
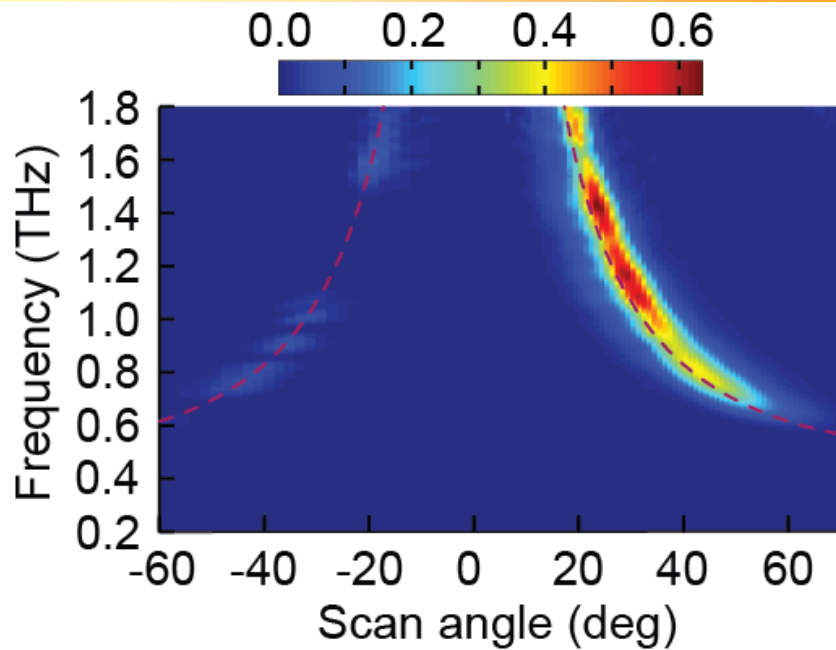


$$\sin \theta_t - \sin \theta_i = \frac{\lambda_0}{2\pi} \frac{d\Phi}{dx}$$

- ☐ Each individual element can be used in polarization converter
- ☐ Conversion efficiency is designed to be largely constant
- ☐ 8 elements form the unit cell, with a linear phase shift spanning a 2π range

Grady et al., *Science* **340**, 1304 (2013).

Near-Perfect Anomalous Refraction: Exp.



- ❑ At a specific frequency, the “refraction angle” is determined by periodicity
- ❑ At 1.4 THz, the anomalous refraction carries 60% of the incident power
- ❑ Measure the cross-polarized transmission vs. scanning angle
- ❑ Operate over a broad bandwidth

Grady et al., *Science* **340**, 1304 (2013).



Summary

- ❑ Engineered metasurfaces at THz frequencies, Strong resonance response
- ❑ Understanding basic properties with respect to design parameters
- ❑ Investigated the coupling mechanism and the corresponding effect on resonances
- ❑ Actively modulation of THz radiation using optical controls



U.S. DEPARTMENT OF
ENERGY

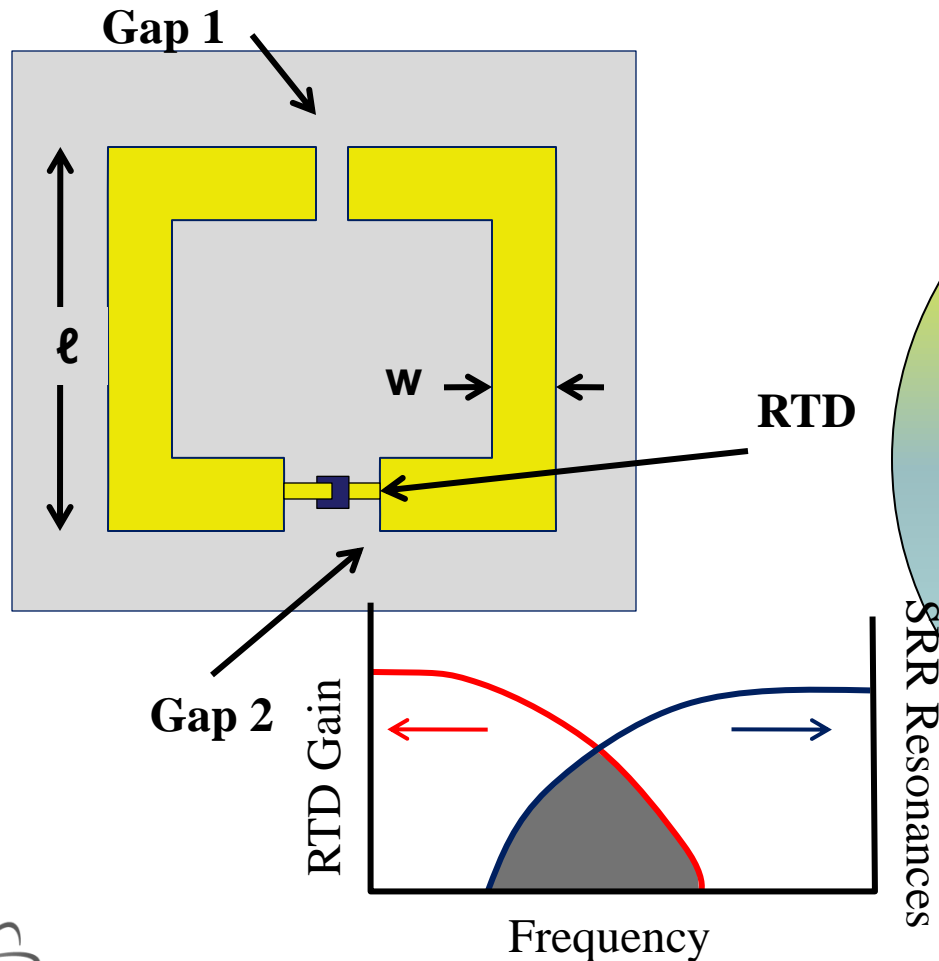


**Laboratory Directed
Research & Development**

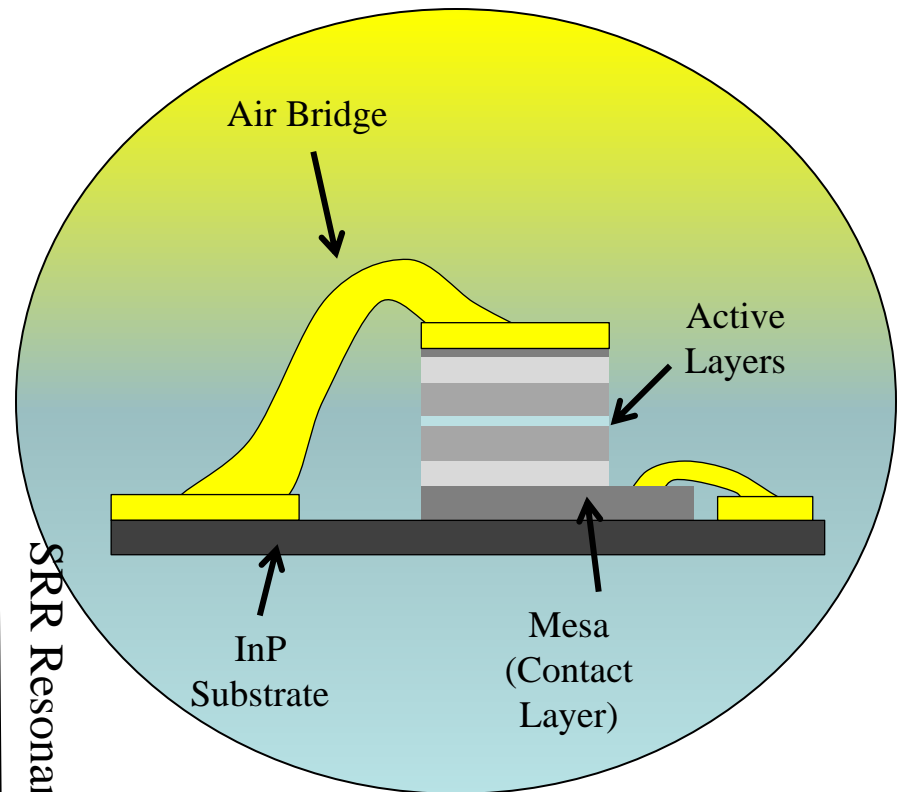


Electrical Generation of Microwave and THz

Split Ring Resonator acts as a tank circuit providing feedback and antenna

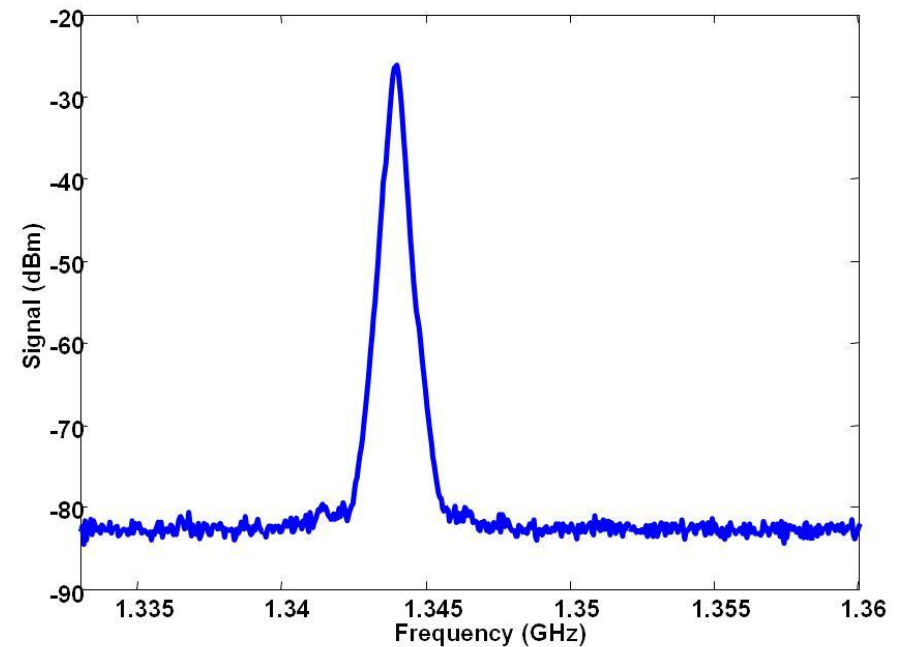
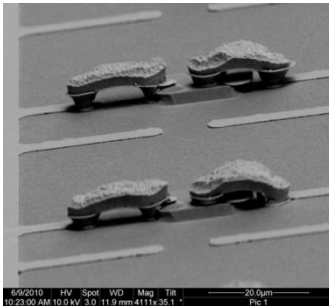
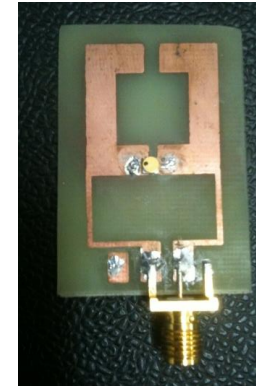
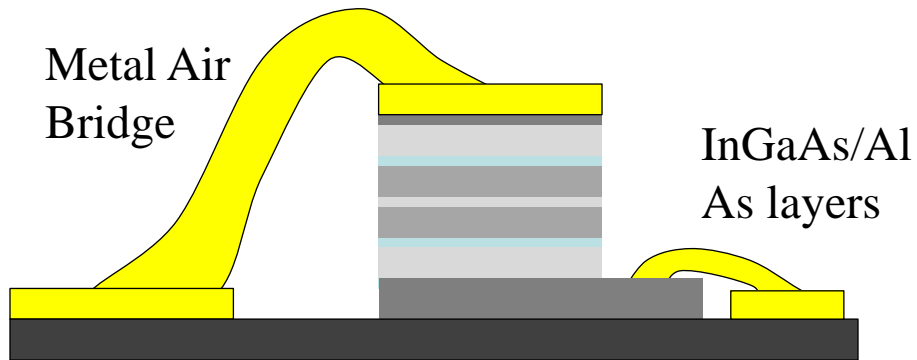


Resonant Tunneling Diode (RTD) is active gain element





Electrical Generation of Microwave and THz





Team and Collaborators



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Hou-Tong Chen



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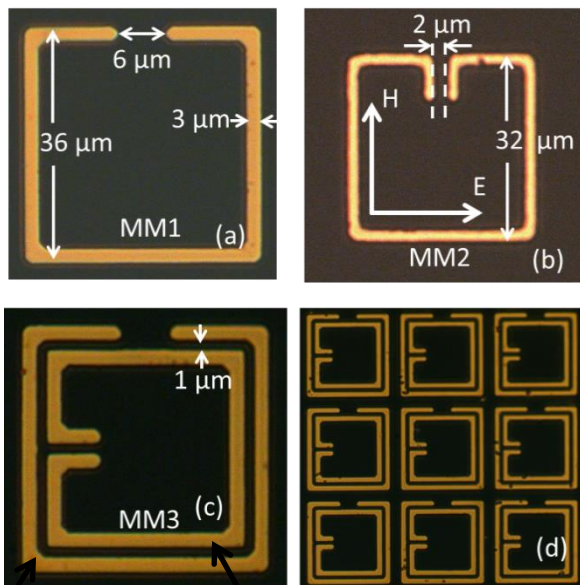


My special thanks to

Professor Dr. Y. Haider
Professor Dr. Monowar Abedin

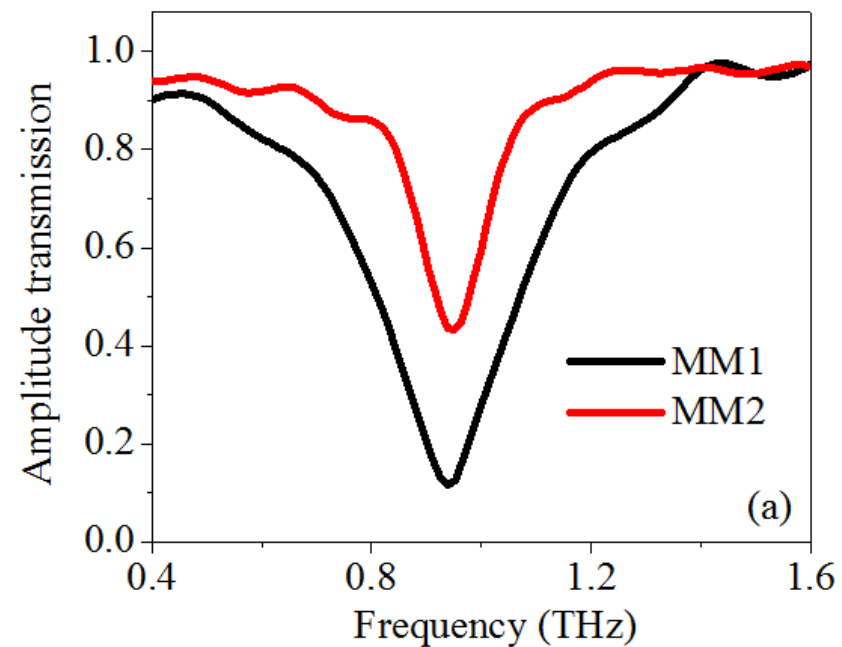
Strongly coupled planar metasurface

- Meta-atom based meta-molecule
- Meta-atom can be bright or optically active for certain polarization or dark
- Strong coupling can be realized through concentric configuration



Bright SRR

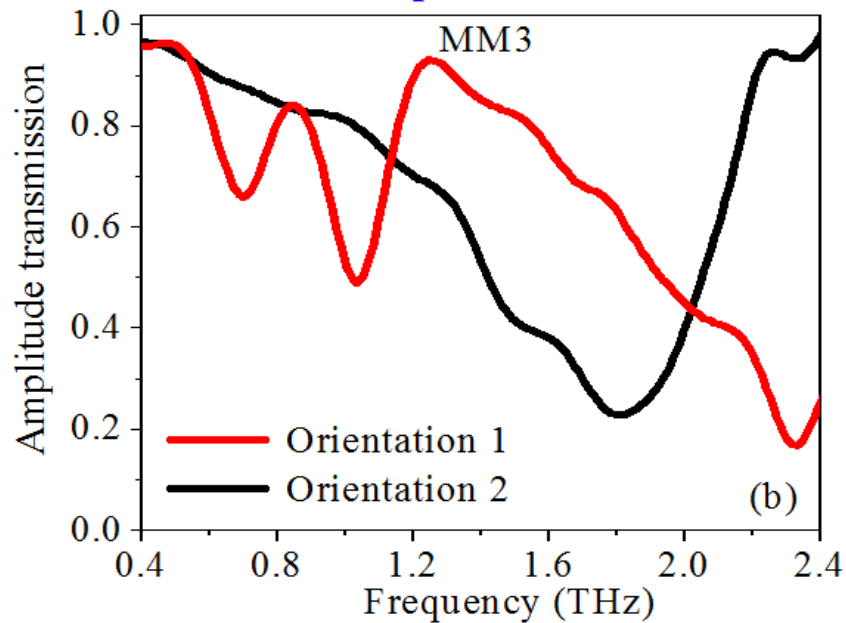
Dark SRR



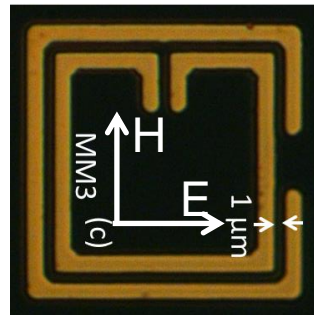
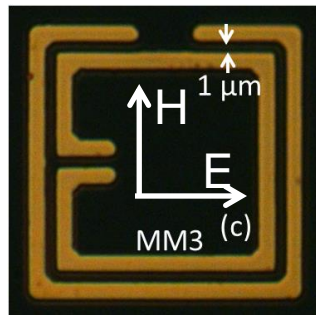
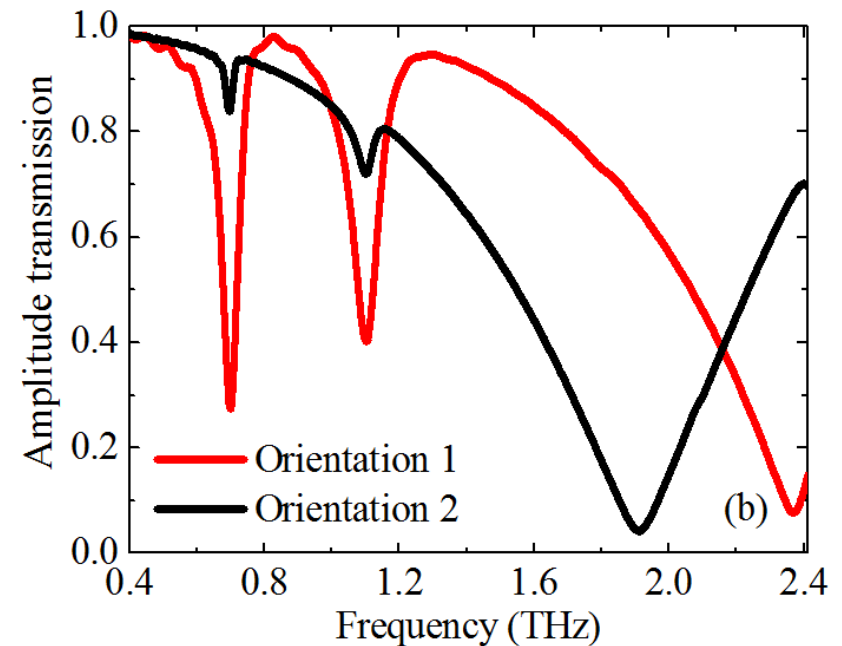
D. R. Chowdhury et al., Appl. Phys. Lett. (Accepted)

Strongly coupled planar metasurface

Experiment



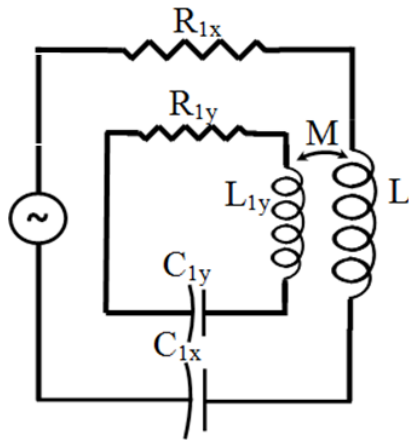
Simulation



- Strong anisotropy
- Mutual coupling between inner and outer ring varies with orientation???

D. R. Chowdhury et al., Appl. Phys. Lett. (Accepted)

Strongly coupled planar metasurface

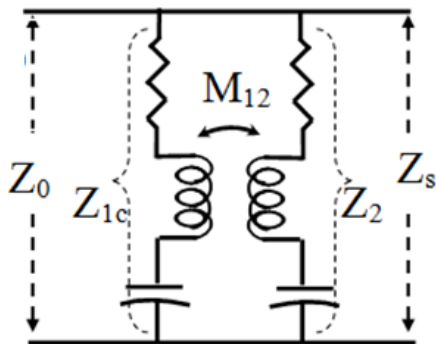


MM1 active

$$Z_{1xc} = Z_{1x} + \frac{\omega^2 M^2}{Z_{1y}}$$

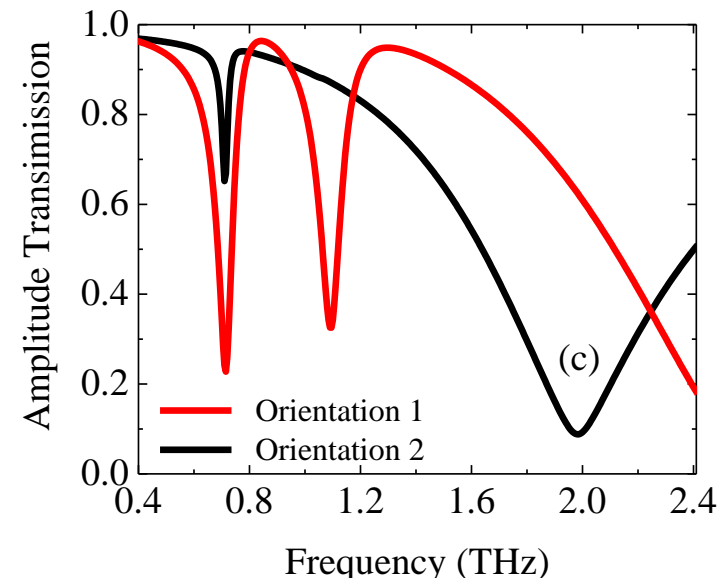
MM2 active

$$Z_{1yc} = Z_{1y} + \frac{\omega^2 M^2}{Z_{1x}}$$



$$Z_{tot} = \frac{Z_{1c}Z_2 + \omega^2 M_{12}}{Z_{1c} + Z_2 + j\omega 2M_{12}}$$

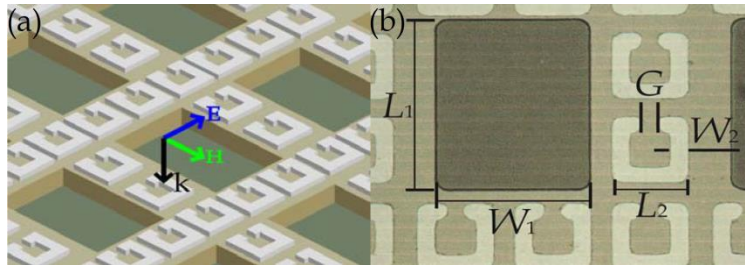
Analytical



- Coupling between LC resonances of MM1 and MM2
- Stronger coupling creates larger frequency separation
- Coupling between the splitted mode and the higher order modes

D. R. Chowdhury et al., Appl. Phys. Lett. (Accepted)

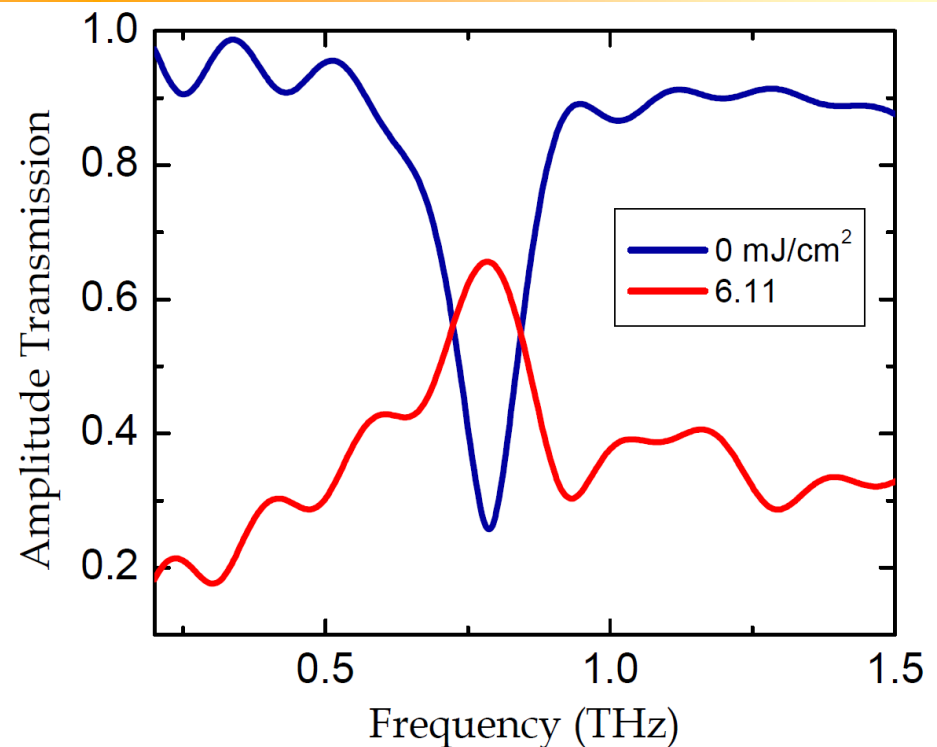
An active hybrid plasmonic metamaterial



$L_1 = 65 \mu\text{m}$, $W_1 = 50 \mu\text{m}$, $L_2 = 33 \mu\text{m}$,
 $W_2 = 6 \mu\text{m}$, and $G = 5 \mu\text{m}$

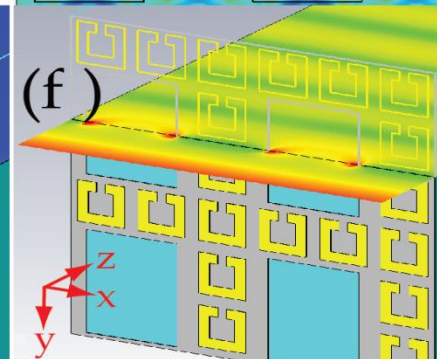
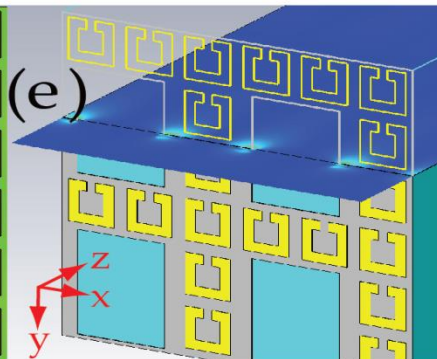
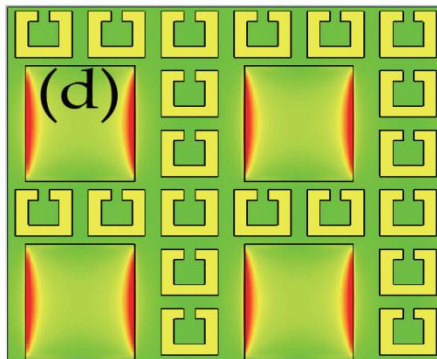
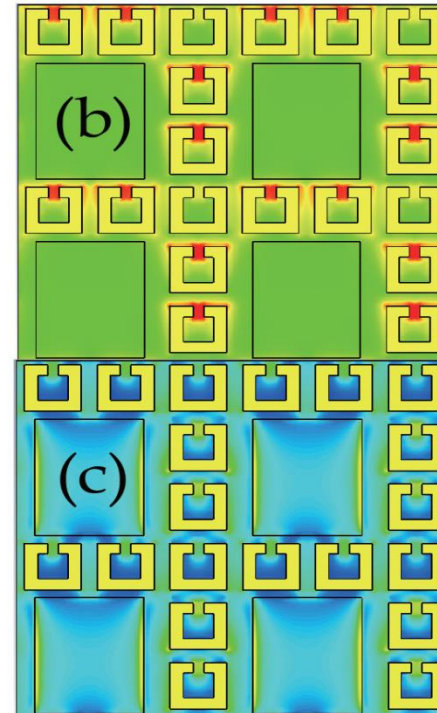
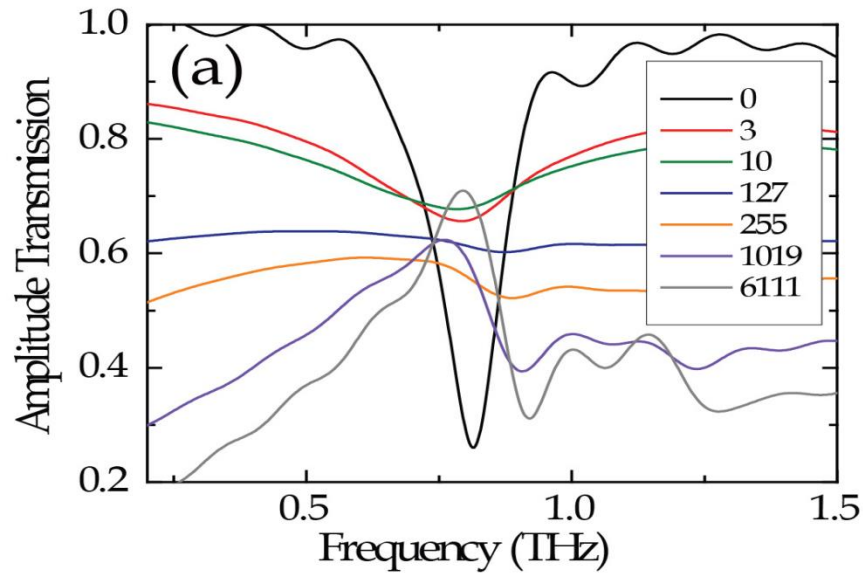
- Substrate: Silicon on Sapphire
- SRR: Aluminum (200 nm)
- Silicon film thickness: 500 nm
- Plasmonic hole array: Etching silicon

J. Gu, et. al, OPTICAL MATERIALS EXPRESS 2, 1617 (2012)

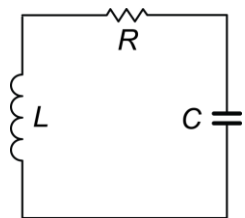
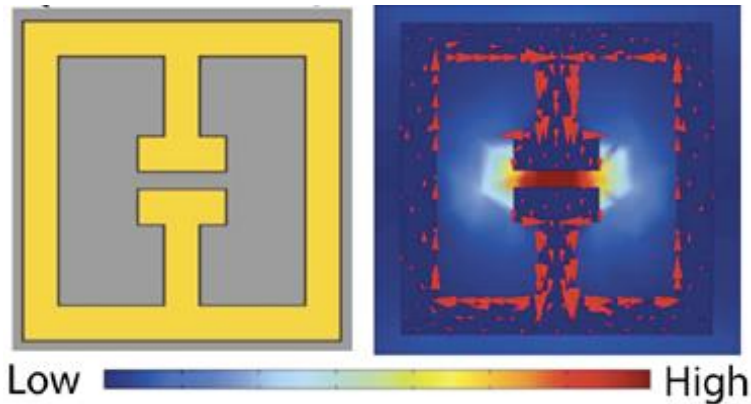


- Without optical pump: SRR resonance – band-stop nature
- With optical pump: Silicon becomes metallic
- Behaves like a metallic hole array
- Excites surface plasma polaritons, band-pass resonance

An active hybrid plasmonic metamaterial

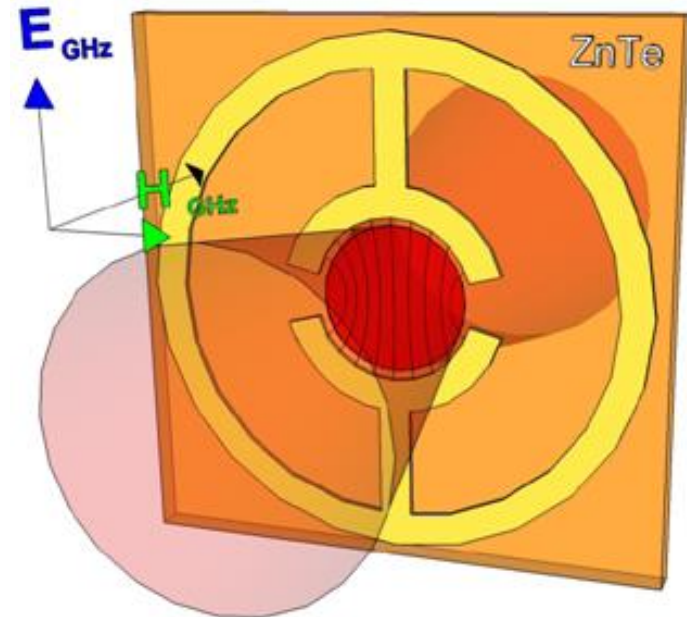


Metamaterial Electro-Optical Modulator



$$\omega \propto \sqrt{\frac{1}{LC}}$$

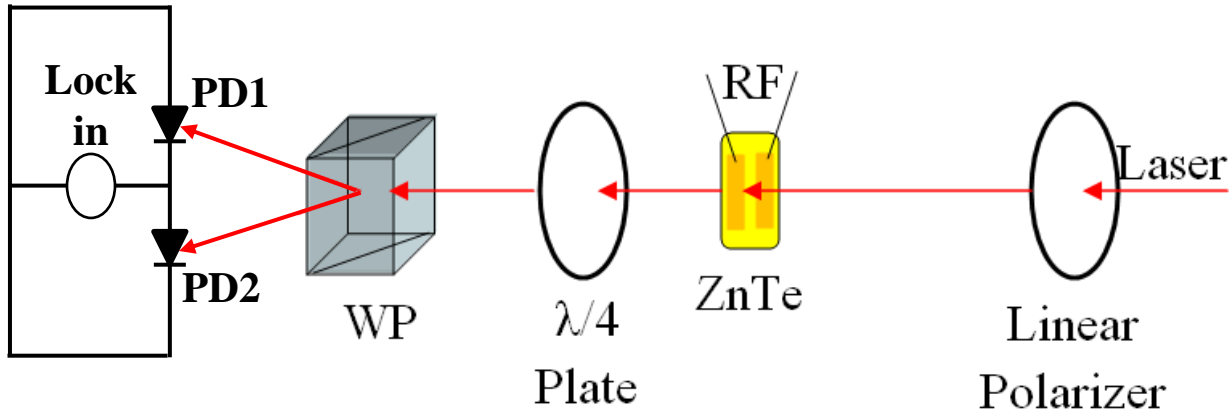
- SRR can be excited by E & H fields
- At resonance field in the gap is orders of magnitude higher than excitation field
- Ideal for EO modulator



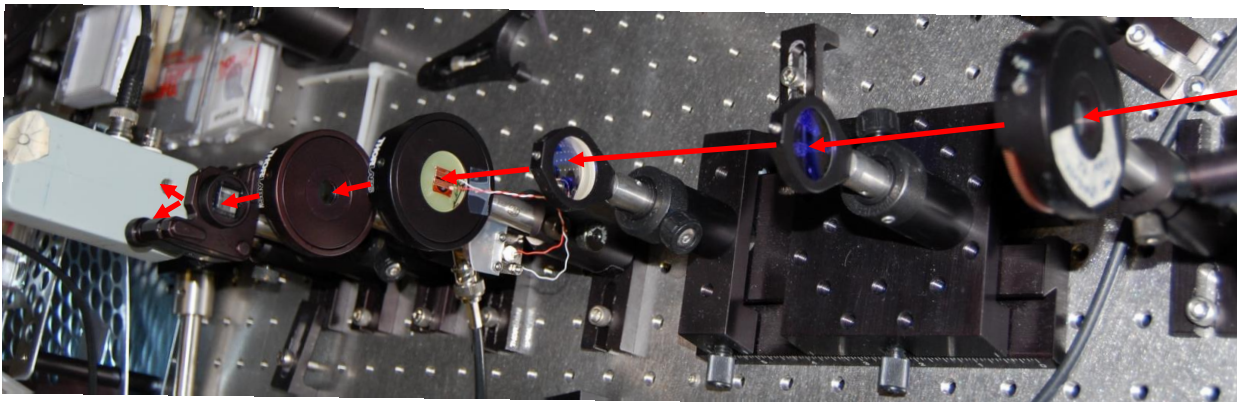
- Schematic of modulating IR light by microwave electric field
- SRR is designed to resonate at GHz frequencies
- Fabricated on an electro-optic medium

D. Shchegolkov, et. al. Submitted to Appl. Phys. Lett

Experimental setup (EO modulation)

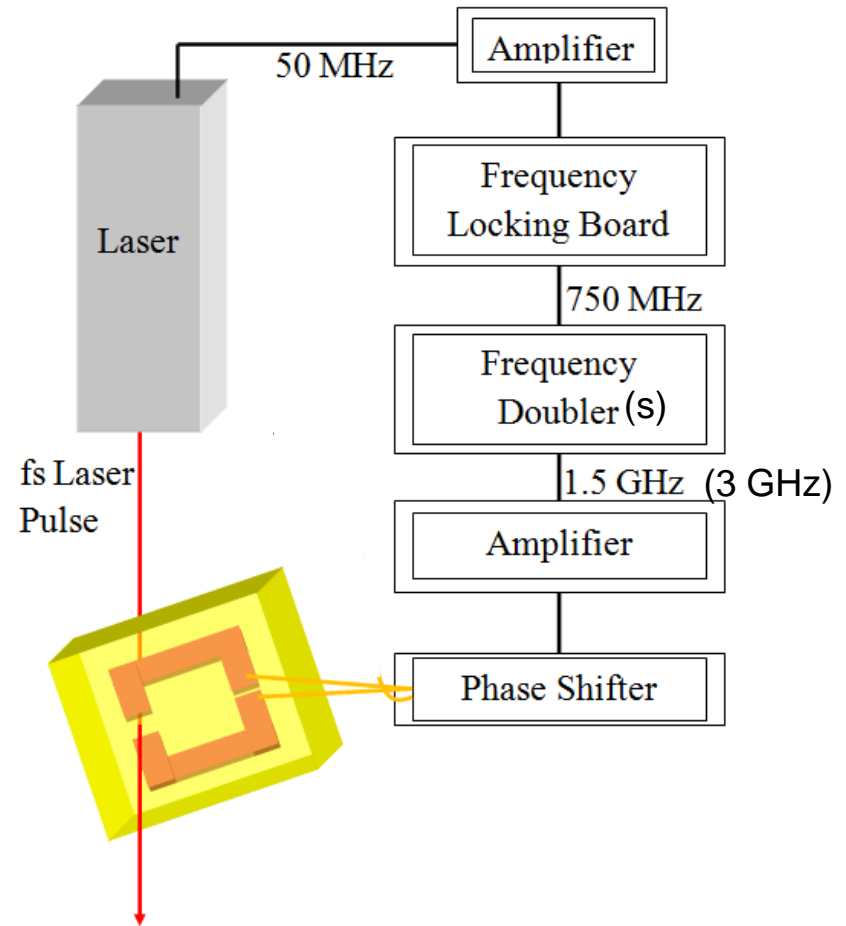
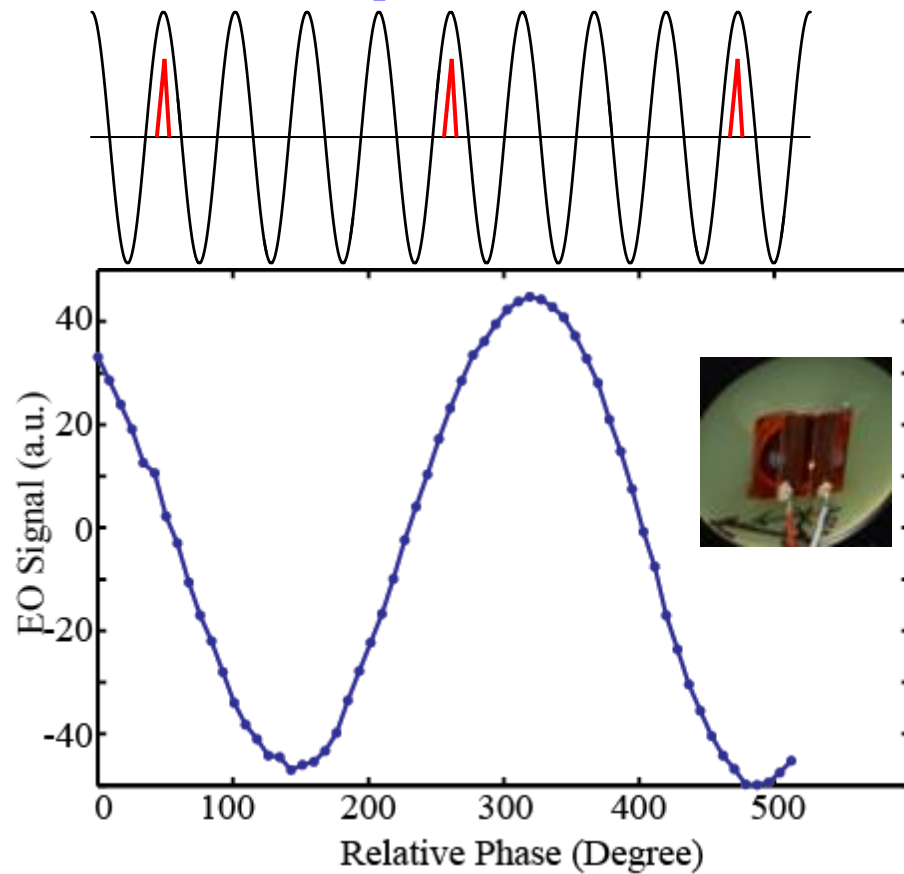


- Laser wavelength=750 nm
- Laser power: 8 mW
- Pulse width: 80 fs
- Repetition Rate: 50 MHz

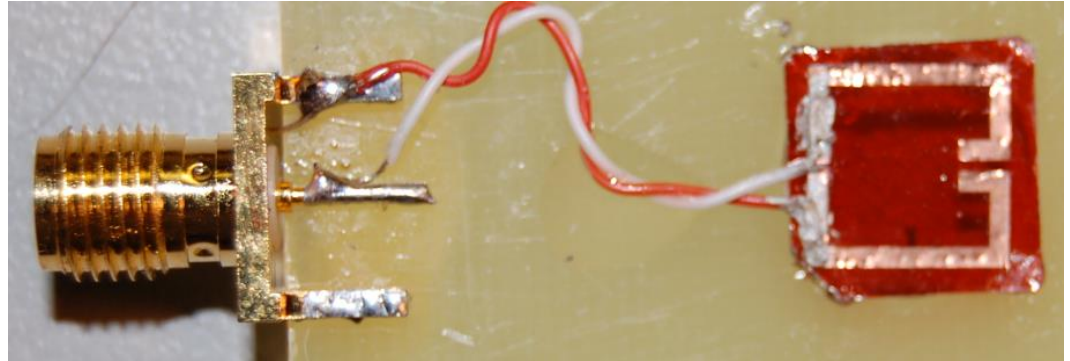


Synchronization of laser pulses with microwave signal

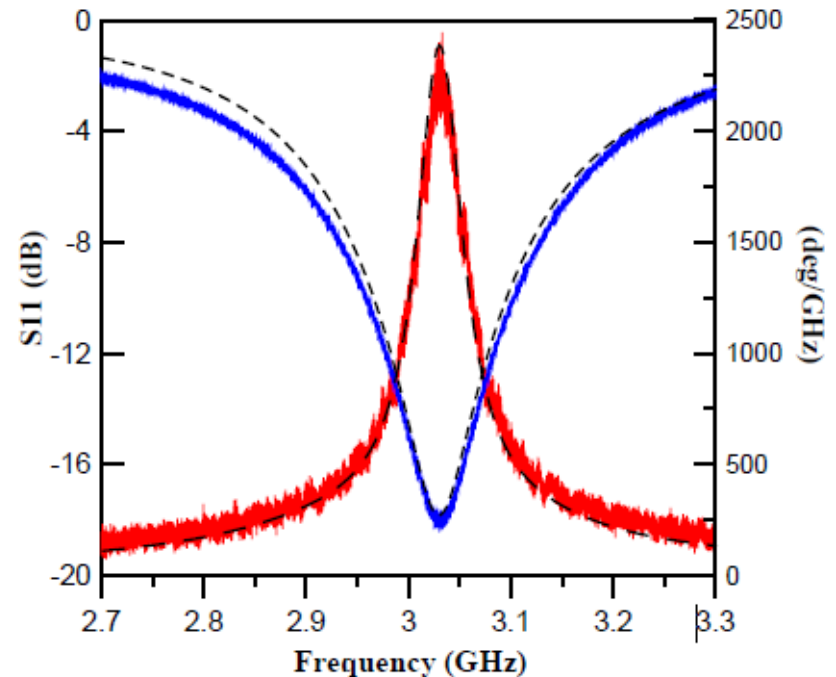
The phase of the microwave needs to be the same for each fs laser pulse.



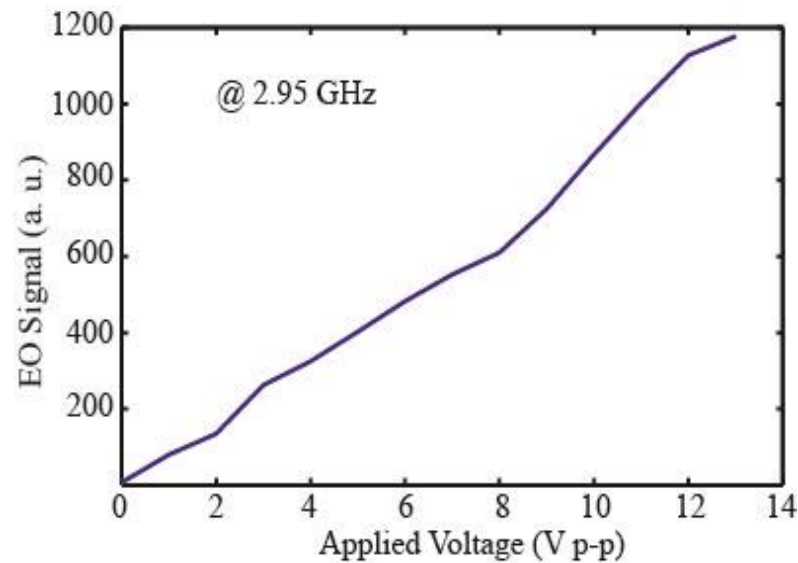
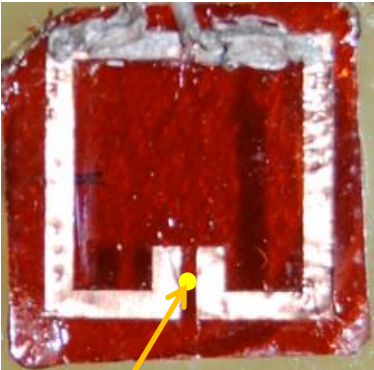
SRR Fabrication



- Skin depth of copper at 3 GHz is $\approx 2 \mu\text{m}$
- Used short pulse laser machining
- Laminate whole crystal with adhesive copper tape
- Etch copper



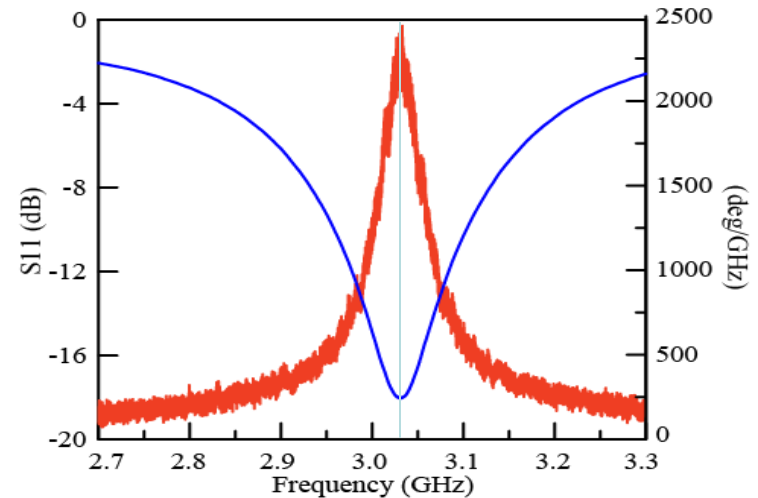
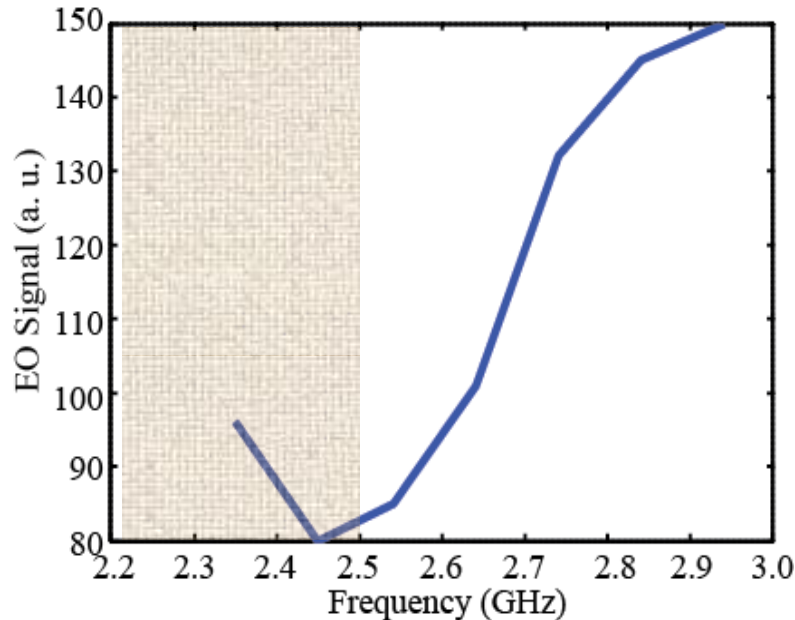
EO Measurement



- Frequency of microwave is fixed @ 2.95 GHz
- Probe at the middle of the resonant gap
- Optical beam diameter on the sample is ~100 μm
- EO signal increases linearly with applied voltage



Frequency dependence of EO signal

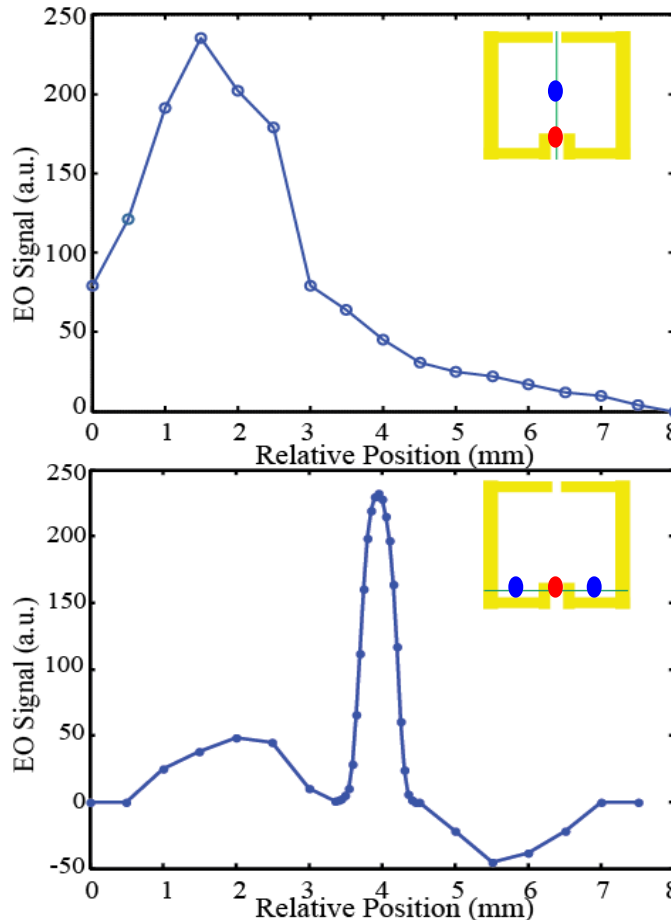


VNA Measurement

- Keep the applied voltage p-p amplitude the same
- 2.5-3.0 GHz is the specified PC board operational frequency range
- EO signal rolls-off as the microwave frequency offsets from the resonance of the SRR
- Qualitatively follows simulated voltage in the gap



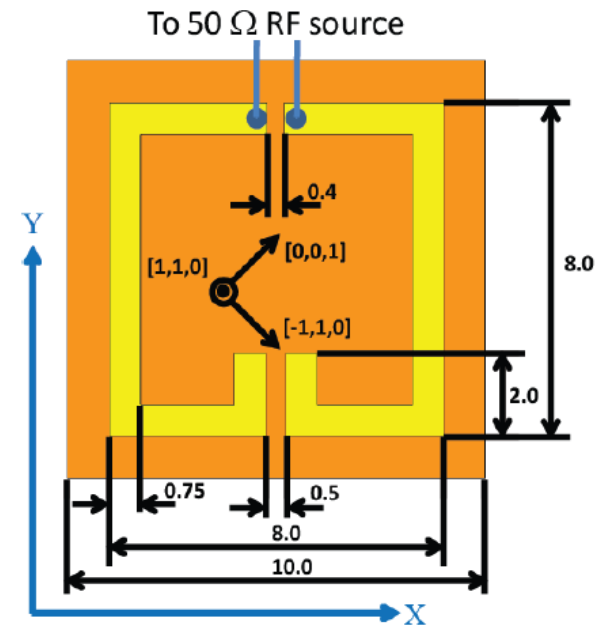
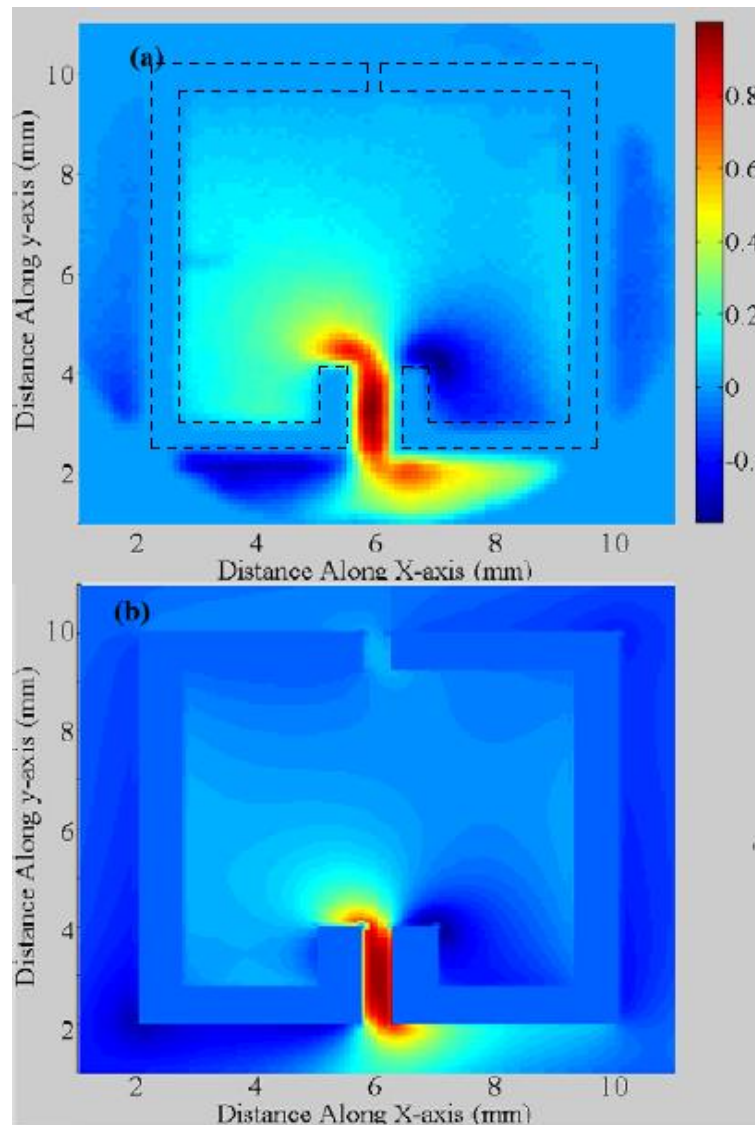
Spatial distribution of EO signal: experiment



- EO signal in the SRR gap is five times higher compared to signal at the SRR center
- Horizontal scanning shows the presence of orthogonally polarized electric field component on both sides of the gap
- Field enhancement is the highest inside the gap

$$Q \approx 7$$

2D Field distribution



$$\Gamma = \frac{\pi d}{\lambda_0} n_0^3 r_{41} E_{rf} \sqrt{1 + 3 \cos^2 \alpha}$$

$$I = \rho \frac{\pi d}{\lambda_0} n_0^3 r_{41} E_{rf} |E_0|^2 \sin \alpha$$



Summary

- ❑ Engineered Metamaterials at THz frequencies, Strong resonance response
- ❑ Understanding basic properties with respect to design parameters
- ❑ Investigated the coupling mechanism and the corresponding effect on resonances
- ❑ We are able to actively modulate THz radiation using optical and thermal controls
- ❑ Demonstrated metamaterial based EO modulator



Team and Collaborators



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Duke University: David Smith, Nan Jokerst



Center for Integrated Nanotechnologies



Los Alamos National Laboratory

- State-of-the-art facilities
- Goal: Develop innovative approaches for nano-scale integration

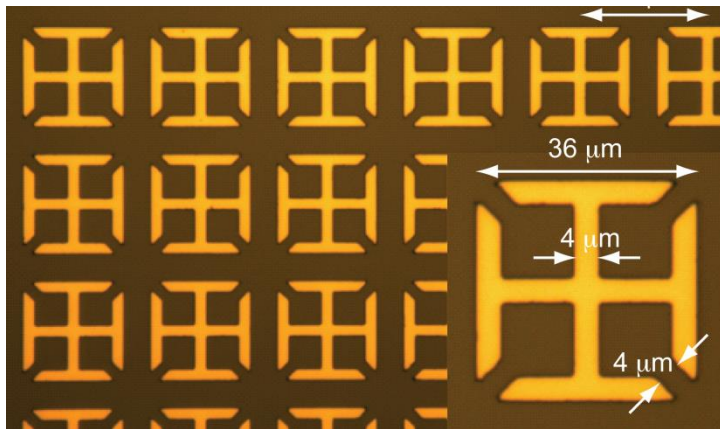
<http://CINT.lanl.gov>

*“One scientific community
focused on nanoscience
integration”*

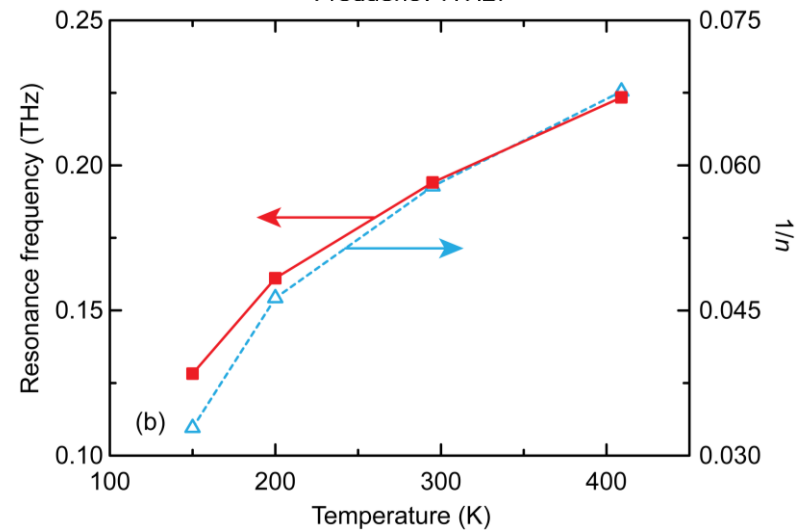
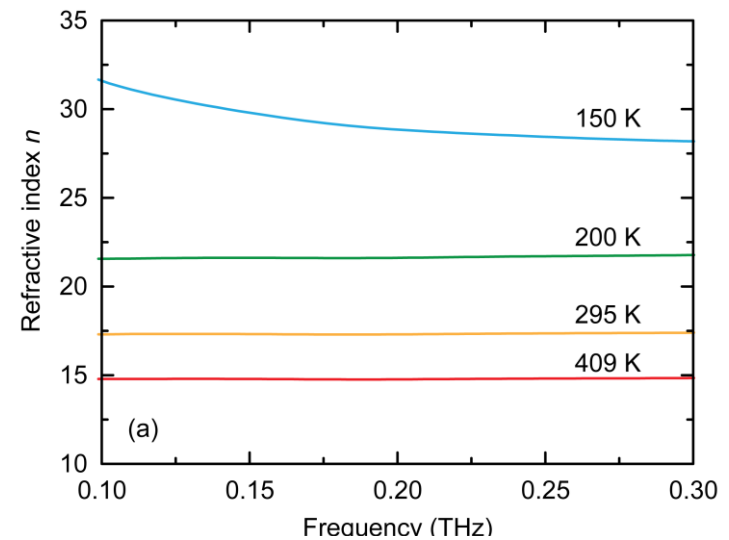
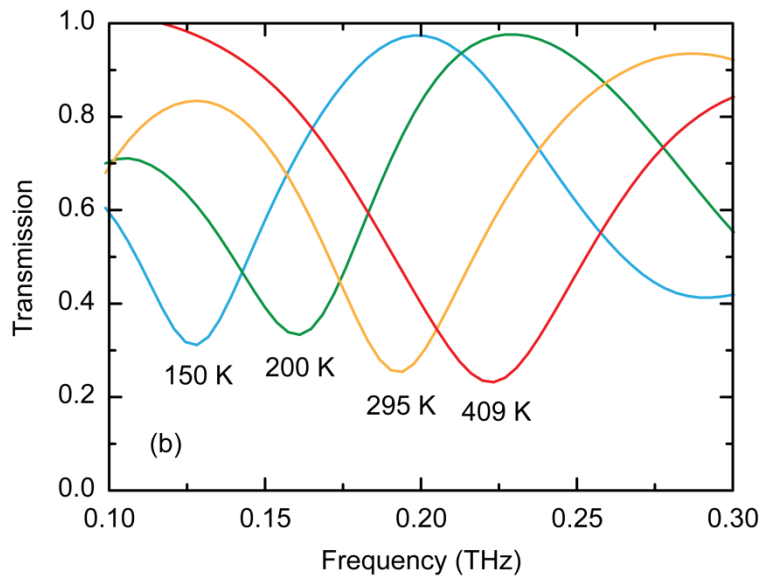
Sandia National Laboratory



Thermal tunability of THz metamaterials using SrTiO₃ substrates



$$\omega_0 = 1/\sqrt{LC} \sim 1/n$$

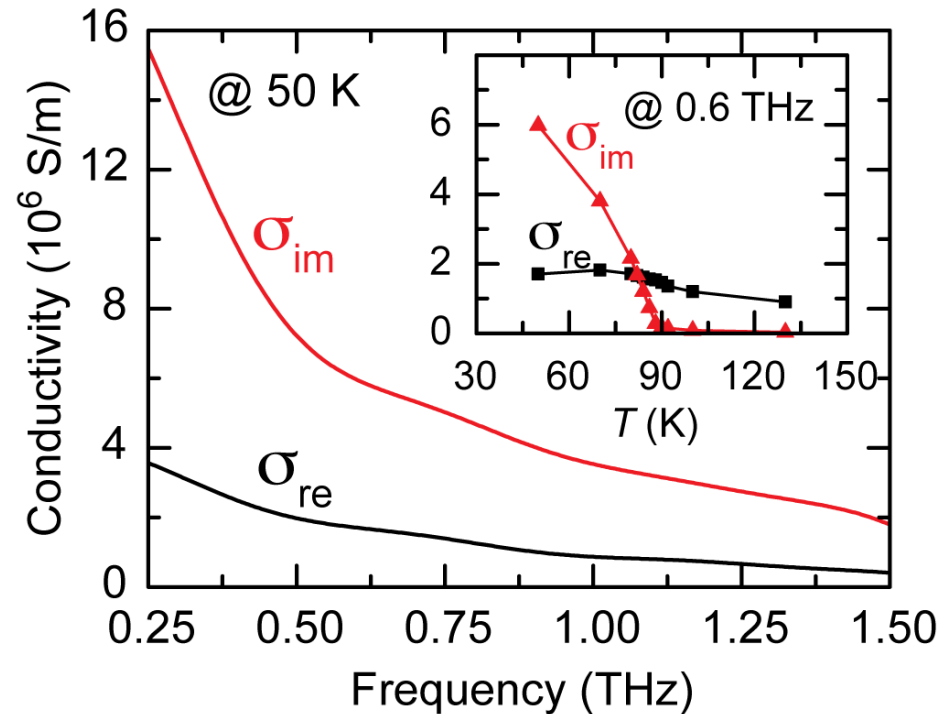
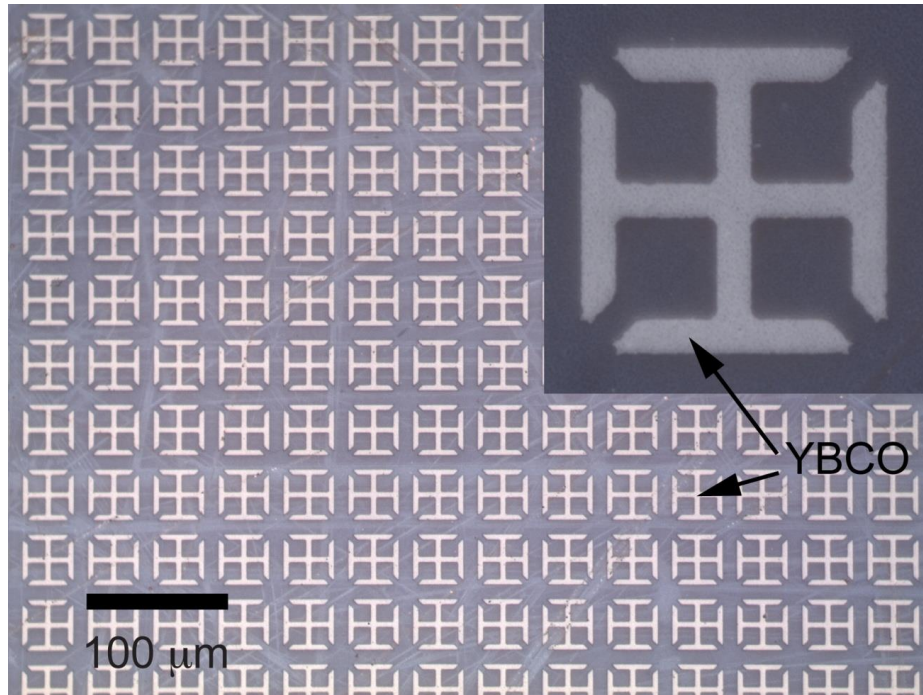


Singh *et al.*, *Opt. Lett.* **36**, 1230 (2011).



Superconducting THz MMs enable thermal tunability

High quality epitaxial YBCO film on LAO by Pulsed Laser Deposition with $T_c \sim 90$ K and thickness 180 nm

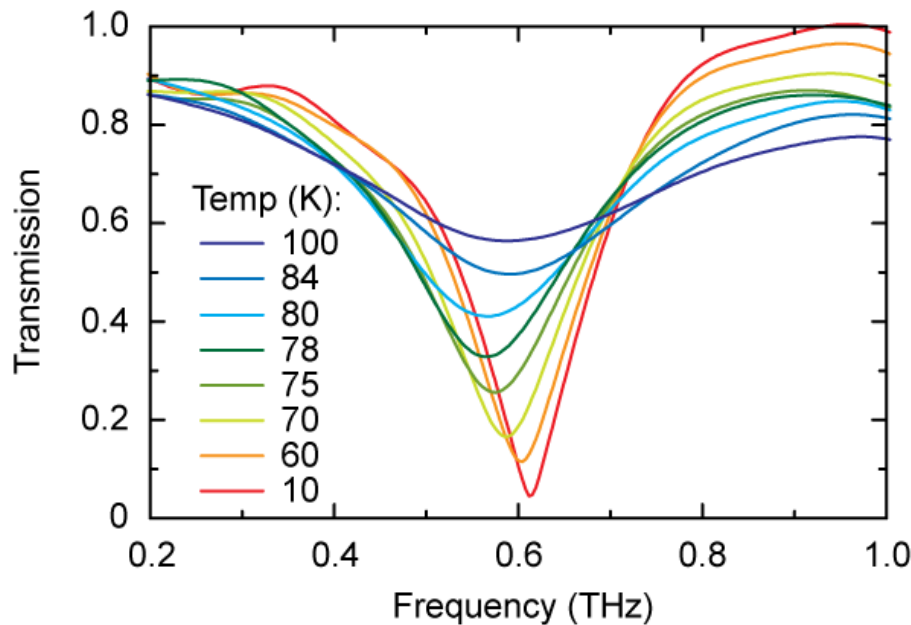


Experimentally measured complex conductivity of YBCO

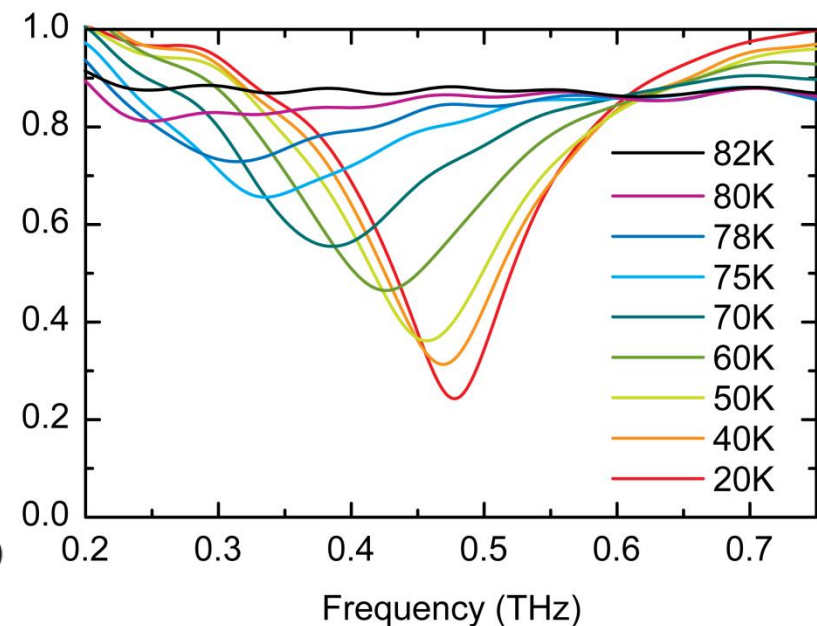


Thermally Tunable Metamaterial Resonance

180 nm thick YBCO MM



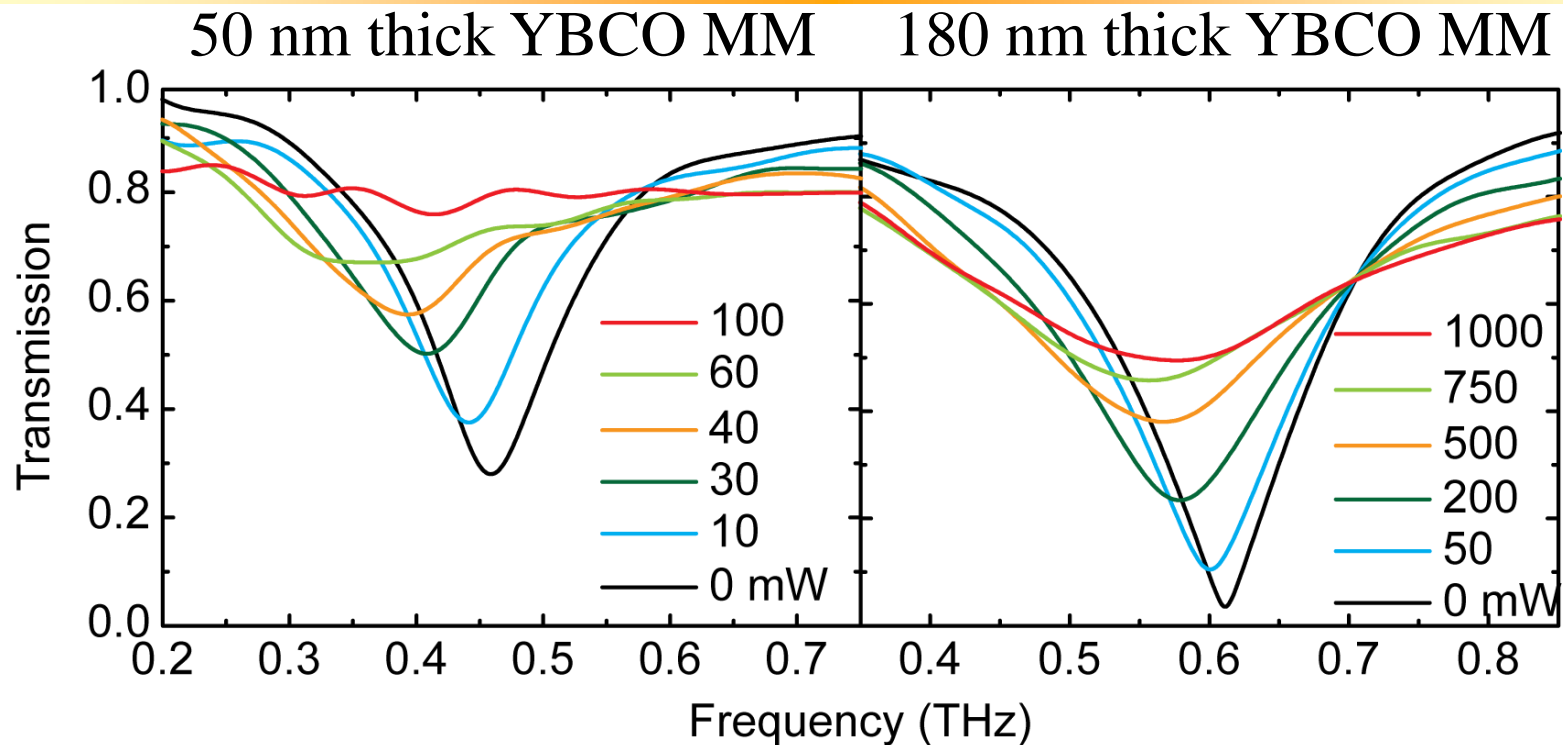
50 nm thick YBCO MM



- Switching resonance strength and shifting resonance frequency
- Decreasing the thickness results in:
 - A red-shift of the resonance frequency
 - A larger tuning range and higher tuning efficiency



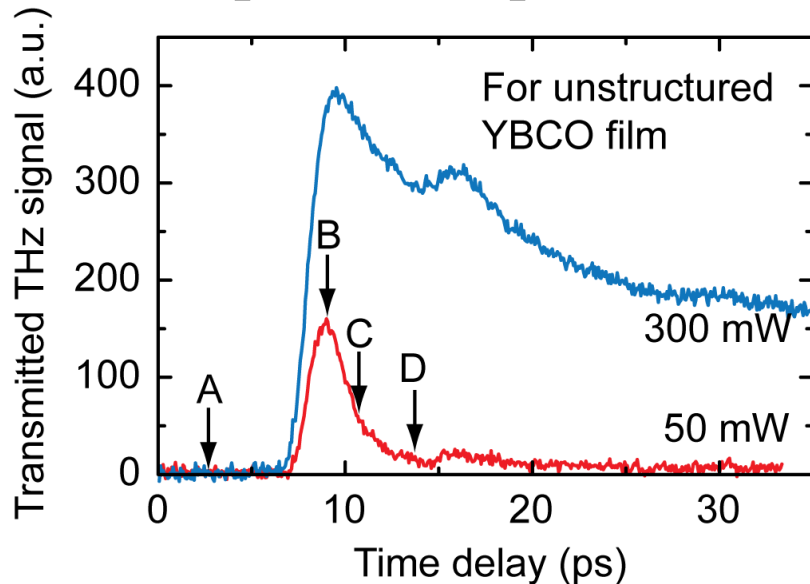
MM resonance tuning by photoexcitation



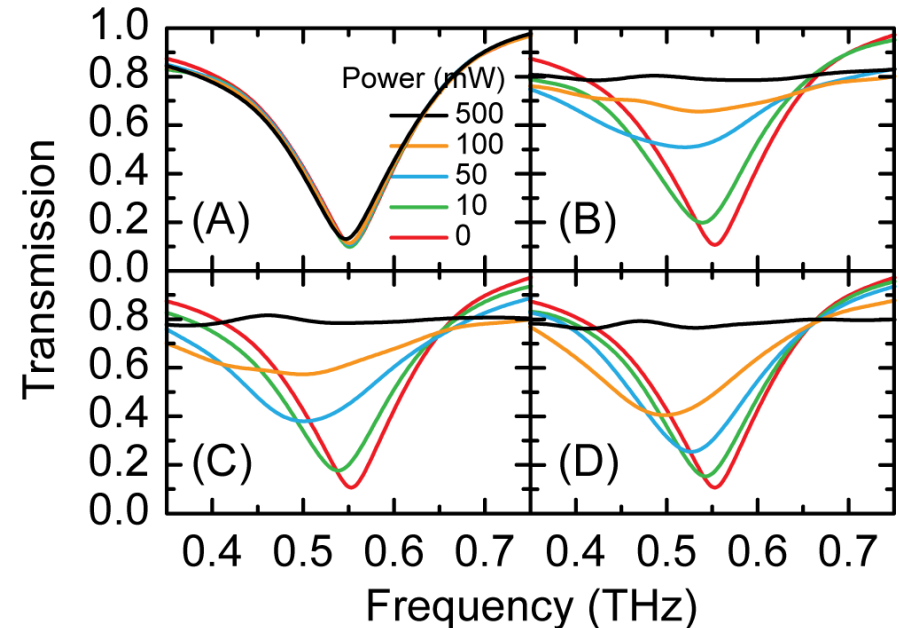
Metamaterials made from different thicknesses of YBCO films

➤ Resonance tuning by photoexcitation depends on thickness

Optical Pump THz Probe



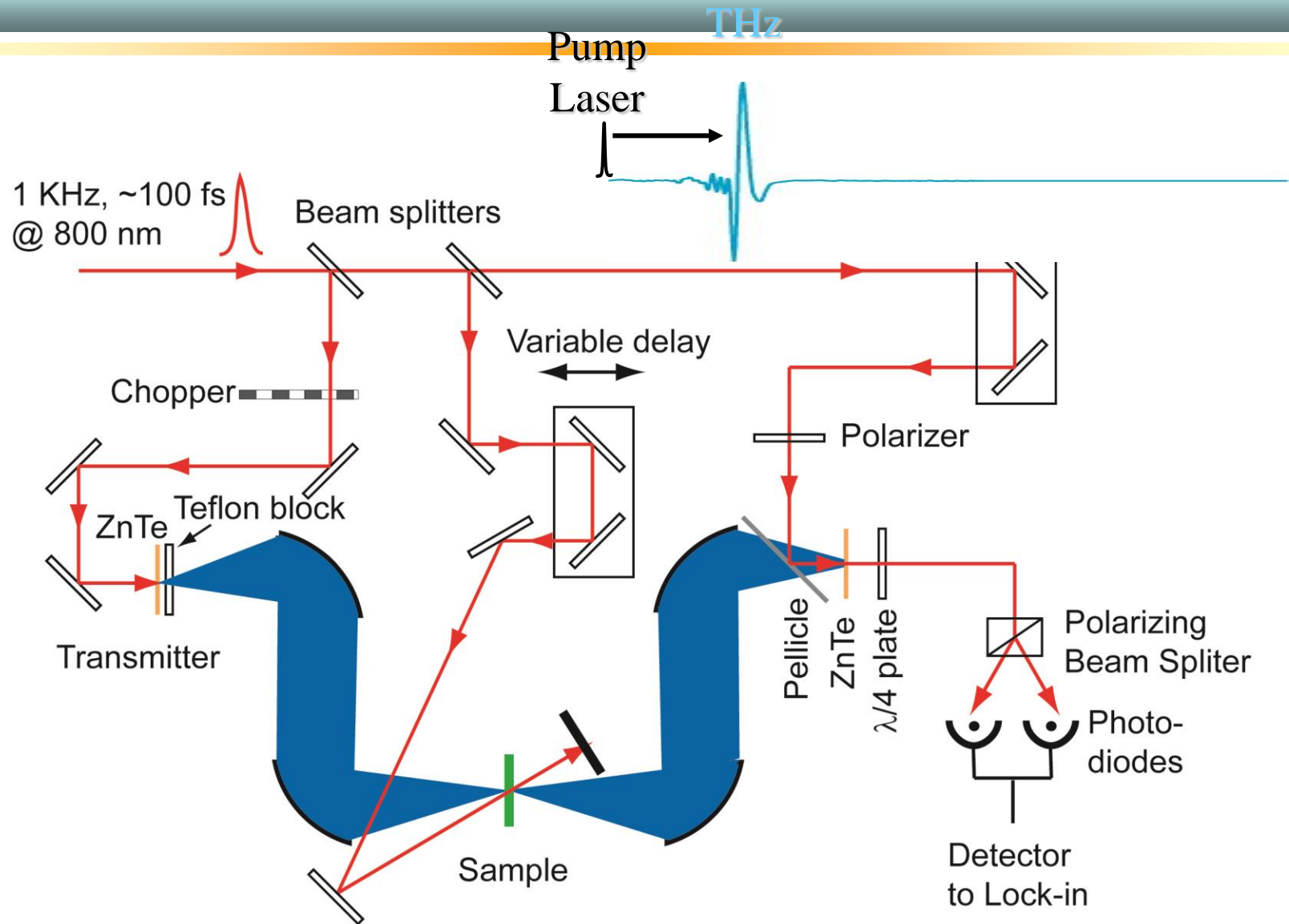
At various pump-probe delays



- Relaxation (recombination) time is a few ps
- Increasing the pump power results in a long relaxation tail due to thermal effects

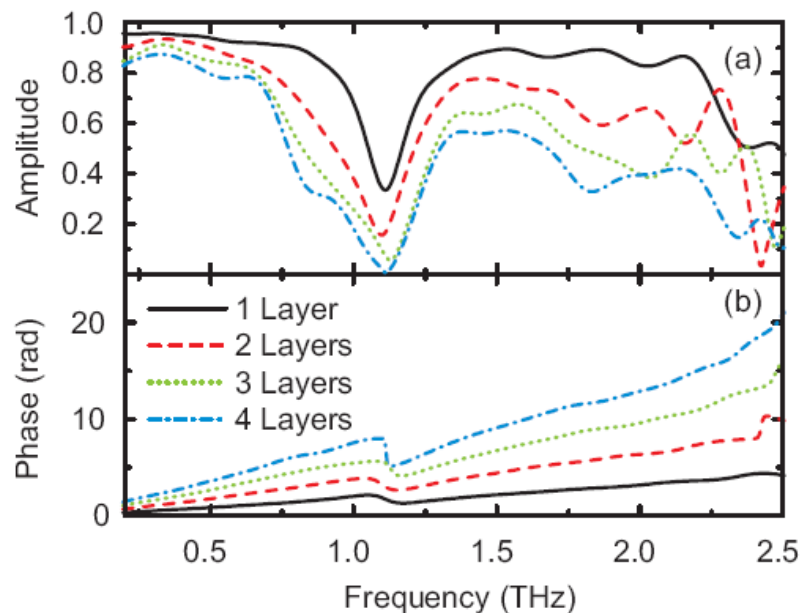
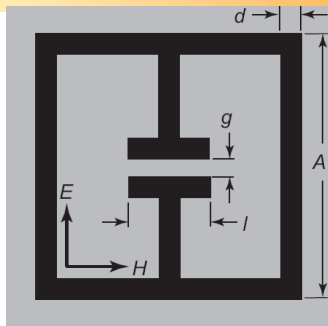


Optical THz Switch: Optical-Pump Terahertz-Probe

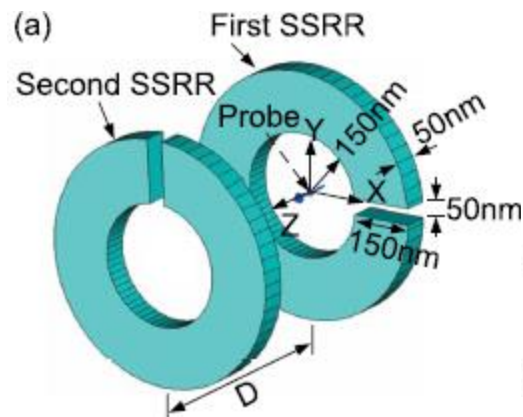


Interaction Between Layers

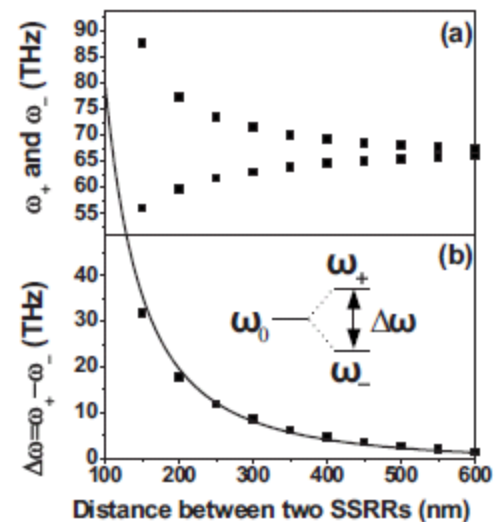
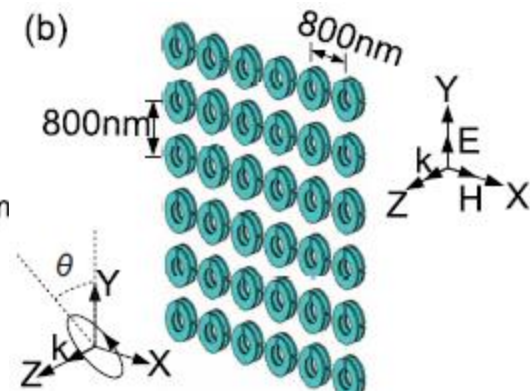
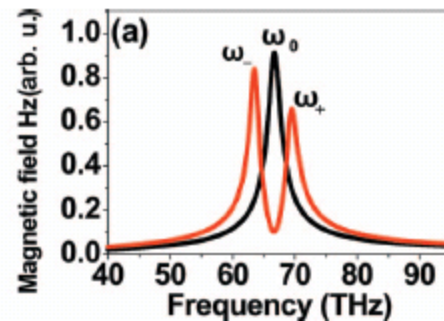
$$d \sim \lambda_{\text{res}}/5$$



A. Azad, et. al *Terahertz Science and Technology*, **94**, 15 (2009)

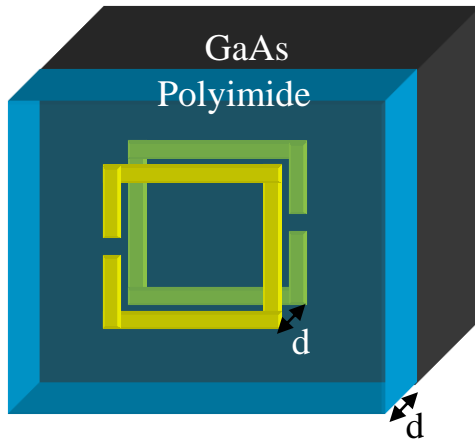


$$d \sim \lambda_{\text{res}}/50$$

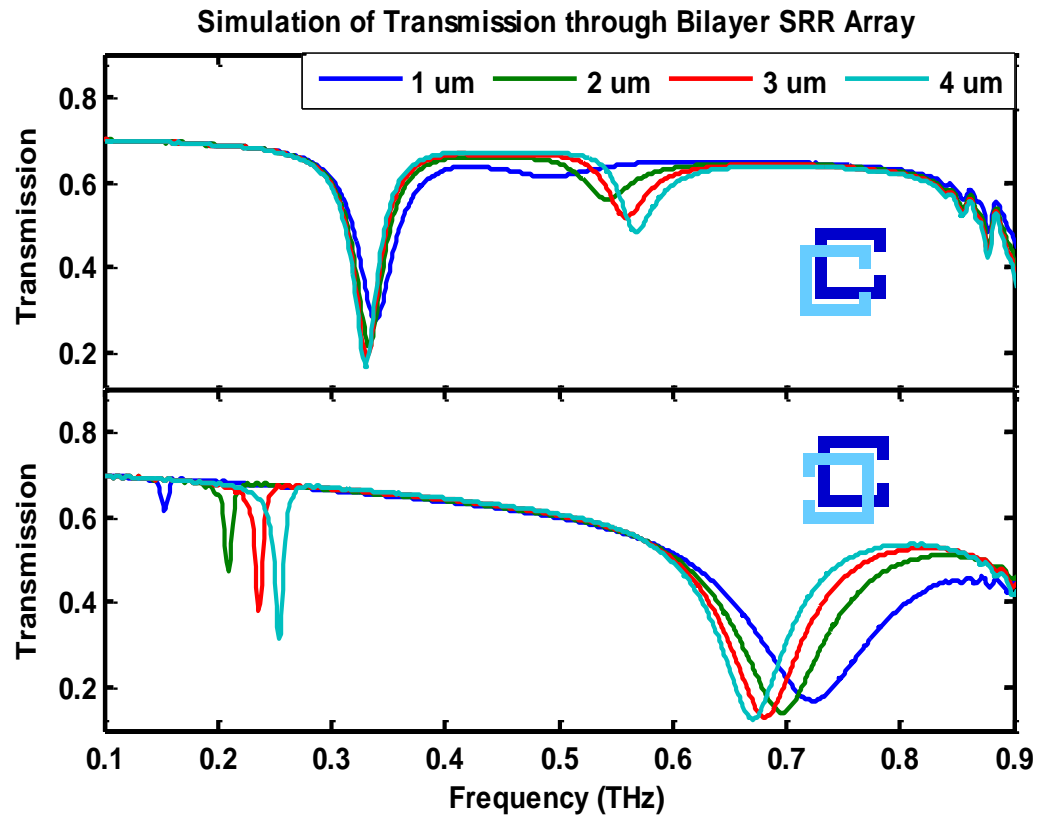


H. Liu, et. al *Phys. Rev.* **76**, 073101 (2007)

Coupled SRRs (Bi-Layer)



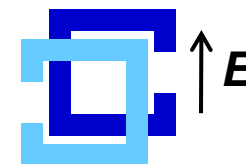
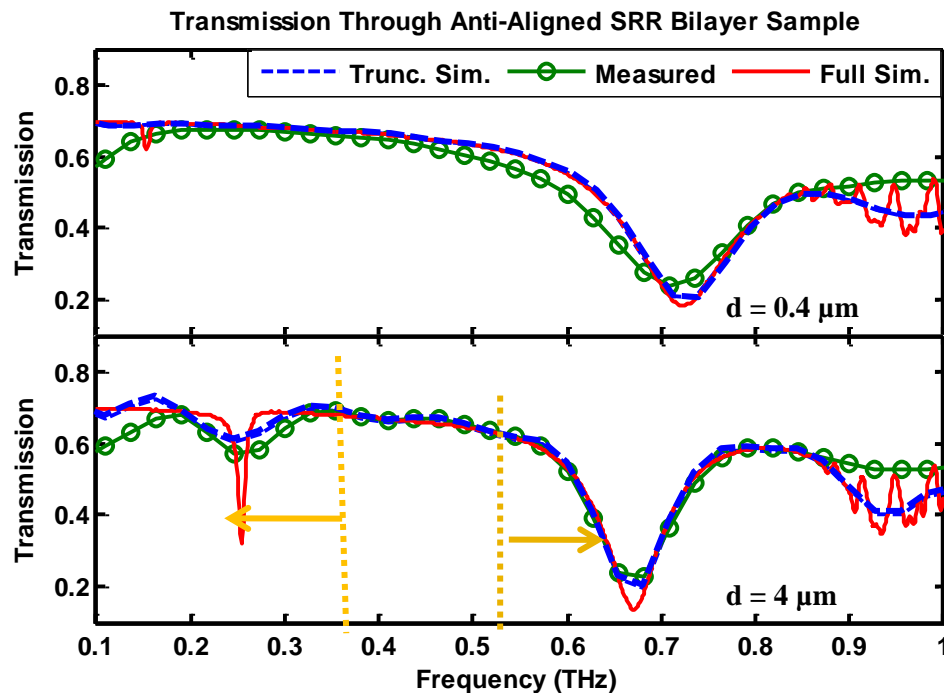
$$d \sim \lambda_{\text{res}}/500$$



M. T. Reiten, et. al, App. Phys. Lett. 98 131105 (2011)



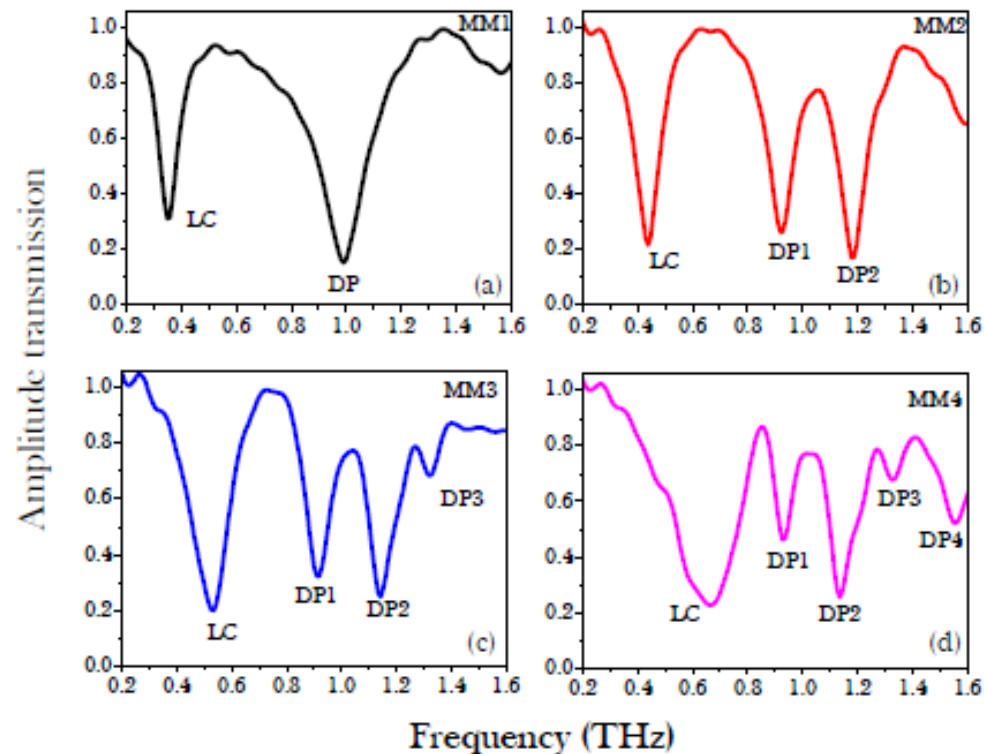
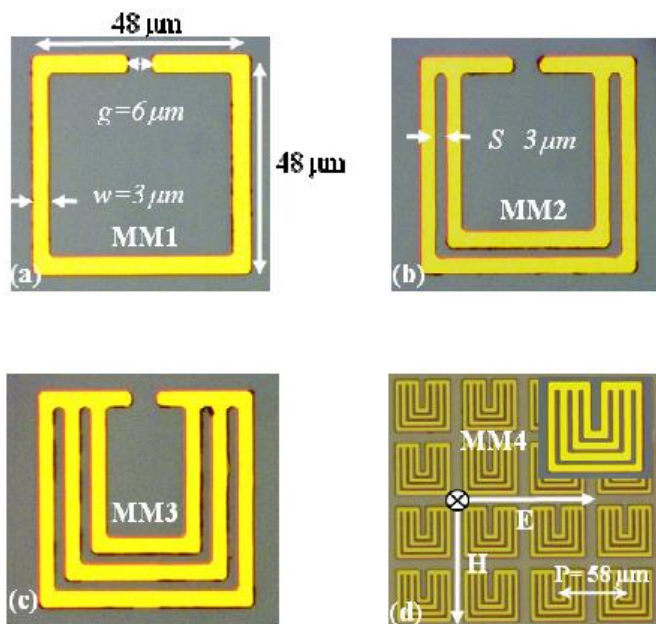
Simulation. vs. Measurement for Anti-Aligned SRR



SRR Top Only 0.53 THz

SRR Bottom Only 0.34 THz

Broadband Metamaterial



D. R. Chowdhury et al., Optics Express 19, 15821 (2011)

Broadband Metamaterial

